

**BEFORE THE ILLINOIS POLLUTION CONTROL BOARD**

In the Matter of: )  
)  
)  
STANDARD FOR THE DISPOSAL OF )  
COAL COMBUSTION RESIDUALS ) PCB 2020-019  
IN SURFACE IMPOUNDMENTS: ) (Rulemaking - Water)  
PROPOSED NEW 35 ILL. ADMIN. )  
CODE 845 )  
)  
)  
)

**NOTICE OF ELECTRONIC FILING**

To: Attached Service List

PLEASE TAKE NOTICE that on August 27, 2020, I electronically filed with the Clerk of the Illinois Pollution Control Board (“Board”) the **PRE-FILED TESTIMONY OF DULCE ORTIZ**, a copy of which is served on you along with this notice.

Dated: August 27, 2020

Respectfully Submitted,

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**BEFORE THE ILLINOIS POLLUTION CONTROL BOARD**

IN THE MATTER OF: )  
)  
STANDARDS FOR THE DISPOSAL OF ) R 20-19  
COAL COMBUSTION RESIDUALS IN ) (Rulemaking – Land)  
SURFACE IMPOUNDMENTS: PROPOSED )  
NEW 35 ILL. ADM. CODE 845 )  
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**PRE-FILED TESTIMONY OF DULCE ORTIZ**

I'm very honored and blessed to be here and have the opportunity to represent and be the voice of 48,000 Latinos from the City of Waukegan. My name is Dulce Ortiz, and I have been a volunteer with the Clean Power Lake County since its inception in 2013. Clean Power Lake County is a community-driven coalition committed to local action to secure environmental, economic, and racial justice. It is supported by several partners, including environmental, faith, and public health organizations. Members of the Clean Power Lake County Campaign advocate for a long-term retirement plan for the coal-fired power plant in Waukegan, including a just transition for the workforce, the tax base, and the lakefront site. Members also work to promote clean energy projects that can create new local jobs and tax revenue for Waukegan and Lake County.

I have been a resident of Waukegan for over 25 years and have dedicated my life to serving my community. I've volunteered my time and efforts in various organizations, such as the Waukegan Youth Football Association, the Waukegan Youth Wrestling Club, and Relay for Life Greater Waukegan/Far North Shore. I've been actively involved and have served as a Board Member of different social, environmental, business, health, and government organizations. I currently serve on the Black Chamber of Commerce of Lake County Board, the Waukegan Park District Recreational Advisory Board, the Illinois Department of Human Services' Social Services Advisory Council, the Illinois Coalition for Immigrant and Refugee Rights Board, and the National Alliance on Mental Illness (NAMI) Lake County Board of Directors, just to name a few.

I've invested so much in my community because I love where I live. Waukegan has a beautiful lakefront that sits on the shore of Lake Michigan. Our community has much to offer from the great cultural diversity of our residents, many small businesses and local restaurants, as well as a vibrant arts community. Our harbor is home to hundreds of sailboats and our municipal swimming beach attracts hundreds of families throughout the summer. My son and I live in downtown Waukegan and I honestly can't imagine living anywhere else. I am incredibly invested in my community and I work extremely hard to promote all of the amazing things that our city has to offer. However, Waukegan faces many challenges.

My community has a painful history of industrial pollution and contamination. Industrial corporations made their profits for many years on our lakefront and then abruptly left our community along with a long legacy of contamination, which we are currently still spending our



tax dollars trying to clean up. The lack of transition planning as these companies exited the community resulted in massive job and tax base loss which economically depressed our community. The extensive contamination has left sites in years of remediation efforts and now with limited options for reuse. The City of Waukegan is now made up of 54% Hispanics and 18% African Americans, with a total population of 89,000. Families in our community are low to moderate-income, who don't always have access to quality healthcare nor preventative care. Minority and economically disadvantaged communities are disproportionately burdened by pollution, and the Waukegan community is no different.

On top of all of the other sources of pollution my community is exposed to, we also have the largest source of air and water pollution in the county in our backyard: the NRG Energy coal plant. After its previous owner Midwest Generation went into bankruptcy, NRG Energy purchased Midwest Generation as a subsidiary along with its Illinois coal plant fleet which included the Waukegan plant. The plant was built in the 1920s and currently operates boilers that are over 50 years old. The coal power plant hurts the health of all communities in Lake County, but particularly Waukegan. As a mother, I feel very blessed because my children are healthy, love to run around, and are very active. Unfortunately, as many as 1 in 3 children in Waukegan are not as blessed and are living with asthma or symptoms of asthma according to a pediatric asthma assessment conducted by the Mobile C.A.R.E. Foundation (Attachment 1).

My mother and I also suffer from asthma, and although I am grateful for my job, which provides health benefits, I constantly worry about my mother because she does not have health insurance or any state or federal assistance for prescription medications. Not only is our public health being impacted by the pollution from the coal plant, but so are our budgets. The rising medical costs are an everyday struggle for our low to middle income families.

In 2012, the NAACP released its Coal Blooded report, which looked at how low-income and minority communities are disproportionately affected by health-threatening pollution from coal-fired plants (Attachment 2). Based on a national analysis, the report ranked the Waukegan power plant as the twelfth worst violator of environmental justice in the country. Many of the plants listed in the top 10 in the report have since closed, putting Waukegan even higher now on the list. I live just over 1 mile from the plant. Needless to say, I don't let my children go swimming or fishing on the Lake due to my fear of them getting sick just by doing things that normal kids do because of the exposure to so many sources of pollution.

The Waukegan plant has two active coal ash ponds that sit just over 300 yards from the shore of Lake Michigan. While the ponds have plastic liners, monitoring reports from groundwater monitoring wells at the plant have revealed groundwater contamination from common pollutants found in coal ash, such as arsenic, boron, manganese, sulfates, pH, chloride, iron, selenium, and total dissolved solids.

Additionally, we have learned that coal ash had been stored elsewhere on the site in the past and was never cleaned up. This coal ash is also contributing to groundwater contamination. This follows the terrible pattern of companies who go into bankruptcy and abruptly leave our community and lakefront behind with a devastating legacy of pollution that our community and taxpayers have to clean up. How does this happen? How are companies allowed to do this in my community time and time again? Not only is it a tremendous amount of toxic pollution that our predominantly low-income, Latino residents are exposed to, but it is also devastating for our

community economically. Waukegan has dreamed for years, and still dreams, of revitalizing our lakefront. We have aspirational lakefront plans that have seen little success in coming to fruition in part because of the amount of contamination that remains at many of these sites and the limited re-use options they offer due to the levels of contamination. When we allow companies to pollute our communities and do not force them to clean up, we deter future investment in these sites and in our communities at large. This is devastating for economically disadvantaged communities like Waukegan who desperately need new investments and economic renewal.

In addition to groundwater contamination associated with the NRG coal ash ponds and the legacy coal ash landfill, our community has great concerns about the water discharge from the plant going into Lake Michigan, some of which comes in direct contact with the coal ash water. The Waukegan coal plant was allowed to operate for years with an expired water permit, missing 3 five-year renewal deadlines. According to NRG's latest water permit, the Waukegan plant discharges millions of gallons of water into Lake Michigan every day, including over 8 million gallons of water from its "wastewater treatment system." Included in this water is over a million gallons of water that transports ash to one of the on-site ponds along with 1 million gallons of coal pile run-off water. One of the other things I worry about is if some of these ponds could overflow during heavy rainfall and the risks that would pose to Lake Michigan and the municipal swimming beach, which is less than a mile down shore from the plant.

Companies that operate wet, leaching coal ash ponds in communities, especially ones considered environmental justice communities, should be required to handle their ash dry and store the waste in a properly managed, well-engineered landfill. All companies must be required to thoroughly clean up their legacy ponds and other coal ash contamination at their sites, which continue to threaten our environmental and public health, and must be forced to set aside money to ensure there are funds available for cleanup. This is critical, as we saw the last operator of the Waukegan coal plant go into bankruptcy. This is not required in the federal coal ash rule or in Illinois law. The existing regulations and engagement practices in place must be improved if our communities are truly going to achieve environmental justice. Communities like Waukegan deserve better and we cannot continue to be left behind.

For years we have fought hard for our community to be protected, for our community to be respected, and for our community to be seen. This board has the power to change years of systematic environmental violations done to environmental justice communities and give us the opportunity to have what other more affluent communities currently enjoy, a clean environment and good health. In other words, basic human rights. And this can be done by making sure the rulemaking process allows for extensive public participation, ensuring the rules prevent companies from hiding information but rather making the information easy and accessible to the public and community, addressing the coal ash landfill, and ensuring the removal of the coal ash ponds instead of capped in place. This rulemaking process has the potential of being an agent of change, it's an opportunity to provide my community and other environmental justice communities with a vision of a brighter future.

The vision I have for my family and for my community does not include thousands of tons of air, water, and coal ash pollution. My vision for my family and community is a lakefront where I can take my children swimming in the waters of Lake Michigan without worrying about toxic water pollution; where we can go fishing without worry about mercury and PCB contamination of the fish we catch. My vision for my family and community is a lakefront with open space that

respects our environment, where corporate profit does not override the health needs of our families; where I can go running along the shore of Lake Michigan without worrying about my asthma. I want to see a clean energy future for Waukegan and all communities that have borne the burden of air, water, and coal ash pollution for decades.

Thank you again for the opportunity to provide testimony, and I hope my comments can lead to stronger efforts together to make my vision a reality.

A handwritten signature in black ink, appearing to read "Dulce Ortiz", written in a cursive style.

By: \_\_\_\_\_

Dulce Ortiz

Dated: August 27, 2020

**CERTIFICATE OF SERVICE**

The undersigned, Jeffrey T. Hammons, an attorney, certifies that I have served by email the Clerk and by email the individuals with email addresses named on the Service List provided on the Board's website, available at

<https://pcb.illinois.gov/Cases/GetCaseDetailsById?caseId=16858>, a true and correct copy of the **PRE-FILED TESTIMONY OF DULCE ORTIZ** before 5 p.m. Central Time on August 27, 2020. The number of pages in the email transmission is 158 pages.

Respectfully Submitted,

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**ATTACHMENT 1**



# AN ASSESSMENT OF PEDIATRIC ASTHMA IN NORTHERN LAKE COUNTY, ILLINOIS

Prepared January 2012

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## **ASTHMA NEEDS ASSESSMENT**

### **ACKNOWLEDGEMENTS**

Mobile C.A.R.E. Foundation gratefully acknowledges the contributions of the numerous individuals and organizations that assisted in the analysis of the asthma care and health education needs of children in northern Lake County. In particular, we would like to thank the Healthcare Foundation of Northern Lake County for funding the assessment, the Lake County Health Department for their guidance and preliminary data, school nurses in Waukegan, Zion, and North Chicago for their time and feedback, and the many children affected by this condition who spurred our inquiry.

In addition, Mobile C.A.R.E. Foundation would like to acknowledge the work of its staff, in particular, Development and Marketing Director Katrese L. Minor, who provided day-to-day guidance of the needs assessment, with the assistance of Tanisha Sellers, Community Relations Coordinator and Asthma Van Nurse. Further, the assessment was assisted by Northwestern University staff and students. Particularly, Suet Yi was integral in the development of the school nurses' survey used to gather data about the state of asthma within the school districts and possible interventions.

## **ASTHMA NEEDS ASSESSMENT**

### **ABSTRACT**

In May 2011, the Healthcare Foundation of Northern Lake County awarded Mobile C.A.R.E. Foundation—a Chicago-based not-for-profit—grant funding to assess the needs of asthmatic children residing in northern Lake County. Mobile C.A.R.E. Foundation, assisted by Lake County Health Department, Northwestern University staff and students and area school nurses and healthcare professionals, conducted an asthma needs assessment utilizing preliminary data collected by the health department, relevant literature, records and report studies, interviews with persons with specific knowledge and data from a Mobile C.A.R.E. Foundation-constructed survey completed by school-based nurses.

While pediatric asthma morbidity and mortality levels in Lake County do not rival those of neighboring Cook County, a significant number of children remain symptomatic and not in control of their asthma. Mobile C.A.R.E. Foundation's Comprehensive Asthma Management Program, which provides asthma screening, no-cost asthma care, family health education, medications, and other ancillary services, has provided relief to thousands of families in Chicago – significantly decreasing patients' asthma-related school absences, hospitalizations, and emergency room visits. A similar program does not exist in Lake County.

The needs assessment results will serve to prioritize asthma issues in the county, in particular the northeastern region of the county. Further, it will help ascertain whether a need for a program similar to the Comprehensive Asthma Management Program, as it is currently employed in Chicago or with modifications, exists.

## ASTHMA NEEDS ASSESSMENT

### INTRODUCTION

Asthma, a potentially life-threatening inflammatory disease which causes the airways to narrow and disrupt breathing, remains one of the most common chronic and poorly managed diseases afflicting children today. In the past 20 years, the number of Americans with asthma has more than doubled. Tragically, asthma disproportionately affects, children, and Hispanic and African-American populations.

According to the CDC National Center for Health Statistics, asthma affects approximately 6.2 million children. The 2009-2014 Illinois Asthma State Plan, *Addressing Asthma in Illinois, 3<sup>rd</sup> ed.*, notes that children under 5 years of age experienced the greatest increase in asthma prevalence during the last 20-year period. For youth, asthma is one of the leading causes of school absenteeism, accounting for more than 14 million missed school days annually. Asthma symptoms may also impair overall quality of life for a child by restricting recreational and social activities.

Further, the 2009-2014 Illinois Asthma State Plan, *Addressing Asthma in Illinois, 3<sup>rd</sup> ed.*, shows that the burden of asthma in Illinois outpaces national trends. Over the past 20 years, Illinois has had one of the highest asthma mortality rates in the nation and increasing prevalence, morbidity and mortality rates.

Mobile C.A.R.E. Foundation, formed in 1998, provides no-cost and comprehensive asthma care and education to children and families in Chicagoland's underserved communities via mobile medical units, the Asthma Vans. Mobile C.A.R.E. launched its mainstay initiative, the Comprehensive Asthma Management Program (CAMP), in 1999. Modeled after the Breathmobile program, a Los Angeles community asthma program, CAMP began with service to two Chicago Public Schools (CPS) elementary schools on Chicago's West and South sides. Today, CAMP reaches students in 30 Chicagoland communities through locations within CPS, parochial schools and Head Start centers.

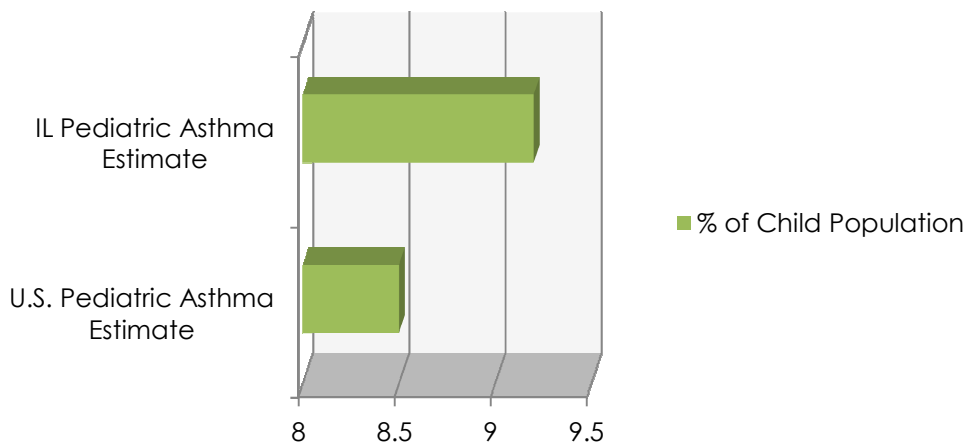
Continuing its efforts to identify areas most in need, Mobile C.A.R.E.'s strategic plan includes broadening its reach to collar counties. **This report is intended to provide an assessment of the specific needs among asthmatic children residing in northern Lake County, specifically North Chicago, Waukegan and Zion.** The supporting data in this report comes largely from the Illinois Behavioral Risk Factor Surveillance System; the Lake County Health Department report entitled *Asthma Intervention Project*, the 2009-2014 Illinois Asthma State Plan, *Addressing Asthma in Illinois, 3<sup>rd</sup> ed.*, and survey responses provided by nurses providing care in area schools.

**ASTHMA NEEDS ASSESSMENT**

**PEDIATRIC ASTHMA IN NORTHERN LAKE COUNTY**

*Prevalence*

Prevalence provides a reliable gauge by which to assess and track the burden of disease among population groups. According to the Behavioral Risk Factor Surveillance Survey (BRFSS), approximately 8.5% of children residing in the United States reported having asthma in 2009. Comparatively, in the same year, the BRFSS survey estimated that 294,587 Illinois children had asthma (9.2% of the child population) as reported by their caretakers.



**Figure 1 - United States v. Illinois Pediatric Asthma Prevalence**

Narrowing the focus to Lake County, in the 2007-2009, 4<sup>th</sup> Round Behavioral Risk Factor Surveillance Survey Lake County, **12.1%** of county households had a child with asthma.

**Table 1 – Current Pediatric Asthma Prevalence – Lake County**

| Geographic Area | Any Child In Household Has Asthma (Count) | Any Child In Household Has Asthma (%) | Confidence Interval |
|-----------------|---|---------------------------------------|---------------------|
| Lake County     | 31,711                                    | 12.1%                                 | ± 6.7%              |

IDPH, ICHS, 4th Round County BRFS (2007-2009)

Research suggests that prevalence figures reporting physician-diagnosed asthma underestimate prevalence among children by 5-8%. Through its Asthma Intervention Project, 2010, the Lake County Health Department distributed over 8,000 Brief Pediatric Asthma Surveys (BPAS) to parents of children up to 5<sup>th</sup> grade, of which nearly 5,000 (approx. 61.5%) were returned. In Waukegan, North Chicago and Zion, **32.8%**, **32%**, and **27.4%** of respondents, respectively, had a diagnosis of asthma or presented symptoms of asthma through responses to the validated assessment tool.

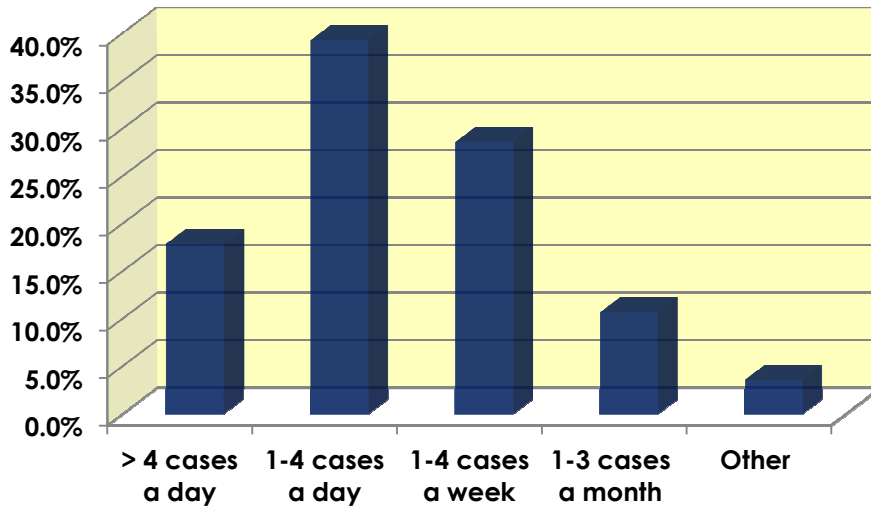
**ASTHMA NEEDS ASSESSMENT**

**Table 2 – Lake County Health Department Survey Results – Diagnosis**

| Geographic Area | Parents reporting children diagnosed with asthma (%) | Non-diagnosed children, presenting symptoms of asthma (%) | Total |
|-----------------|--|---|-------|
| North Chicago   | 16   | 16  | 32%   |
| Waukegan        | 16.4   | 16.4  | 32.8% |
| Zion            | 14.8   | 12.6  | 27.4% |

Lake County Health Department report, *Asthma Intervention Project, 2010*

To understand better the prevalence of pediatric asthma in the target area, Mobile C.A.R.E. surveyed nurses caring for students in area school districts. When asked how often in their job as a school nurse they came across an asthma-related case, the responses were:



**Figure 2 - Estimated Number of Asthma Cases per Nurse**

Estimated number of students served per nurse, as reported by nurses surveyed:

|         |         |
|---------|---------|
| Mode    | 601-800 |
| Median  | 601-800 |
| Average | ≈715    |

*Morbidity and Mortality*

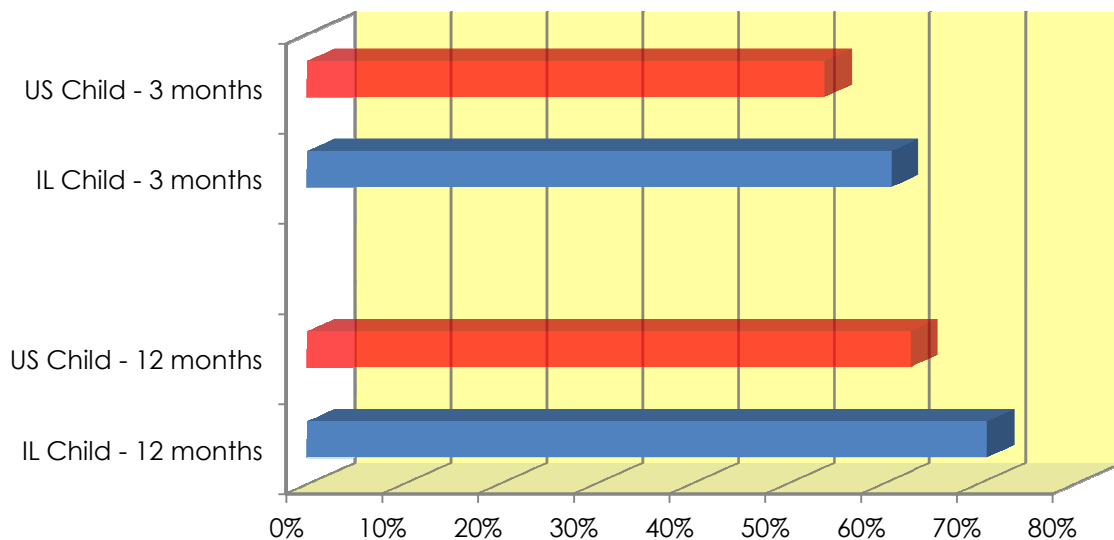
Frequency and severity of asthma symptoms and quality of life often indicate one’s management of asthma. Common morbidity measures for asthma include frequency and severity of symptoms, asthma-induced hospitalizations, emergency department visitations and school absenteeism.

**ASTHMA NEEDS ASSESSMENT**

**Frequency and Severity of Symptoms**

Asthma symptoms range from minor to severe and vary from person to person. The BRFSS Call-Back (2009) revealed that a little less than 2% of Illinois children experiencing asthma symptoms in the past year had symptoms every day of the 30 days prior; another nearly 20% experienced symptoms 5-29 out of the 30 days. Frequent symptoms of wheezing, coughing, pains in the chest and the like can trigger an asthma attack - a sudden severity of symptoms. As per the BRFSS Call-Back (2009), for Illinoisans who have had asthma symptoms in the past 12 months, 71% of children had an asthma attack or episode in the same period; 62% of children have had an asthma attack within three months.

**% Experiencing Asthma Episodes or Attacks Among Those Reporting Symptoms in the Last 12 Months**



**Figure 3 - Asthma Attacks Among Symptomatic Children**

As demonstrated in Figure 3, the percentage of asthma episodes or attacks in the past 12 months among Illinois children was higher than the percentage of asthma attacks reported in the past 3 and 12 months, nationwide. Data from the Lake County Health Department report, *Asthma Intervention Project, 2010* and survey of school nurses reveal high percentages of uncontrolled asthma, symptomatic days and recurring cases among school-aged children.

**ASTHMA NEEDS ASSESSMENT**

**Table 3 – Lake County Health Department Survey Results – Symptomatic**

| <b>Geographic Area</b>  | <b>Child diagnosed; continuing to experience symptoms of asthma (count)</b> | <b>Child diagnosed, continuing to experience symptoms of asthma (%)</b> |
|---|---|---|
| North Chicago   | 143/166   | 86.1%   |
| Waukegan  | 292/326   | 89.6%   |
| Zion  | 89/113  | 78.8%   |
| Lake County Health Department report <i>Asthma Intervention Project, 2010</i> |   |   |

When school nurses were asked, “Are your asthma cases recurrent, do you often see the same children coming in continuously?”, they responded:

1. Yes, a high percentage of cases (>70%) are recurrent 42.9%
2. Yes, a reasonable percentage of cases (30 - 70%) are recurrent 39.3%
3. No, only a small percentage of cases (0 - 30%) are recurrent 17.9%

Ongoing, recurrent symptoms often lead to respiratory trauma and increased usage of acute medical care. The National Heart, Lung, and Blood Institute guidelines for the clinical management of asthma recommend periodic preventive visits for asthma monitoring, whereas a proportion of visits in non-emergent ambulatory settings may reflect appropriate disease management.

**Hospitalizations and Emergency Department Visits**

*Children and Asthma in America*, a landmark survey of the current state of asthma and asthma management among children in the United States and parallel survey of children with asthma and without asthma in the Chicago area, revealed that many respondents were uninformed about asthma causes, treatments and symptom prevention. According to the survey, asthma is also a burden on the healthcare system with 8% of asthmatic children hospitalized in the past year for asthma as compared to 3% of children without asthma hospitalized for any reason.

Per the Illinois Department of Public Health EMS Reporting System, between 1998 and 2008, 2,568 children, aged 0-19, were hospitalized in Lake County for asthma-related issues – a yearly average of slightly more than 233 children. Comparatively, in 2009, 343 children in Lake County were hospitalized with asthma-induced concerns.

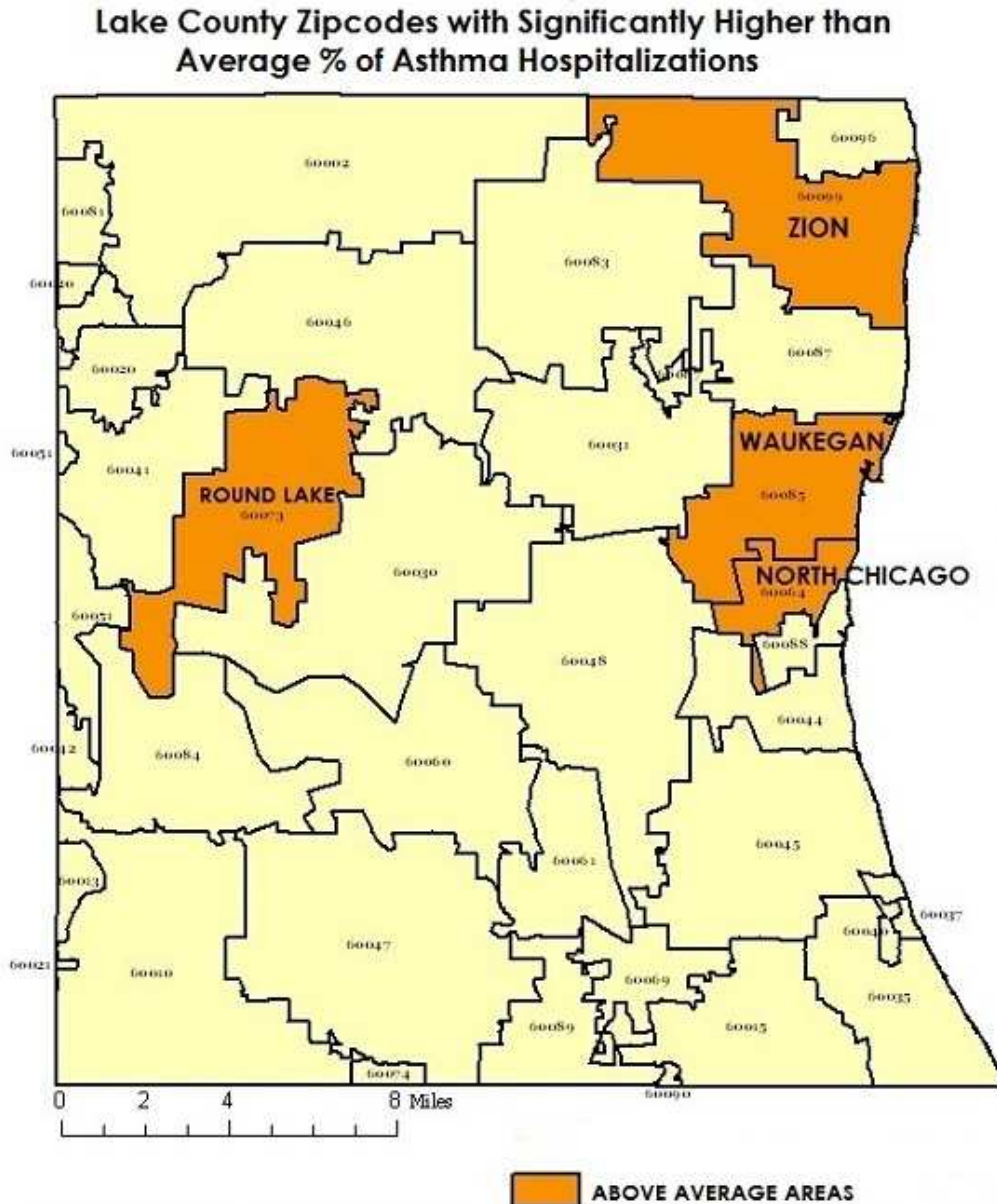
*Four Communities Feeling the Brunt of Hospitalizations and ER Visits*

According to the Lake County Health Department 2009 *Asthma in Lake County* report, from 2001 through 2006, there were 4,300 Lake County residents hospitalized due to asthma. Of the 4,300 reported, four zip code areas



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accounted for a significantly higher than average proportion of total asthma hospitalizations during the period. Specifically, those areas were 60064 (North Chicago), 60073 (Round Lake, Round Lake Heights, Round Lake Park and Round Lake Beach), 60085 (Waukegan) and 60099 (Zion). Similarly, in its 2010 *Asthma Intervention Project*, the health department found that the four areas continued to have the highest incidence of hospitalizations for asthma in 2009-2010.



Adopted from Community Health Assessment Program, Product: # Asthma Hospitalization by Zip code #1  
**Figure 4 - Areas with Above Average Asthma Hospitalizations**

According to the aforementioned *Children and Asthma in America* survey, 17% of asthmatic children had an emergency room visit in the past year for their asthma, while only 13% of children without asthma required an emergency

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room visit for any reason. Of the more than 5,000 emergency room visits in Lake County during 2009-2010, Waukegan, Zion, Round Lake and North Chicago had the highest incidence of visits for asthma at 22.4%, 10.1%, 8.3%, and 6.3%, respectively.

**Asthma Mortality**

Although preventable, a number of deaths have been caused by asthma, including 1,363 in Chicagoland (as defined by the Chicagoland Chamber of Commerce) from 1999-2007, with 56 occurring in Lake County, according to data from the Illinois Department of Public Health EMS Reporting System.

**Table 4 - Chicagoland County Asthma Mortality Rates**

| County of Residence | Number of Deaths | Percent Among 6 Counties |
|---------------------|------------------|--------------------------|
| COOK                | 1,152            | 84.5                     |
| LAKE                | 56               | 4.1                      |
| DU PAGE             | 48               | 3.5                      |
| KANE                | 45               | 3.3                      |
| WILL                | 44               | 3.2                      |
| MCHENRY             | 18               | 1.3                      |
| <b>Total</b>        | <b>1,363</b>     | <b>100</b>               |

Chicagoland's trend in asthma-related deaths mirrors national trends. In its 2011 *Trends in Asthma Morbidity and Mortality* report, the American Lung Association noted that asthma deaths are rare among children and increase with age. In 2007, 152 children under 15 died from asthma (0.2 per 100,000 population) compared to 659 adults over 85. The death rate in those 85 and over was 173% greater than the second highest mortality rate, seen among those 75-84 years of age (12.0 per 100,000 versus 4.4 per 100,000)

*Available Clinics and Resources for Asthmatic Youth*

**School Resources**

The more than 40 schools within the target cities (North Chicago, Waukegan, and Zion) are served by approximately three dozen school nurses. As mentioned above, the average number of students served per nurse is roughly 715.

- The North Chicago School District 187 is located in North Chicago, IL and includes 10 schools that serve 4,141 students in grades PK through 12.

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- The Waukegan CUSD 60 is located in Waukegan, IL and includes 24 schools that serve 17,049 students in grades PK through 12.
- The Zion ESD 6 is located in Zion, IL and includes seven schools that serve 2,766 students in grades PK through 8.

Source: NCES, 2008

**Clinical Resources**

In its Asthma Intervention Project year-end report, the Lake County Health Department postulates that access to a physician was not a root cause of undiagnosed or poorly controlled asthma.

**Sample of Area Clinics and Physicians**

|  |                  |
|--|------------------|
| Advocate Good Shepherd Hospital                          | Barrington       |
| Belvidere Medical Building                               | Waukegan         |
| Condell Medical Center                                   | Libertyville     |
| Dr. Anthony Daddono, MD                                  | Waukegan         |
| Dr. Barry Goldman, MD                                    | Waukegan         |
| Dr. Bernardo Tan Jr, MD                                  | Waukegan         |
| Dr. Dante Gabriel, MD                                    | Waukegan         |
| Dr. Faye Samonte, MD                                     | Waukegan         |
| Dr. Fernando M. Striedinger, MD                          | Waukegan         |
| Dr. Gerald T. Havey, MD                                  | Waukegan         |
| Dr. Jessie Groothuis, MD                                 | North Chicago    |
| Dr. Robert Suskind, MD                                   | North Chicago    |
| Dr. Sara Parvinian, MD                                   | Waukegan         |
| Dr. Ujjvala Nadkarni, MD                                 | Zion             |
| Dr. Xavier W. Parreno, MD                                | Waukegan         |
| Evanston Northwestern Health Care Highland Park Hospital | Highland Park    |
| Grand Avenue Health Center                               | Waukegan         |
| Lake Forest Hospital                                     | Lake Forest      |
| Midlakes Medical and Dental Building                     | Round Lake Beach |
| North Chicago Health Center                              | North Chicago    |
| North Shore Health Center                                | Highland Park    |
| Northeast Satellite                                      | Zion             |
| Northshore Allergy & Asthma                              | Waukegan         |
| Vista Medical Center East Campus                         | Waukegan         |
| Vista Medical Center West Campus                         | Waukegan         |

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**DISCUSSION**

Lake County has a low asthma mortality rate among children. However, mortality usually affects older persons. Although morbidity rates for the county are at or below Illinois and national averages, four areas within in the county (North Chicago, Round Lake, Waukegan, and Zion) account for a disproportionate number of emergency room visits and hospitalizations due to asthma. Further, parents and school nurses report children experiencing continuous symptoms of asthma. More than 82% of school nurses reported high to reasonable percentages (30+%) of recurring cases of asthma. Similarly, among the parents surveyed in North Chicago, Waukegan, and Zion, an average of 84.8% of parents of children with confirmed diagnoses of asthma reported ongoing asthma symptoms among their children.

When asked, “what do you think are the most relevant root causes of poorly controlled asthma in children, not just in your school but Lake County in general?”, area school-based nurses responded:

|   | Highly relevant | Somewhat relevant | Occasionally relevant | Not relevant | N/A   | Ratings Average |
|---|-----------------|-------------------|-----------------------|--------------|-------|-----------------|
| Poor medical management and knowledge on physicians' part           | 14.30%          | 25.00%            | <b>39.30%</b>         | 17.90%       | 3.60% | 2.63            |
| Poor follow up on the physicians' part                              | 25.00%          | <b>32.10%</b>     | <b>32.10%</b>         | 10.70%       | 0.00% | 2.29            |
| Poor follow up on the parents' part                                 | <b>60.70%</b>   | 28.60%            | 10.70%                | 0.00%        | 0.00% | 1.5             |
| Lack of accessibility to medical care and medications like inhalers | 28.60%          | <b>32.10%</b>     | 25.00%                | 14.30%       | 0.00% | 2.25            |
| Incorrect use of medications like inhalers                          | <b>50.00%</b>   | 35.70%            | 10.70%                | 0.00%        | 3.60% | 1.59            |
| Inadequate understanding of asthma triggers                         | 35.70%          | <b>39.30%</b>     | 17.90%                | 3.60%        | 3.60% | 1.89            |

When asked to suggest other pressing causes, or elaborate on any one of them in further detail, the nurses had the following to offer:

- Too many students use rescue inhalers as their only asthma treatments
- I feel that the expense of doctor visits and the cost inhalers are a major reason why some students are poorly controlled. There are clinics available, but the parents need to work during the hours to support their

## ASTHMA NEEDS ASSESSMENT

families, and the Saturday and evening hours are not enough to service all the clients waiting to be seen.

- Communication barriers with the parents; time and communication issues with getting doctor's orders for the Inhalers
- Parents need to go to "asthma school" and learn as much as possible about asthma
- The students and their parents are often confused about their inhalers and which ones to use when. I cannot say for sure if this is the physician's fault or a lack of understanding on the part of the family. In addition, the inhaler technique that the students use is often wrong. Finally, many students do not have spacers and have trouble using their inhaler correctly.
- Most of my students have access to short-acting inhalers only

The top three root causes of poorly controlled asthma learned through the formal poll and generalized across recurring concerns in the survey responses are:

1. Poor follow up on parents' part
2. Incorrect use of medications
3. Cost/lack of accessibility to care

Although little is known about preventing the onset of asthma, guidelines to manage and control the disease are well established. Per the national guidelines of the Expert Panel Report 3 (EPR—3) Summary Report 2007: Guidelines for the Diagnosis and Management of Asthma, the four components of asthma care include:

Component 1: Assessing and Monitoring Asthma Severity and Asthma Control  
Component 2: Education for a Partnership in Care  
Component 3: Control of Environmental Factors and Co-morbid Conditions That Affect Asthma Allergens and Irritants Co-morbid Conditions  
Component 4: Medications

The aforementioned components align with the elements of Mobile C.A.R.E. Foundation's Comprehensive Asthma Management Program. A similar program does not exist in Lake County.

Before the survey, 32% of nurses surveyed had heard of Mobile C.A.R.E. Foundation; 68% had not. When asked their thoughts about the Comprehensive Asthma Management Program being brought to their school, the responses were:

## ASTHMA NEEDS ASSESSMENT

1. There is a pressing need for this service in my school.  
**39.3%**
2. The asthma problem is not severe in my school, but we can definitely benefit from having this service.  
**53.6%**
3. The situation is manageable in my school; there are other schools that need the service and can benefit more from it.  
**3.6%**
4. Other (*please specify*)  
**3.6%**
  - a. *Undecided*

Further, the nurses' commented that the top areas of need, should Mobile C.A.R.E. Foundation start providing asthma care services at their school, were:

1. Diagnosis  
**0.0%**
2. Education for patients and their parents  
**35.7%**
3. Providing follow-up care and ongoing appointments  
**25.0%**
4. Providing medical supplies and distribution of medications, spacers, nebulizers  
**25.0%**
5. Other (*please specify*)  
**14.3%**
  - a. *Both supplies and ongoing care*
  - b. *Undecided*
  - c. *All of the above*
  - d. *Proper diagnosis, proper education and proper medication for kids who really do have asthma*

## **ASTHMA NEEDS ASSESSMENT**

### *Direct Quotes*

"Parents need to go to 'asthma school'."

"Too many students use rescue inhalers as their only asthma treatments."

"The students and their parents are often confused about their inhalers and which ones to use when. ... also the inhaler technique that students use is often wrong. Finally, many students do not have spacers and have trouble using their inhaler correctly."

"My students need education, and medical asthma supplies. Some don't have physicians and use the ER as their doctor."

"The Waukegan School district has a tremendous need for this service."

"Parents are reluctant to provide an additional inhaler for school because of cost. Also, the spacers used are also expensive. Most parents cannot afford to see an asthma specialist who can provide better medical management for their child. It would be nice to have an asthma clinic come to school."

## **ASTHMA NEEDS ASSESSMENT**

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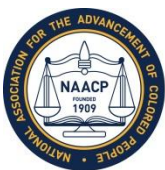
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**ATTACHMENT 2**

# Coal Blooded

Putting Profits Before People



[WWW.NAACP.ORG](http://WWW.NAACP.ORG)

**NAACP**



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## FOREWORD:

# The Movement for Environmental and Climate Justice

***Environmental issues are not isolated instances. They are a broad national concern with civil rights implications. Historically, people of color have disproportionately experienced negative outcomes associated with their physical environment.***

Communities of color have been forced to contend with land appropriation, toxic working conditions, polluted neighborhoods and other conditions that have a detrimental effect on their environments and socioeconomic opportunities. It was in the 1960s and 1970s, mainstream audiences who were galvanized into action by the publication of *Silent Spring*, and who responded with “not in my backyard” when faced with environmental hazards that would impact public health and private property. While white middle-class communities were often successful in combating these threats, “the path of least resistance became an expressway leading to the one remaining toxic frontier—people of color communities.”<sup>1</sup> However, in 1982, a community battle against a controversial polychlorinated biphenyl (PCB) disposal landfill, in rural Warren County, North Carolina, mobilized hundreds of African Americans in civil disobedience and led to over 500 arrests.<sup>2</sup> The fight was widely cited as the spark which ignited the Environmental Justice (EJ) Movement.

Pioneering work by Bunyan Bryant, Pau Mohai, Robert Bullard and others, along with groundbreaking reports, most notably in 1983, by the U.S. Government Accounting Office and in 1987, by the Commission for Racial Justice of the United Church of Christ, confirmed that there was a direct correlation between race and toxic waste sites: “Although socioeconomic status appeared to play an important role in the location of commercial hazardous waste facilities, race still proved to be more significant.”<sup>3</sup>

In September 1991, over 600 grassroots leaders from every state in the U.S. attended the First National People of Color Environmental Leadership Summit in Washington, D.C. This summit broadened the scope of the growing EJ movement to include issues of public health, land use, transportation, housing, resource allocation, and community empowerment.<sup>4</sup> One legacy of the event was a statement called the “Principles of Environmental Justice,” which outlined the following key demands:<sup>5</sup>

- The “cessation of the production of all toxins, hazardous wastes, and radioactive materials, and that all past and current producers be held strictly accountable to the people for detoxification and containment”
- The “right to participate as equal partners at every level of decision making, including needs assessment, planning, implementation, enforcement and evaluation”
- The strict enforcement of processes of informed consent
- The right to reparations for victims of environmental injustice
- The right to self-determination for all peoples
- The freedom from bias in public policy relating to environmental issues
- The right of workers not to be “forced to choose between an unsafe livelihood and unemployment”
- Recognition of Indigenous peoples’ special “legal and natural” relationship of sovereignty and self-determination with the U.S. government
- Opposition to military occupation and exploitation of lands and peoples
- The protection of all peoples from nuclear testing and waste disposal

From its beginnings in the early 1980s, the EJ movement has expanded significantly throughout the United States, and has gradually forged a path for government agencies and mainstream environmental advocacy organizations to confront issues of the environment and communities of color. There are now hundreds of grassroots environmental groups based in communities of low-income and of color, along with scores of academic programs offering training and support of EJ issues.<sup>6</sup> In 1990, leaders of the Southwest Organizing Project, in Albuquerque, NM, spearheaded an initiative to prod the country’s largest and most influential conservation organizations (dubbed “the Group of Ten”) to establish more equitable working relationships with environmental justice groups. The majority of the national environmental groups, after considerable prodding, have responded in some way, ranging from attempts to diversify their staffs to, in the case of the Sierra Club, establishing a national environmental justice program to work in partnership with community-based organizations.

The urgency for response has also extended to the climate justice community. Since 1988, when James Hansen and SergejLebedeff published the first definitive proof that the planet was warming, “climate change” has been transformed from an academic theory into a global political struggle, with unprecedentedly massive amounts of resources at stake.<sup>7</sup> In 1992, the United Nations Conference on Environment and Development in Rio de Janeiro resulted in the creation of the United Nations Framework Convention on Climate Change (UNFCCC), a negotiating framework that has since governed intergovernmental negotiations on fighting climate change. In 1997, the third UNFCCC intergovernmental climate conference in Kyoto (COP-3) resulted in the Kyoto Protocol, an international environmental treaty that produced an initial pathway for market-based emissions reductions, and in 2009, the COP-15 meeting in Copenhagen saw the negotiation of the “Copenhagen Accord,” an agreement for modest CO<sub>2</sub> emissions reductions that was negotiated

by five top-polluting countries. The Accord has since been signed by over 130 additional governments.

As part of this transformation, many mainstream environmental organizations have gone from being voices for change on the margins of the political process, to allying themselves with powerful political and economic actors — politicians, regulatory agencies, and eco-reformist corporations — in building campaigns for carbon reductions in which ecological principles are often sacrificed to political expediency.<sup>8</sup> In order to defend their polluting industries from radical overhaul, reformist corporations have spent a massive amount of resources promoting “false solutions”: initiatives such as carbon trading, carbon capture and storage/sequestration (CCS) and natural gas, biofuels, and other “alternate” fuel stock, that seek to “manage the climate crisis without compromising profits, the power structures or the economic system that got us here, even if that means exacerbating the problem.”<sup>9</sup>

In the United States, the promotion of “clean coal” and CCS has allowed the coal power industry to continue polluting communities by holding up the false hope of eventual reductions in carbon emissions. By “greening” the image of coal through heavy advertisement and political promotion of the supposed promise of “clean coal,” the energy industry has managed to take the political heat off of coal-fired power generation, and prolong the period in which these plants are allowed to continue operating. At the EPA, there has been recent progress in the development of new rules under the Clean Air Act to regulate air toxics, such as the

Mercury and Air Toxics Rule, which has already spurred announcements of intended closure of multiple plants, according to multiple plant owners. However, unfortunately, EPA proposes to exempt existing coal power plants from its new rule regulating greenhouse gasses, the New Source Performance Standard for Power Plants. The new proposed rule is limited to new plants.

In recent years, many climate activists have criticized the increasingly cozy relationship between large environmental organizations and government/corporate actors, arguing that some mainstream environmental organizations are ignoring principles of environmental justice while they appear to defer to government and corporate partners more than they do to activists at the forefront of local climate, environmental, and social justice struggles. These activists have formed what they call the “climate justice movement,” arguing that stopping climate change is impossible without radically transforming the economic and political system that caused climate catastrophe in the first place.

In the past decade, advocates for climate justice have grown from a small network of individuals — often with roots in the global justice or environmental justice movements — to become a full-fledged social movement. The Bali Principles (inspired by the 1991 Principles of Environmental Justice), which were authored by the Indigenous Environmental Network, Third World Network, Oil Watch, CorpWatch, Friends of the Earth, the National Alliance of People’s Movements, and other groups from both Global North and South — outline the following central principles of climate justice:<sup>10</sup>



- A demand for a moratorium on all new fossil fuel exploration & exploitation, nuclear power plant construction, and large hydroelectric dam construction;
- Opposition to the role of corporations both in shaping unsustainable practices, and in unfairly influencing policy;
- The subordination of “market-based or technological solutions to climate change” to principles of democracy, sustainability, and social justice;
- The principles of “common but differentiated responsibilities” and democratic accountability that governments must hold to in responding to the climate crisis;
- The principle of the “ecological debt” owed by the Global North to the rest of the world for its disproportionate share of historical CO<sub>2</sub> emissions;
- The right of workers in fossil-fuel industries to a safe, healthy work environment, and the need for a “just transition” to a clean energy economy;
- The rights of women, youth, the poor, and rural peoples to have an equal voice in decision-making processes, without facing discrimination; and
- The right of Indigenous peoples and affected communities “to represent and speak for themselves,” to control all their traditional lands, to protect themselves from any threat to their territories or their “cultural way of life,” and to exercise “free, prior, and informed consent” over project decision-making.

While the climate justice movement has been at its most visible while protesting and agitating at international climate summits and negotiations (such as the protests at the COP-15 UN climate negotiations in Copenhagen in December 2009, at which 1,800 climate justice activists were arrested), those who comprise the “movement” are actually a coalition of local groups campaigning for real solutions to climate change in their communities. In the U.S., this movement includes groups like the Environmental Justice and Climate Change Initiative, the Deep South Center for Environmental Justice, We Act for

Environmental Justice, Southwest Workers Union, the Asian Pacific Environmental Network, Black Mesa Water Coalition, and many others. Through this transnational climate justice movement, local groups are given an important platform to demonstrate the integral connection between their local campaigns on a wide variety of issues, and the climate justice goals outlined above. As Indigenous activist Clayton Thomas-Muller has stated, the agenda of the climate justice movement is about:

*“Not simply demanding action on climate, but demanding rights-based and justice-based action on climate that... amplifies the voices of those least responsible and most directly impacted. Not only are we the frontline of impacts, we are the frontline of survival.”<sup>11</sup>*

In building this movement, climate justice activists are guided by an overriding principle: communities most affected by climate change should be at the forefront of the struggle. This report, ***Coal Blooded: Putting Profits Before People***, demonstrates both the urgency and opportunity for community action with respect to coal fired power plants—an issue at the intersection between climate justice and environmental justice.



# INTRODUCTION

This report focuses on the role that coal-fired power plants have in the inequitable health outcomes of low income communities and communities of color in the U.S. and in the contribution of greenhouse gasses that drive climate change, the consequences of which also disproportionately impact people of color and low income communities globally.



Coal plants have differing effects on low-income communities and communities of color - some are measurably worse than others. This report provides an empirical discussion of the effects of burning coal in power plants. Researchers focus on the coal plants in the U.S. with the worst records on environmental justice, and on the companies that own them.

***Overall, a small number of coal power plants have a disproportionately large and destructive effect on the public's health, especially on the health of low-income people and people of color. It is the argument of this report that the worst offending coal plants described and analyzed in this report must be closed – it is the only viable option.***

*Coal Blooded: Putting Profits Before People* is a systematic study of 378 coal-fired power plants in the United States, in which each plant is evaluated in terms of its environmental justice performance (EJP), i.e., how it affects low-income communities and communities of color. The same methodology is used to evaluate Corporate Environmental Justice Performance (CEJP), based on the effects of those companies' coal-fired power plants on low-income communities and communities of color. The score assigned to each plant, and each company, is based on five factors: SO<sub>2</sub> and NO<sub>x</sub> emissions; the total population living within three miles of the plant(s); and the median income and percentage of people of color among the total population living within three miles of the plant(s).

This report has been written for multiple audiences. First, the report is for grassroots community activists and community organizations, to make them aware of the issue and its impact, to provide tools for organizing and advocacy, and to highlight what a winning strategy looks like. Second, it is written for environmental activists and organizations to dialogue about the environmental justice and climate justice dimensions of the anti-coal movement, to raise awareness of the existence and struggle of grassroots environmental justice organizations in communities across the county, and to suggest models of partnership that are the basis of a winning strategy. Lastly, it is written for philanthropy to offer opportunities for investing

resources that will both support local communities' struggling to better their living conditions while also advancing environmental grant makers' most important goals of protecting human health and the environment and reducing greenhouse gas emissions.

- Part I provides an introduction to coal and its impact on our communities.
- Part II presents the performance ranking of coal power plants in the U.S.
- Part III provides a ranking of the coal power companies through a Corporate Environmental Justice Performance measure.
- Part IV discusses how the industry has been financially profitable for the companies engaged in the business of coal power.
- Part V provides a framework for responding to this overall situation.
- Part VI looks at the recent community victory in Chicago and describes the elements of a winning strategy to close the worst offending coal plants – especially the grassroots leadership required.
- Lastly, Part VII offers a series of recommendations on what can be done to reduce harm—both immediately and in the future.

*N.B. This report was researched and written using the last available 3-year average data from the EPA, from 2007-2010 and the latest census data available (2000) at the time of the completion of the report. Though some plants have closed and demographics have shifted, the intention is to illustrate the impact our dependence of coal has had on communities over time and to provide a cautionary tale if we continue on our present course of coal dependence.*



PART I:

# Coal Dependence in the United States

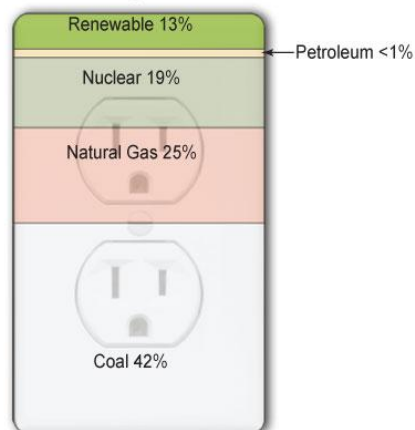


***America is hooked on coal—  
and that addiction has remained constant for at least four decades. While  
many other countries are moving toward cleaner energy sources, 44.6  
percent of the U.S.'s electricity comes from coal-fired power plants, which  
is still relatively unchanged from an historic low of approximately 44  
percent in 1972.<sup>12,13</sup>***

Coal burning is—and has always been—deadly. However, as journalist Jeff Goodell argues, coal's effects on public health are now less apparent than they were when the industry was just developing.

Fifty years ago, in industrial states such as Pennsylvania and Ohio, people were still dropping dead in the streets on days when air pollution was particularly bad. In China and India, they still are. But... the fact that most Americans no longer fear that pollution from a coal-fired power plants will kill them is... a dangerous illusion. Now it happens in slow motion, and in ways that don't translate easily to death certificates.<sup>14</sup>

### Sources of U.S. Electricity Generation, 2011



Source: U.S. Energy Information Administration, *Electric Power Monthly* (February 2012). Percentages based on Table 1.1, preliminary 2011 data.

Figure 1: U.S. Electricity Generation Fuel Shares, 2011<sup>15</sup>

**As there is no proven technology that can “clean” coal, the entire coal energy cycle — from mining, to combustion, to the disposal of coal ash — is harmful to communities:**



**Underground mining:** Though safer than it has been historically, underground mining still results in a number of negative side effects: significant health disorders and displacement among communities; destruction of natural habitats; disruption of sacred sites, water depletion from surface, subsurface and aquifers; and diversion of water away from community needs. For example, each year, underground mining results in an average of 4,000

cases of occupational lung disease (“black lung”) and scores of associated accidental deaths.<sup>16</sup>Also, coal mining in the *Hopi* and *Navajo* territories has forced Indigenous



peoples to be relocated, and to leave homelands that have sustained them for generations. Finally, underground coal mining releases methane, the greenhouse gas that is the second-leading cause of climate change.

**Mountaintop removal coal mining:** Hidden in the poorest and most economically vulnerable parts of West Virginia, Kentucky, Virginia, and Tennessee—mountaintop removal coal mining has permanently destroyed 500 mountains in Appalachia, and threatens hundreds more. The byproduct of toxic rubble has buried over 700 miles of rivers and streams, poisoning local water supplies.<sup>17</sup>



**Coal Combustion Residuals (CCRs):** Otherwise known as “coal ash,” CCR are the debris produced from burning coal for the generation of electricity. CCRs represent one of the largest waste streams in the United States. The U.S. Environmental Protection Agency (EPA) show that as of 2008, approximately 136 million tons of CCRs—which contain a range of metals such as arsenic, selenium, cadmium, lead, and mercury—are produced each year. According to the EPA, without proper protections, these agents contaminate ground water and migrate to drinking water sources, posing significant public health concerns.

### **Coal-Fired Power Plants: Dirty In, Dirty Out**

In 2010, there were 378 coal-fired power plants larger than 100 Megawatts (MW) in the United States (one megawatt is enough electricity to power about 800 average American homes).<sup>1819</sup> U.S. coal power plants produced 2.1 gigawatt-hours of electricity in 2007 — which amounts to nearly 26 percent of the world's total coal-fired electricity production, second in the world only to China (32%).<sup>20</sup>

Coal power plants, and their negative effects on public health, are highly regionally concentrated. In other words, only a handful of states are responsible for the majority of U.S. coal energy production. These states also experience disproportionately high rates of lung cancer and other respiratory diseases. Just ten states produce more than half the coal-fired electricity in the U.S. in 2005 (see figure below)—Texas (7%), Ohio (7%), Indiana (6%), Pennsylvania (6%), Illinois (5%), Kentucky (5%), West Virginia (5%), Georgia (4%), North Carolina (4%), and Missouri (4%). By contrast, the ten smallest coal energy-producing states — Connecticut, Oregon, California, South Dakota, Hawaii, Maine, Alaska, Idaho, Rhode Island, and Vermont — produced a combined total of less than 1 percent of the nation's coal-fired electricity.<sup>21</sup>

The top ten coal-energy-producing states have an average lung cancer rate of 98.3 per 100,000 (or 19% *higher* than the U.S. average); while the bottom ten states have an average lung cancer rate of 77.2 per 100,000 (or nearly 7% *lower* than the U.S. average).<sup>22</sup>

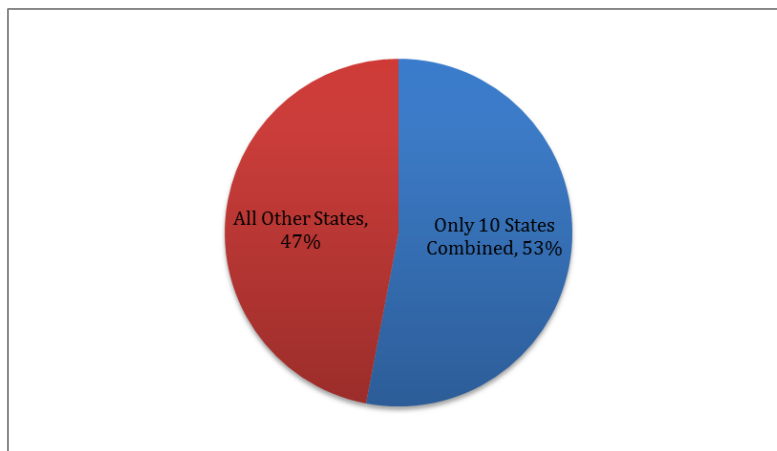


Figure 2: Percent of Coal-Fired Electricity in the U.S., 2005<sup>23</sup>

An analysis of the physical effects of the coal industry reveal that it is important to consider not only climate change, but also environmental justice, or the disproportionate location and impact of coal-fired power plant activity on low-income communities and people of color.



Nearly six million Americans live within three miles of a coal power plant. As noted below, coal power plants tend to be disproportionately located in low-income communities and communities of color.<sup>24</sup>



- People who live within three miles of a coal power plant have an average per capita income of \$18,400, which is lower than the U.S. average of \$21,587.
- Among those living within three miles of a coal power plant, 39 percent are people of color — a figure that is higher than the 36 percent proportion of people of color in the total U.S. population. Moreover, the coal plants that have been built within urban areas in the U.S. tend overwhelmingly to be located in communities of color.

Living in such close proximity to coal plants has serious consequences for those communities. Coal plants are single-handedly responsible for a large proportion of toxic emissions that directly poison local communities in the United States. Below is a summary of pollutants associated with coal power plants that disproportionately cause negative health effects in low-income communities and communities of color:

**Sulfur dioxide**, or  $\text{SO}_2$ , is one of the primary pollutants produced by burning coal. In fact, *coal power plants alone produce 74 percent of all  $\text{SO}_2$  pollution in the United States.*<sup>2526</sup> Immediately,  $\text{SO}_2$  causes coughing, wheezing, and nasal inflammation. Longer-term, it can cause or increase the severity of asthma, which is widespread in communities of color. African-Americans are hospitalized for asthma at three times the rate of whites, and the death rate from asthma is 172 percent higher for African-Americans than for whites.<sup>27</sup>

**Nitrogen oxides**, collectively referred to as  $\text{NO}_x$ , comprise a key category of pollutants produced by coal power plants, as these plants produce 18 percent of all  $\text{NO}_x$  pollution in the U.S.<sup>2829</sup> Not only do  $\text{NO}_x$  increase the risk of respiratory disease in children. They also reacts with sunlight to produce *ozone* ( $\text{O}_3$ ), which, like  $\text{SO}_2$ , increases the risk and severity of asthma, and causes coughing, wheezing, and shortness of breath. Again, communities of color are disproportionately impacted by asthma in comparison with white communities, and therefore are disproportionately negatively impacted by the presence of these additional pollutants.<sup>30</sup>

***Fine particle pollution (PM<sub>2.5</sub>)***, which is emitted directly by coal power plants, is created when SO<sub>2</sub> and NO<sub>x</sub> particles react in the atmosphere. This form of pollution may be among the deadliest: fine particulate pollution can cause premature death in people with heart or lung disease, as well as cause chronic bronchitis, irregular heart conditions, and aggravated asthma.<sup>31</sup> In addition to producing 74 percent of SO<sub>2</sub> pollution and 18 percent of NO<sub>x</sub> pollution in the U.S. (which react to produce PM<sub>2.5</sub>), coal is responsible for 85 percent of direct PM<sub>2.5</sub> emissions from U.S. power plants.<sup>3233</sup>

percent of the mercury emitted from industrial sources in the U.S.

***Other pollutants.*** While this report focuses on SO<sub>2</sub> and NO<sub>x</sub> (which in turn produce PM<sub>2.5</sub>), coal power plants release a wide variety of other toxins into the air and water — including mercury, uranium, arsenic, lead, and other heavy metals. When pregnant women are exposed to mercury, it can cause a wide variety of developmental disorders in their fetuses, including impaired brain functions, blindness, and other forms of developmental delay. The EPA estimates that power plants in general are responsible for 50 percent of the mercury, 60 percent of the arsenic, and over 50 percent of many acidic gases emitted in the U.S. in 2009 — and coal power plants comprise a large proportion of this total.<sup>34</sup> Coal plants are responsible for far more mercury pollution than the next ten largest sources of mercury pollution combined.<sup>35</sup> In 1999 (the last year for which reliable data are available), coal-fired power plants were responsible for nearly 42

***Coal plants kill — and low-income communities and communities of color experience the highest mortality burden.***

The full extent to which coal-fired power plants are associated with fatalities is difficult to precisely quantify; however, a conservative estimate is offered by a 2010 report by the National Research Council (NRC), which calculates that approximately 1,530 excess deaths per year are caused solely by particulate matter pollution from U.S. coal-fired power plants, and that “aggregate damages associated with emissions of SO<sub>2</sub>, NO<sub>x</sub>, and PM from [the 402 largest U.S.] coal-fired facilities in 2005 were approximately \$62 billion.”<sup>36</sup> The authors of this NRC report also note that other analyses calculated figures for total costs and mortality caused by U.S. coal plants that were as much as six times higher.<sup>37</sup>

In March 2011, the EPA proposed a rule change in air toxic emissions standards for coal- and oil-fired power plants that would have prevented between 6,800 and 17,000 premature deaths and 120,000 cases of aggravated asthma per year. Given that oil power plants represent only 1 percent of U.S. power production, the vast majority of this total is generated by coal power plants.<sup>38</sup> Out of all power plants in the U.S., coal power plants are responsible for 88 percent of SO<sub>2</sub> emissions and 85 percent of direct fine particulate matter (PM<sub>2.5</sub>) emissions; thus, if the EPA's assessments are correct, then *coal power plants alone are responsible for thousands, if not tens of thousands, of premature deaths each year.* Further, a 2010 report on power plant pollution by the Clean Air Task Force found that coal power plant pollution in the U.S. is responsible for 13,200 premature deaths and 9,700 hospitalizations each year, as well as over \$100 billion in monetary damages.<sup>39</sup>

***Coal-Fired Power Plants: Perpetrators of Climate Injustice***

*Carbon dioxide, or CO<sub>2</sub>, is a major cause of global warming.*<sup>40</sup> Pertinent to this discussion, coal is the world's most carbon-intensive fuel, which means that coal power plants produce more CO<sub>2</sub> per unit of energy than any other energy source.<sup>41</sup> *In 2006, coal-fired power plants in the United States alone produced 1.94 billion tons of CO<sub>2</sub> — 32 percent of the U.S.'s total CO<sub>2</sub> emissions, and almost 7 percent of the world's total CO<sub>2</sub> emissions. To put this in perspective, coal power plants in the U.S. emitted more CO<sub>2</sub> in 2006 than the total amount that was emitted by all sources in all countries in Latin America and the Caribbean that year.*<sup>42,43</sup>

Climate change is already devastating the Global South — and that devastation will only accelerate as the 21<sup>st</sup> century continues. The public narrative



has focused to a large extent on global warming causing rising sea levels, which will inundate low-lying countries such as Bangladesh and island-states in the Pacific Ocean.

Another very threatening impact of global warming is the transformation that it will cause in global weather patterns — generating increasingly severe weather and rising drought levels — which will disproportionately affect people throughout the world who rely on subsistence agriculture for their survival.<sup>44</sup> In November 2011, a report by the Intergovernmental Panel on Climate Change linked increases in extreme weather events to human-caused climate change:

*Maldivian President Mohammed Nasheed dons scuba gear as he signs a document that calls on all countries to cut down their carbon dioxide emissions ahead of a U.N. climate change conference.*

There is evidence that some [weather] extremes have [already] changed as a result of anthropogenic influences, including increases in atmospheric concentrations of greenhouse gases. It is likely that anthropogenic influences have led to warming of extreme daily minimum and maximum temperatures on the global scale. There is medium confidence that anthropogenic influences have contributed to intensification of extreme precipitation on the global scale. It is likely that there has been an anthropogenic influence on increasing extreme coastal high water due to increase in mean sea level.<sup>45</sup>

The Fourth Assessment Report of the Intergovernmental Panel on Climate Change states that global warming will cause the most dramatic impacts in Africa, in Asian and African mega deltas, and on small, low-lying islands (such as those in the Pacific Ocean); experts agree that people in Africa and South Asia will be more dramatically affected by these changes in weather patterns than people in the North America and Europe.<sup>46</sup>

However, global climate change is not only a threat to communities in the Global South. In recent years, politicians and regulatory agencies in the U.S. have begun to address the threat that global warming poses to communities here in the U.S. In 2007, the Supreme Court ruled that CO<sub>2</sub> and other greenhouse gases are pollutants under the Clean Air Act, and directed the EPA to follow the requirements of the Act and determine whether greenhouse gases endangered public health or welfare.<sup>47</sup> In 2009, the EPA responded to the Supreme Court, and found that the increased concentrations of greenhouse gases threaten the public health and welfare of current and future generations of U.S. citizens. The impacts of climate change cited by the EPA include, but are not limited to: increased drought; an increased number of heavy downpours and flooding; more frequent and intense heat waves and wildfires; greater sea level rise; more intense storms; and harm to water resources, agriculture, wildlife, and ecosystems.<sup>48</sup>

In reaching its finding, EPA noted that certain populations may be especially vulnerable to climate impacts, including people living in poverty, people who are elderly, people already in poor health, people with disabilities, people living alone, and/or Indigenous populations dependent on one or a few natural resources. In developed areas, environmental justice issues are also raised by climate change — for example, warmer temperatures in urban areas will have

a more severe impact on people who cannot afford air-conditioning.

Indeed, Hurricane Katrina and the tornadoes in Pratt City, AL have already vividly demonstrated that the shifts in weather patterns caused by climate change disproportionately affect African Americans and other communities of color in the United States — which is a particularly bitter irony, given that the average African-American household emits 20 percent less CO<sub>2</sub> per year than the average white American household.<sup>49</sup> The six states with the largest proportion of African-Americans are all in the Atlantic hurricane zone, and all are



expected to experience more severe storms as a consequence of global warming. Adverse weather events will cause more severe impacts for communities of color, due to their more marginal economic situation: the median wealth of African-American households is one-tenth that of the white households, leaving African-Americans with fewer resources when disaster strikes. African-Americans and Latinos are also far less likely than their white counterparts to own health or homeowners' insurance, and are consequently more vulnerable to their entire wealth being drained by a hurricane or other natural disaster.<sup>50</sup> The direct and indirect costs of failure to act are clear. Already communities are suffering the impacts worldwide. Without aggressive mitigation efforts global warming, low agricultural yields, sea level rise, and disaster will unfortunately continue to produce disastrous displacement, hunger, illness, and death.

|                |      |
|----------------|------|
| United States  | 19.0 |
| Australia      | 18.1 |
| Canada         | 16.7 |
| Saudi Arabia   | 15.8 |
| Russia         | 10.9 |
| Japan          | 10.1 |
| South Korea    | 9.9  |
| Germany        | 9.7  |
| United Kingdom | 9.4  |
| South Africa   | 8.6  |
| Italy          | 8.1  |
| France         | 6.2  |

**Per Capita Emissions**

Proponents of **climate justice** argue that, in order to limit the severe effects of climate change — both in the United States and globally — CO<sub>2</sub> emissions must be reduced dramatically. However, in deciding which countries should cut their emissions the most, proponents of climate justice argue that we must consider both *per capita emissions* and *cumulative emissions*.

|            |     |
|------------|-----|
| China      | 4.6 |
| Argentina  | 4.4 |
| Mexico     | 4.1 |
| Turkey     | 3.6 |
| Brazil     | 1.9 |
| Indonesia  | 1.6 |
| India      | 1.3 |
| Angola     | 0.6 |
| Bangladesh | 0.3 |
| Sudan      | 0.3 |
| Ethiopia   | 0.1 |

Increasingly, commentators are attempting to blame China for rising CO<sub>2</sub> emissions,<sup>51</sup> as a strategy to divert blame from the United States. While China and India must reduce their emissions given their considerable contribution to global emission totals, *the per capita CO<sub>2</sub> emissions of the United States are higher than any other industrialized country in the world*. In 2006, the U.S. was responsible for *four times more CO<sub>2</sub> emissions per person than China*, and doubles the emissions of Germany or Britain.<sup>52</sup> This is what complicates the demand for all countries reduce their CO<sub>2</sub> emissions by a similar percentage: it ignores the fact that, in a world where Luxembourg (with 500,000 people) emits *more total CO<sub>2</sub> each year than Ethiopia* (with 88 million people), the countries of the Global North have a moral imperative to reduce their CO<sub>2</sub> emissions by a greater amount than the countries of the Global South.<sup>53,54</sup> This, of course, does not absolve any country from the global obligation to reduce emissions.

Table 1: 2006 per Person CO<sub>2</sub> Emissions of Selected Countries<sup>55</sup>, in Tons<sup>56</sup>

Figure 3: Per Capita CO<sub>2</sub> emissions, in 2005 and projected for 2030



### **Cumulative Emissions**

However, for the Global North the responsibility of causing climate change is even more pronounced than per capita emissions statistics suggest. The above graph shows only the *current rate* of CO<sub>2</sub> emissions; which is limited by the fact that climate change is caused by the *total amount* of CO<sub>2</sub> in the atmosphere, including the *cumulative* total of CO<sub>2</sub> produced by humans— not just the rate of emissions as it stands today. Because countries in the Global North began industrializing earlier than countries in the Global South, their historical share and cumulative production of total CO<sub>2</sub> is dramatically unequal.

While CO<sub>2</sub> emissions prior to the 20<sup>th</sup> century are very difficult to calculate, due to a lack of adequate and reliable records, researchers from the World Resources Institute have used U.S. Department of Energy historical data to calculate each country's total CO<sub>2</sub> emissions since 1900 — and the results are clear. While the U.S.'s CO<sub>2</sub> emissions in 2006 were 5.75 billion tons (or 20% of the world total), U.S. emissions between 1900 and 2005 totaled 318 billion tons — or 30 percent of the world total for that period.<sup>57</sup>

When calculating cumulative emissions since 1900 for the Global North as a whole, the inequality is even greater than when considering per capita current emissions alone. The countries defined as high-income by the World Bank, plus the former Soviet region of Eastern Europe, are responsible for emitting *850 billion tons of CO<sub>2</sub> since 1900, or 79 percent of the world total* — despite the fact that, in 2008, these countries held only 21 percent of the world's population. Conversely, the Global South — an area which contains nearly 79 percent of the world's population, including China, India, South and Southeast Asia, Africa, and Latin America — is collectively responsible for only 21 percent of CO<sub>2</sub> emissions since 1900.<sup>58,59,60</sup>

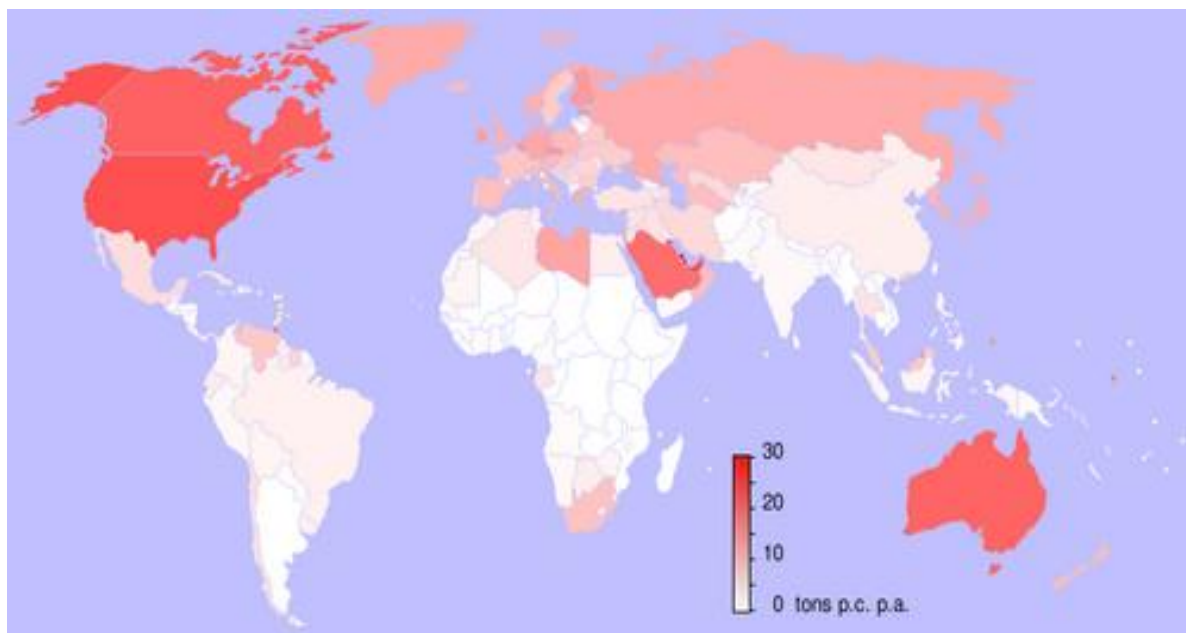


Figure 4: Carbon dioxide emissions per capita by country, calculated from data at the US Department of Energy's Carbon Dioxide Information Analysis Center (CDIAC)

| Country             | 1900-2005 Emissions (% of world total) | 2008 Pop. (millions) |
|---------------------|--|----------------------|
| United States       | 30%                                    | 304.1                |
| Former Soviet Union | 13%                                    | 276.3                |
| China               | 9%                                     | 1,324.7              |
| Germany             | 7%                                     | 82.1                 |
| United Kingdom      | 5%                                     | 61.4                 |
| Japan               | 4%                                     | 127.7                |
| France              | 3%                                     | 62.3                 |
| India               | 2%                                     | 1,140.0              |
| Canada              | 2%                                     | 33.3                 |
| Italy               | 2%                                     | 59.8                 |
| Australia           | 1%                                     | 21.4                 |
| Mexico              | 1%                                     | 106.4                |
| Belgium             | 0.87%                                  | 10.7                 |
| South Korea         | 0.86%                                  | 48.6                 |
| Brazil              | 0.85%                                  | 192.0                |
| Indonesia           | 0.58%                                  | 227.3                |
| Finland             | 0.22%                                  | 5.3                  |
| Pakistan            | 0.22%                                  | 166.1                |
| Nigeria             | 0.20%                                  | 151.2                |
| New Zealand         | 0.12%                                  | 4.3                  |
| Luxembourg          | 0.06%                                  | 0.5                  |
| Bangladesh          | 0.05%                                  | 160.0                |
| Ethiopia            | 0.009%                                 | 80.7                 |

Table 2: Total CO<sub>2</sub> Emissions in 1900-2005 and 2008 Population<sup>61</sup>

Climate justice activists refer to this historic inequality among carbon emissions as “ecological debt” or “emissions debt”—a debt of increased economic capacity and wealth that “industrialized nations... owe the rest of the world as a result of their appropriation of the planet's capacity to absorb greenhouse gases.”<sup>62</sup> This term is defined by Jubilee South as follows: ‘Emissions debt’ is the debt incurred by Northern countries to the countries and peoples of the South through the overuse and substantial diminishing of the Earth’s capacity to absorb greenhouse gases. It rests on the principle that all people have an equal right and equal share to the planet’s ‘atmospheric space,’ or ‘carbon space,’ referring to the earth’s capacity to absorb greenhouse gases. In an initial assessment, the fair share of each country is determined in per capita terms. ***Reparations for this debt, in order to reflect the North’s historic and present excessive contributions to climate change, should be in the form of deep domestic emission cuts, so as to return to the South its fair share of atmospheric space,*** as well as providing the South with the necessary technology and financing for adaptation and mitigation. [Italics added]<sup>63</sup>



**PART II:**

# **An Environmental Justice Performance Ranking of Coal Power Plants in the U.S.**



*Not all coal plants are created equal; therefore, the effects of some plants on low-income communities and communities of color are measurably worse than others. This report provides an empirical discussion of the effects of burning coal in power plants. Researchers focus on the coal plants in the U.S. with the worst records on environmental justice, and on the companies that own them.*

### ***Ranking Environmental Injustice: A Summary of the Methodology***

Burning any kilogram of coal produces a roughly equal amount of CO<sub>2</sub>, and thus has a roughly equal effect on climate change. Nevertheless, the local environmental impacts of coal combustion depend on *where* that coal is being burned. For example, NRG's Limestone plant burned 7.29 million tons of coal in 2005, producing 13.5 million tons of CO<sub>2</sub> — while Wisconsin Energy's Valley plant burned 863,000 tons of coal, producing only 2.13 million tons of CO<sub>2</sub>. However, Limestone is located in a sparsely populated area of Texas 50 miles northwest of Huntsville, where only about 300 people live within three miles of the plant — while Valley is located near downtown



Milwaukee, where 209,000 people live within three miles of the plant, of whom two-thirds are people of color.<sup>64</sup> Thus, while Valley has a smaller effect of climate change than Limestone, the fact that it has a vastly larger effect on local public health, and especially on the health of low-income people of color, implies that Valley should be prioritized for decommissioning.

***Overall, a small number of coal power plants have a disproportionately large and destructive effect on the public's health, especially on the health of low-income people and people of color.***

*Coal Blooded: Putting Profits Before People* is a systematic study of 378 coal-fired power plants in the United States, in which each plant is assigned an environmental justice performance (EJP) 'score,' a relative 'rank,' and a 'grade' based on how it affects low-income communities and communities of color. (For the complete ranking of all 378 plants, see Appendix 1). The same methodology is used to assign a Corporate Environmental Justice Performance (CEJP) 'score,' a relative 'rank,' and a 'grade' to 59 leading U.S. power companies, based on the effects of those companies' coal-fired power plants on low-income communities and communities of color. (For the complete ranking of these 59 companies, see Appendix 2). The score assigned to each plant, and each company, is based on five factors: SO<sub>2</sub> and NO<sub>x</sub> emissions; the total population living within three miles of the plant(s); and the median income and percentage of people of color among the total population living within three miles of the plant(s). (For a complete description of the report's methodology, see Appendix 3).

It is important to note that this report is *not* a ranking of coal power plants based on the overall toxicity of their emissions — in other words, ***the fact that a particular plant receives a grade of “F” does not mean that it is necessarily one of the ‘dirtiest’ coal plants in the United States.*** Numerous existing reports and studies (most notably, the Environmental Integrity Project’s “Dirty Kilowatts” reports<sup>65</sup>) score coal power plants based purely on the toxicity of each plant’s emissions. This report is an “environmental justice performance” ranking—it uses a complex algorithm (See Appendix III), combining levels of SO<sub>2</sub> and NO<sub>x</sub> emissions together with demographic factors, in order to calculate each plant’s score, ranking, and grade.

Also, CO<sub>2</sub> emissions were not included as a factor in the rankings. This is for two reasons: (1) unlike pollutants like SO<sub>2</sub> or NO<sub>x</sub>, there is no viable way of limiting the amount of CO<sub>2</sub> that is emitted when coal is burned, and thus each coal power plant’s CO<sub>2</sub> emissions are simply a function of the plant’s size;<sup>66</sup> and (2) while CO<sub>2</sub> affects the planet as a whole, SO<sub>2</sub> and NO<sub>x</sub> primarily affect communities in the area surrounding the power plant, making SO<sub>2</sub> and NO<sub>x</sub> more relevant pollutants than CO<sub>2</sub> for the purpose of environmental justice calculations.

Finally, the fact that researchers assigned a particular plant a ‘passing’ environmental justice performance grade does not suggest that this plant has no detrimental effect on public health, or on low-income communities or communities of color. These grades are relative, and only score individual plants in relation to one another.

***All coal-fired power plants in the United States are detrimental to public health.***

Thus, a grade of ‘incomplete’ is assigned to plants scoring above C —as it would be unconscionable to assign a grade of A or B to a plant that, while not located in an area that is densely populated by low-income communities or communities of color, is nonetheless responsible for causing considerable environmental and public health effects.

*Coal Blooded* builds on the information first presented in *Air of Injustice* a 2002 report authored by the Black Leadership Forum and several other organizations.<sup>67</sup> However, this report differs from *Air of Injustice* in four ways. First, this report provides a detailed ranking of individual coal plants, including commentary from affected populations which are often communities of color and low income communities that are frequently the voices less seen or heard. Second, this report includes income as well as race as a ranking factor. Third, this report ranks the companies that own these plants, rather than just the plants themselves; and fourth, this report analyzes census-block-level data, which is a smaller-scale than the county-level data used in *Air of Injustice*. Census-block-level data consist of the smallest geographic area for which the Bureau of the Census collects and tabulates decennial census data, which are formed by streets, roads, railroads, streams and other bodies of water, other visible physical and cultural features, and the legal boundaries shown on Census Bureau maps.<sup>68</sup> Using block-level-

data can give a more detailed insight to individual community/neighborhood circumstances. Census-block-level data is a valuable source for small-area geographic studies. County-level data collects a broad range of data that is focused on the population itself rather than the detailed landscape of the city.<sup>69</sup> The differences in the data concentration allows for other components of the community to be brought to the surface. What follows is a presentation of the research findings.

The following is a summary of the key findings from analyses on and ranking of coal fired power plants. Detailed 'profiles' of the nation's most egregious performances are provided.

### ***Voices from Affected Communities***

To provide a window into the communities that are directly impacted by these power plants, the researchers/authors visited each community that hosts a plant in the top 12 worst ranked plants. Interviews with residents reveal that most did not connect the illnesses they witness and experience each day with the pollution from the nearby coal-fired power plants. Most of the interviewees expressed a desire to learn more about how they could take action to safeguard their communities from harm. Videos of these interviews can be viewed at: [www.youtube.com/katrina2copenhagen](http://www.youtube.com/katrina2copenhagen)

## **VOICES FROM AFFECTED COMMUNITIES**

*"We grew up in this area. My brothers all played baseball here at the baseball diamond. Families would come out and have picnics here. We never knew about the toxic waste that was coming from the coal plant. This plant is right in the midst of the black community...No one has made us aware of what is going on—not our elected officials, not our community leaders—you don't hear anything about it. And it's killing our community."*

*--Jocelyn Travis, Cleveland, Ohio*



**Finding #1:**

***The U.S. is home to 75 'Failing Plants' by Environmental Justice Standards***

In this ranking (see Appendix 1 for the complete ranking), 75 plants earned an environmental justice performance grade of "F." These 75 'failing plants' produced only 8 percent of U.S. electricity in 2005, but they were responsible for 14 percent of SO<sub>2</sub> emissions and 13 percent of all NO<sub>x</sub> emissions from all U.S. power plants.<sup>70,71</sup>

These 75 failing plants have a considerable and disproportionate impact on people of color and low-income people. *A total of four million people live within three miles of these 75 failing plants. The average per capita income of these four million people is just \$17,500 (or 25% lower than state average), and out of these four million people, nearly 53 percent are people of color.*

| <b>REPORT CARD</b> |             |
|--------------------|-------------|
| STATE NAME         | # OF PLANTS |
| ILLINOIS           | 9           |
| INDIANA            | 5           |
| MICHIGAN           | 5           |
| VIRGINIA           | 5           |
| COLORADO           | 4           |
| NEW JERSEY         | 4           |
| NEW YORK           | 4           |
| PENNSYLVANIA       | 4           |
| NORTH CAROLINA     | 4           |
| SOUTH CAROLINA     | 4           |
| FLORIDA            | 3           |
| NEW MEXICO         | 3           |
| WISCONSIN          | 3           |
| ALABAMA            | 2           |
| KANSAS             | 2           |
| MASSACHUSETTS      | 2           |
| OHIO               | 2           |
| ARIZONA            | 1           |
| CONNECTICUT        | 1           |
| GEORGIA            | 1           |
| HAWAII             | 1           |
| LOUISIANA          | 1           |
| MARYLAND           | 1           |
| MISSOURI           | 1           |
| NEBRASKA           | 1           |
| TENNESSEE          | 1           |
| TEXAS              | 1           |



Table 3: List of states with failing power plants, created for this report

The report card, above, shows the absolute worst 75 environmental justice offending plants in the country, all of which received a letter grade of an F. It is important to also examine plants that fall within an expanded definition of the word "failing" to encompass all of the plants that are causing the most harm. The expanded definition of "failing" refers to plants with a grade of a D+ or worse on their environmental justice performance scores. Like in school settings, a grade of a D+ or worse requires urgent remediation. The map below uses the expanded definition of the word "failing," D+ or below, to color code states by the number of failing plants within the state's borders to show where the most attention is needed.

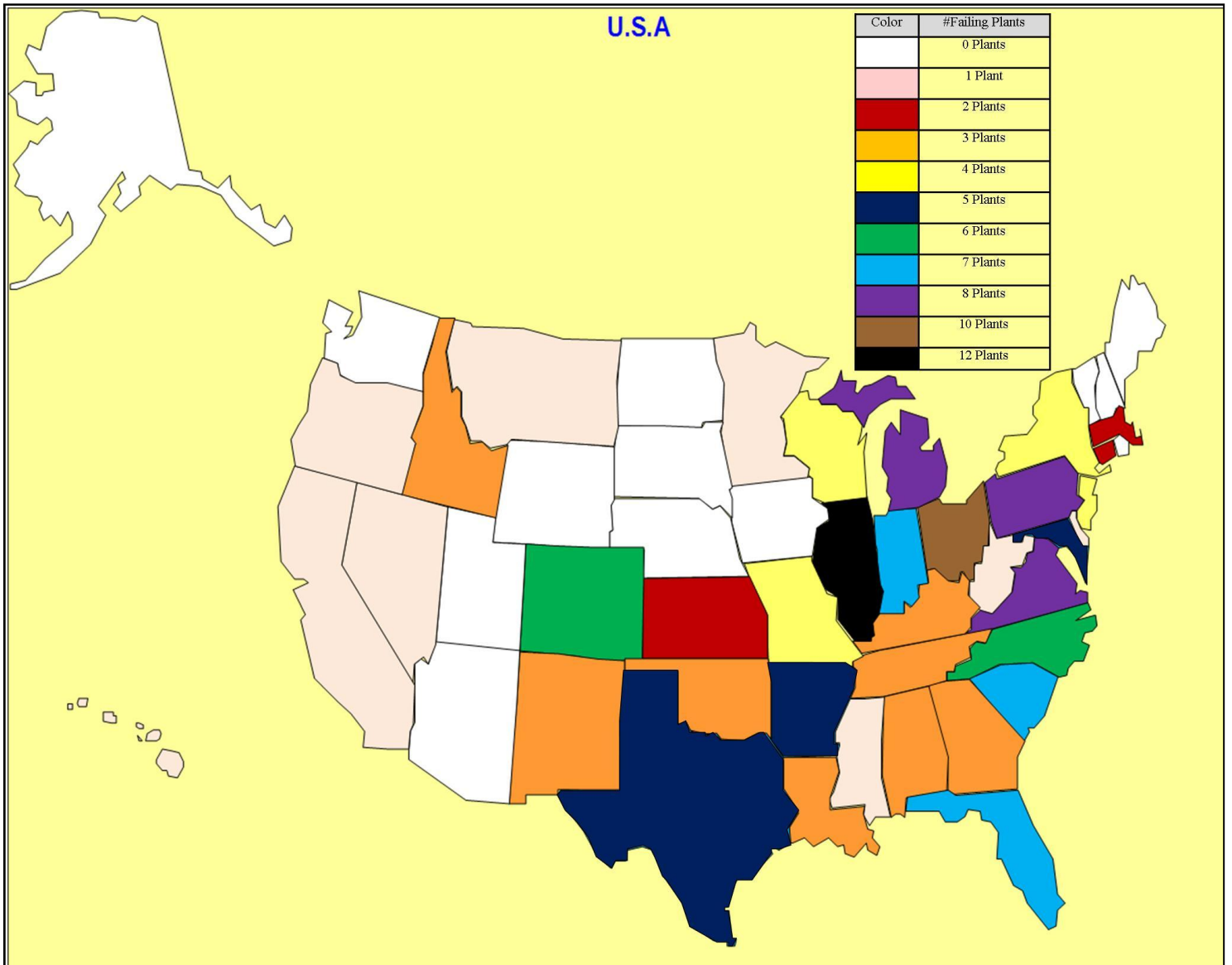


Figure 5. Map of the states with their corresponding failing power plants (plants given a grade between D+ and F). created for this report.

***Finding #2: The '12 Top Environmental Justice Offenders' Disproportionately Affect LowIncome People of Color***

Out of the 378 coal-fired power plants examined for this study, the following 12 had the worst environmental justice performance scores:

1. Crawford Gen. Station, Chicago, IL (Edison International)
2. Fisk Gen. Station, Chicago, IL (Edison International)
3. Hudson Gen. Station, Jersey City, NJ (PSEG)
4. Valley Power Plant, Milwaukee, WI (Wisconsin Energy)
5. State Line Plant, Hammond, IN (Dominion)
6. Lake Shore Plant, Cleveland, OH (FirstEnergy)
7. River Rouge Plant, River Rouge, MI (DTE Energy)
8. R. Gallagher Gen. Station, New Albany, IN (Duke Energy)
9. Cherokee Station, Commerce City, CO (Xcel Energy)
10. Bridgeport Station, Bridgeport, CT (PSEG)
11. Four Corners Plant, Niinahníaad, NM (Arizona Public Service Co.)
12. Waukegan Gen. Station, Waukegan, IL (Edison International)

Collectively, these 12 plants produced a total of 48,582 gigawatt-hours (Gown) of electricity in 2005 — only 1.2 percent of total U.S. electricity production<sup>7273</sup> Yet, between 2007 and 2010, these “worst offending” plants emitted an annual average total of 117,743 tons of sulfur dioxide and 81,376 tons of nitrogen oxide. Consequently, from 2007-2010, of the 1437 operational units<sup>74</sup>, the 12 “worst offending plants” alone accounted for 1.8% of total emissions from power plant sources, while being only .8% of the total power plant fleet<sup>75</sup>. In short, closing these 12 plants would dramatically improve the health of local communities and impacts to the climate, with barely negligible impacts on U.S. electricity production.

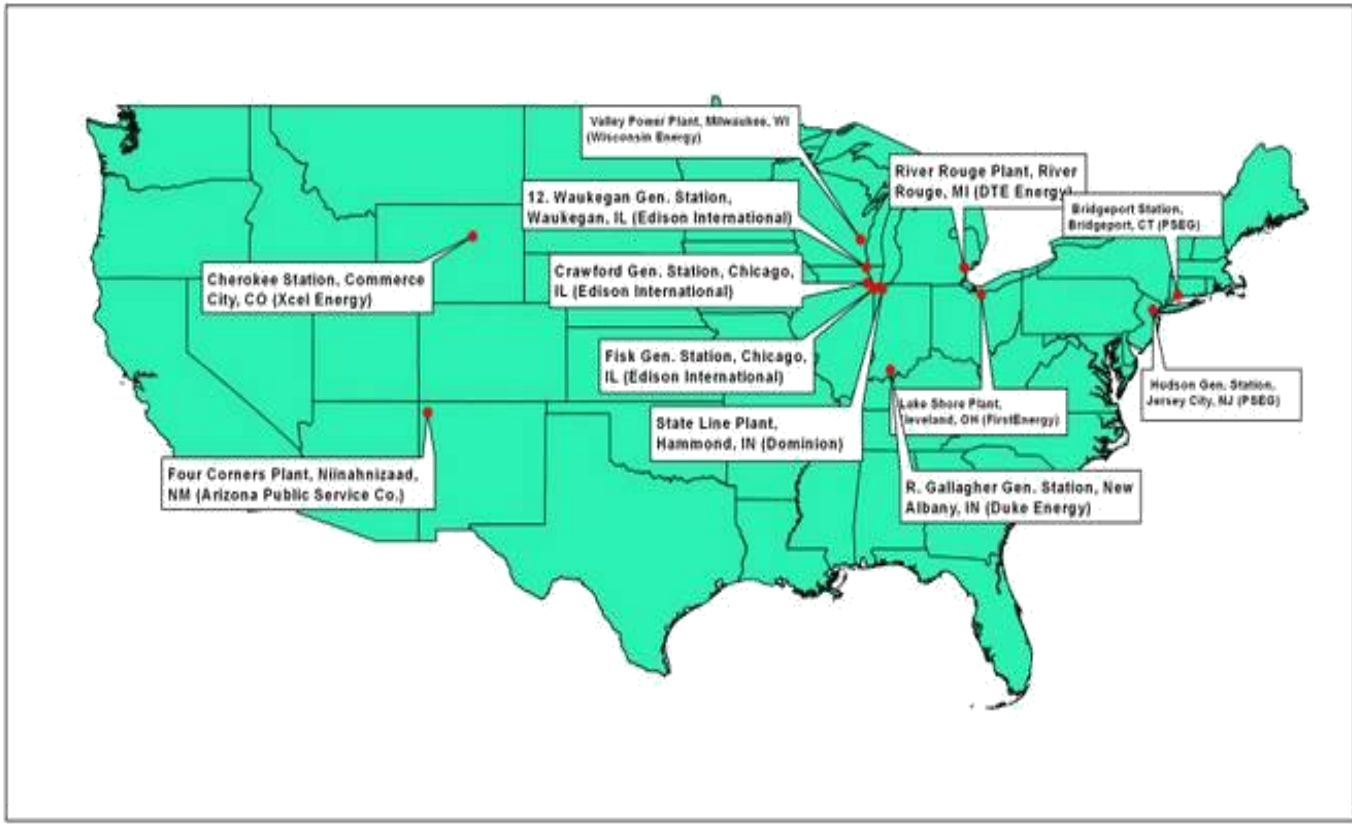


Figure 6: Map of Plant Locations<sup>76</sup>

Approximately two million Americans live within three miles of one of these 12 plants and the average per capita income of these nearby residents is \$14,626 (compared with the U.S. average of \$21,587). Approximately 76 percent of these nearby residents are people of color.



**Finding #3:**

***The impact of these failing plants disproportionately impacts communities from five states: Illinois, Indiana, Michigan, Wisconsin and Ohio.***

As the table and accompanying map in Figure 3 above shows, five states of the Midwest – Illinois, Indiana, Michigan, Wisconsin and Ohio - are home to 32% of the failing coal-fired power plants in the U.S. In addition, 8 of the 12 worst offending coal plants are located in communities in these states.



As we will discuss in Part III, the concentration of power plants in these states creates a disproportionate impact on low income communities and communities of color. This concentration of plants also has political consequences – it leads to a disproportionate concentration of political power that makes change difficult. These themes will be discussed more below.

## **VOICES FROM AFFECTED COMMUNITIES**

*“We’re in front of a power plant owned by DTE while conducting the interview. The plant is located right in the middle of the community. About a block and a half down [from the plant], you can see actual homes where there’s a full community of people living in this environment. This is a park that we’re standing in. In the park you’ll see children playing and there’s actually the Rouge River which comes through here and we have a number of people who are fishing in this area. This is a mixed community but mostly minorities you’ll find a lot of Latinos, a lot of African-Americans in this area. And I believe less than a block or so away is an elementary school. And so, this area is very critical when it comes to environmental issues”*

*– Yvonne White, River Rouge Michigan*

PART III:

# A Corporate Environmental Justice Performance Ranking of Coal Power Companies



*The previous section of this report, along with the accompanying ranking of 378 U.S. coal plants in Appendix 1, focuses on the environmental justice performance of individual power plants. While the owners of these plants are listed in Appendix 1 — and while it is apparent from this listing that some companies own multiple coal power plants that perform poorly by environmental justice standards, it is critical to perform a more comprehensive ranking of corporate environmental justice performance, in which scores are assigned to each company based on the environmental justice performance of all coal-fired power plants owned by that company.*

As Ash and Boyce (2009) point out, there has historically existed a gap between *environmental justice* (EJ) and *corporate environmental performance* (CEP) research:

The difference between CEP and EJ studies is, in part, methodological: in CEP the unit of analysis is the source of pollution, the firm or an individual facility; in EJ the unit of analysis is the receptor, the community or households on the receiving end. The two strands of research also differ in their audiences and aims. The main audience for CEP research is socially responsible managers, investors, and consumers, with the main aim being to improve firm behavior. The main audience for EJ research is the impacted communities and responsible government officials, the main aim being to protect communities from disproportionate hazards.<sup>77</sup>

By combining EJ and CEP analysis into a study of *corporate environmental justice performance* (CEJP), it is possible to measure the extent to which particular company's polluting facilities specifically impact low-income people and people of color. As Ash and Boyce argue, "regular measurement of CEJP can provide stakeholders — investors, managers, regulators, consumers, and residents of affected communities — with a report card for assessing levels and changes in performance."<sup>78</sup> Furthermore, it can provide environmental justice advocates with a powerful tool that enables them to shift from campaigning against an entire sector or industry and toward especially irresponsible companies within that industry.

In this report, corporate environmental justice performance 'scores' have been assigned to 59 leading U.S. power companies and agencies, based on the environmental justice performance of the coal-fired power plants owned by each company. (For the complete ranking of these 59 companies, see Appendix 2). This ranking is not an average of the environmental justice performance scores of each company's coal plants; rather, it is based on the cumulative effects of all of each company's coal plants on low-income people and people of color. (For a complete description of our methodology, see Appendix 3).

Similar to the ranking of individual plants, it is important to emphasize that this is *not* a ranking of the total toxicity of the coal power plants owned by a particular company — in other words, ***the fact that a particular company receives a grade of F does not necessarily mean that it is among the biggest coal power producers in the United States.***

Like the environmental justice performance ranking of individual plants, this corporate environmental justice performance ranking uses a complex algorithm (See Appendix III), combining total SO<sub>2</sub> and NO<sub>x</sub> emissions together with demographic factors, in order to calculate each company's score, ranking, and grade. For example, many companies with a CEJP grade of "F" own relatively few coal plants, and thus the total emissions of their plants is relatively low, but the plants that they own are sited disproportionately in densely populated areas with high proportions of low-income people and people of color; conversely, many companies with higher CEJP grades own a fairly large number of coal plants, and thus the total emissions of

their plants is relatively high, but the plants that they own are sited in sparsely populated areas with low proportions of low-income people and people of color.

It is important to acknowledge that higher grades have been assigned to some companies that grassroots activists have long been campaigning against. This evaluation by no means is meant to undermine the merit of criticisms raised by those campaigns; rather, this is specifically a *corporate environmental justice performance* (CEJP) ranking, which exists as a separate and equally important tool alongside overall *corporate environmental performance* (CEP) rankings. As Ash and Boyce argue, “the joint measurement of total impact (CEP) and disparate impacts (CEJP) provides the most robust picture of corporate environmental performance. Although correlated, neither measure adequately conveys information about the other. Both dimensions are relevant, and both should — and can — be incorporated into the assessment of corporate social responsibility.”<sup>79</sup>

***Key Finding: Corporations that Receive an “F” on their CEJP Score Own a Majority of the Worst Offending Coal-Fired Plants in the U.S.***

The 12 companies that received a grade of “F” as their CEJP score own 39 of the 75 failing plants — including *all* of the twelve worst plants. Out of the 5.9 million Americans who live within three miles of a coal-fired power plant, 3.6 million live within three miles of a coal plant owned by one of these 12 companies. Listed below are the 12 U.S. coal power companies that received failing CEJP grades. (For the complete ranking, see Appendix 2).

| <u>Company</u>                            | <u>Grade</u> |
|---|--------------|
| 1. Edison International                   | F            |
| 2. FirstEnergy                            | F            |
| 3. Unisource Energy                       | F            |
| 4. Public Service Enterprise Group (PSEG) | F            |
| 5. GenOn Energy                           | F            |
| 6. Dominion Resources                     | F            |
| 7. Duke Energy                            | F            |
| 8. Wisconsin Energy                       | F            |
| 9. Cogentrix/Goldman Sachs                | F            |
| 10. Xcel Energy                           | F            |
| 11. Southern Company                      | F            |
| 12. DTE Energy                            | F            |

***Discussion of Select Company Performance***

Among the 12 worst performing companies, according to EJ standards are several that warrant a more detailed review. Below is a discussion of the policies and practices that resulted in companies being listed as “worst offenders” on environmental justice issues.

## EDISON INTERNATIONAL

Edison has the worst CEJP score out of all 59 companies examined in this report. Edison's subsidiary, Midwest Generation, owns seven coal-fired power plants, six of which are located in Illinois (five of which are located within Greater Chicago), and one in Pennsylvania. The six Illinois plants all earned environmental justice performance grades of F; the Pennsylvania plant, Homer City, earned a grade of D. Three of Edison's plants are included in the Top 12 EJ Offenders — including Crawford and Fisk, which earned the worst and second-worst environmental justice performance scores out of all 378 major coal plants in the country.

Edison's principal subsidiary, Southern California Edison, is the largest electric utility in California, a state that has long been among the most progressive in the country on environmental issues. On its website, Edison states that "environmental issues are at the top of our business concerns" and that "we understand [that] our success as a corporation is directly linked to the vitality of our communities." Kimberley Wasserman, LVEJO's Executive Director and a longtime resident of Little Village, offers a different perspective, arguing that since Midwest Generation does not "have a contract with the city or the state to provide electricity to us, all of [the] electricity [from Crawford and Fisk] is sold on the open market. And so that's a huge problem for us, because these [plants] are basically cash cows for Midwest Generation. ... So we suffer the brunt in order for this company to make money."<sup>80</sup>

Concerns over Edison plants have been so extensive a wave of community responses has arisen. See Part VI for a detailed case study.



## PSEG

PSEG has the fourth-worst CEJP score of all 59 companies examined in this report. The company owns three coal-fired power plants, all three of which earned environmental justice performance grades of F, and all three of which are located within 50 miles of Manhattan; two of these three plants, Hudson and Bridgeport, are among the Top 12 EJ Offenders. The Bridgeport plant, located in downtown Bridgeport, Connecticut, is one of only eight coal-fired power plants still currently operating in New England, where coal has largely been phased out.

In 2002, the U.S. EPA charged PSEG with failing to provide adequate pollution controls at its Hudson and Mercer plants in New Jersey. The EPA, the U.S. Department of Justice, and the State of New Jersey then sued PSEG to force the company to comply with regulations. In November 2006, PSEG settled the suit by agreeing to introduce pollution controls at the Mercer plant earlier than required; in exchange, PSEG was allowed to delay installation of pollution controls at the Hudson plant until the end of 2010. (Hudson is currently the third-worst coal plant in the country on our environmental justice performance ranking.)<sup>81</sup>

At the same time, PSEG's CEO, Ralph Izzo, has been a leading proponent of Congressional action on climate change: in July 2010, Izzo publicly castigated Congress for having failed to pass national energy legislation, arguing that the Senate's failure "means... that we're going to see energy regulation by the courts."<sup>82</sup> In its 100-page 2010 Sustainability Report, PSEG states that its "vision is about excellence in providing energy in an environmentally responsible way." The company's Environmental Health and Safety Policy states that PSEG strives to "assess and manage the environmental, health, and safety risks and hazards associated with all aspects of our business, to protect our employees, our customers, [and] the communities in which we operate."<sup>83</sup>

However, residents of the communities in which PSEG operates its three coal-fired power plants offer a different view on the company's attitude toward environmental responsibility. Robert Harper, a resident of Jersey City (where Hudson is located), stated in an interview for this report that he believes that, "residents are deliberately being kept in the dark as to the toxic exposures" resulting from the presence of the plant in their community.

Craig Kelly, a resident of Bridgeport, stated in an interview that he believes that PSEG runs their Bridgeport plant at night in order to hide its true impact:



***“For the most part, they don’t do it during the day, because it would be too obvious. So they choose to let fumes into the atmosphere at night — this way no one really sees anything, and no one’s the wiser.”<sup>84</sup>***

In April 2010, PSEG hosted its annual Global Green Expo in Jersey City — just a few miles from its Hudson coal-fired power plant. The Sierra Club, Environment New Jersey, and the New Jersey Environmental Federation used the occasion to write a letter to PSEG CEO Ralph Izzocalling on the company to clean up the plant, and stating that “as long as PSEG allows its coal plants to continue to add to our air pollution and global warming, they will never be really green.”<sup>85</sup> As of this report’s publication date, these organizations have not yet received a response from PSEG.

## DOMINION

Dominion, the company with the sixth-worst CEJP score in this report, owns eleven coal power plants in Virginia, West Virginia, Massachusetts, Illinois, and Indiana — of which four earned environmental justice performance grades of F, and three more earned a “D.” Dominion’s State Line plant in Hammond, Indiana (located immediately across the state border from Chicago’s South Side) received the fifth-worst environmental justice performance score of all 378 plants in this report, earning it a spot on the Top 12 EJ Offenders. Dominion recently announced that the State Line Plant will be closing this year.

Like other energy companies, Dominion promotes its image as an environmentally responsible company. The company’s corporate environmental policy states that Dominion will “minimize, mitigate or restore any adverse environmental impacts caused by our operations,” and its annual report highlights “dramatic” reductions in SO<sub>2</sub> and NO<sub>x</sub> emissions from its power plants and \$3.7 billion that Dominion has allocated to spend on “environmental improvements” between 2010-15 (both of which are largely the result of Clean Air Act mandates).<sup>86</sup>

However, Dominion is also one of the *only* major power companies in the United States that is currently moving ahead with construction of a new coal-fired power plant: the 585-MW Wise County plant in western Virginia. This project has been met with intense opposition since its beginning: several environmental groups filed an unsuccessful legal challenge to the proposal in 2007; activists delivered a petition with over 42,000 signatures opposing the plant at Dominion’s shareholder meeting in 2008; and a total of 24 people were arrested at two separate protests for blockading the plant’s construction site and Dominion’s headquarters in the summer of 2008.<sup>87888990</sup> Despite these protests, Dominion is moving ahead with construction, and expects to make the new coal plant active in 2012.



## DUKE ENERGY

Duke Energy, which has the seventh-worst CEJP score of all major coal energy companies, owns 17 coal plants in Indiana, Ohio, North Carolina, South Carolina, and Kentucky. Duke is planning to merge with Progress Energy; the merger would make the company the biggest electric utility — and the biggest coal energy producer — in the country.

As of July 2011, Duke is still building two new coal-fired power plants—one in Edwardsport, Indiana, and another in Forest City, North Carolina. Duke's lobbying heft was apparent in its fightt against common-sense coal ash standards that would ensure water quality monitoring and liners to protect communities living around coal plants. Furthermore, Duke is firmly committed to re-licensing old nuclear plants while building new nuclear plants — a threat made even clearer by the Fukushima meltdown.<sup>91</sup>

Duke is the owner of the Gallagher Generating Station, which earned the eighth-worst environmental justice performance score of all 378 plants in this report. Duke's operation at Gallagher best illustrates its environmental justice record. The 50-year old coal plant is located in the town of New Albany, Indiana and is directly across the river from Louisville, Kentucky. Though a medium sized 600-megawatt coal plant, it is among the top



producers of toxic coal ash waste in the country. In its 2007 “Dirty Kilowatts” report, the Environmental Integrity Project revealed that Gallagher had the highest SO<sub>2</sub> emissions per megawatt of power produced of any major coal plant in the U.S.<sup>92</sup>

Duke Energy estimates that it will need to spend \$60 million (\$53 million in Ohio and \$7 million Indiana) in order to comply with Clean Interstate Air Rule (CAIR) rules, implemented by the EPA in 2005 to reduce NO<sub>x</sub> and SO<sub>2</sub> emissions. The company estimates that it will spend \$369 million from 2011-2015 (\$131 at Duke Energy Carolinas, \$70 million at Duke Energy Ohio and \$168 at Duke Energy Indiana) to install caps and liners at existing coal ash sites.<sup>93</sup>

## Xcel ENERGY

Xcel Energy has the tenth-worst corporate environmental justice performance score of the 59 companies in this report, earning it a CEJP grade of F. The company runs 11 major coal plants, four of which earned environmental justice performance grades of F (including Cherokee, one of the 12 EJ Offenders), and three more earned EJP grades of D. The company is taking steps that should marginally increase its grade – but it is also planning to leave many of its worst scoring plants in service, ensuring that the company's overall grade will continue to be very low.

In August 2008, Xcel announced its plans to decommission two coal-fired plants, and replace them with new solar capacity. The first plant, Arapahoe in Denver, which will be closed and converted to natural gas by 2013, was the 20<sup>th</sup>-worst plant in this report's ranking, earning it a grade of F. The second plant, Cameo, which will be closed by 2012, was not ranked due to its small size. Xcel voluntarily closed the two plants in order to decrease the company's CO<sub>2</sub> emissions — a first in the U.S.<sup>94</sup> However, Xcel's Cherokee plant in Denver — the ninth-worst in this report's ranking — will stay in service under this plan.

The closure of Arapahoe and Cameo did not go far enough for the Colorado State Legislature. In March 2010, a bipartisan bill was introduced to force Xcel to decrease its coal-fired generating capacity by 900 MW by 2017. Xcel has agreed to comply with the bill.<sup>95</sup>

PART IV:

## Boom for Some, Bust for Others: Corporate Profits from Coal



While toxic to the communities in which they reside, old and dirty plants produce big profits for the companies that own them. As described by journalist Jeff Goodell:

*The real obstacle to change is what some people in the industry affectionately call ‘the big dirties.’ Simply put, these older coal plants — most of them built in the 1960s and 1970s, before pollution controls were mandated — produce electricity so cheaply that it is virtually impossible for other power plants to compete with them. When I visited Plant Scherer in the 2003 and 2004, for example, it was generating electricity for about \$20 per megawatt-hour — about half the price of competing natural gas plants. ... And Scherer is nowhere near the cheapest (or the dirtiest) plant. Some old coal burners in the Midwest generate power for as little as \$8 per megawatt-hour. In regulated markets, these cheap prices are passed on to ratepayers. But in restructured or partly restructured markets, these plants can sell their excess power to regional wholesale markets, often making whopping profits of \$25 per megawatt-hour or more, while other, cleaner power plants are counting their profits in nickels and dimes.<sup>96</sup>*

This pattern of profitability holds true for the coal plant companies identified in this report as failing in terms of corporate environmental justice performance. Here is how some of them are doing:



- Edison International, which owns three of the Top 12 EJ Offender plants, earned \$2.12 billion in profits from its seven coal-fired plants in the years 2010.<sup>97</sup>
- Dominion, owner of the State Line Plant in Hammond, Indiana, which ranks fifth-worst on the list of Top 12 EJ Offenders, earned \$1.2 billion in profits from their fossil fuel power stations in 2010.<sup>98</sup>
- First Energy, owner of Lake Shore Plant in Cleveland, Ohio, the sixth-worst EJ Offender plant, earned \$9.82 billion in profits in 2010.<sup>99</sup>
- DTE Energy, the owner of Detroit's River Rouge Power Plant, the seventh-worst EJ Offender in the country, and earned \$4.99 billion in operating revenues from their electric utility operations in 2010.<sup>100</sup>
- Duke Energy, which owns the eighth-worst EJ Offender, the R. Gallagher Power Plant in Albany, Indiana, earned \$13.8 billion in operating revenues from their electric and gas operations in 2010.<sup>101</sup>
- The owner of the ninth-worst EJ offender Cherokee Station, Xcel Energy, earned \$7.11 billion in electric revenues from residential and commercial customers in 2010.<sup>102</sup>
- Southern Company earned \$17.4 billion in electric operating revenues in 2010.<sup>103</sup>

In addition to generating large corporate operating revenues and profits, the operation of older dirty coal plants is a boon to corporate executives with decision-making responsibilities. The CEOs of these companies are compensated at extremely high rates, creating a strong self-interest to maintain the status quo. The average CEO compensation for these companies in 2010 was \$9,782,889 while the average worker in these companies made \$33,840. **On average**

*the CEOs at these companies were compensated at 289 times the rate of compensation for their average U.S. employee.*<sup>104</sup>

| CEO Compensation for 2010 at Companies Owning the Top EJ Offenders <sup>105</sup> |                        |                  |
|---|------------------------|------------------|
| Company   | CEO Name               | CEO Compensation |
| Dominion  | Thomas F. Farrell II   | \$16,924,385     |
| First Energy  | Anthony J. Alexander   | \$11,627,657     |
| Xcel Energy   | Richard C. Kelly       | \$9,956,433      |
| Edison International  | Theodore F. Craver Jr. | \$9,536,038      |
| Duke Energy   | James E. Rogers        | \$8,815,181      |
| Southern Company  | Thomas A. Fanning      | \$6,019,151      |
| DTE Energy  | Gerald M. Anderson     | \$5,601,383      |

Table 4: CEO Compensation for Top EJ Offenders, 2010

***In order to protect this highly profitable business — and, more broadly, to protect their industry from environmental regulations — many coal energy companies dedicate substantial resources for lobbying and public relations.***

Coal is currently the backbone of the power industry in the U.S., and that industry has fought hard for decades to maintain coal’s place in the nation’s energy supply — regardless of the environmental and health-related damages caused to local communities.

Here are some examples of how some prominent coal companies have made use of lobbying to protect their interests:

- The two largest coal energy producers, Southern Company and American Electric Power — both of which get more than two-thirds of their power from coal — spent a combined \$43.7 million on lobbyists in 2008-09 alone.<sup>106,107,108</sup>
- Massey Energy, the country’s biggest mountaintop removal coal mining company, gave \$3 million in campaign contributions to a judge who later overturned a \$50 million court ruling against Massey.<sup>109</sup>
- American Coalition for Clean Coal Electricity (ACCCE), a lobbying front of 38 leading coal industry companies, spent \$40 million on advertising in 2009 —after spending \$10.6 million on lobbyists during 2008.<sup>110,111</sup>
- Southern Company successfully opposed a plan to create a national electricity market in 2004 and has dedicated significant money and effort to fighting the Renewable Portfolio Standard (RPS), which would require utilities to purchase 15 percent of their power

from renewable sources by 2020. Southern Company argues that the RPS would raise costs for its customers and that the Southeast region of the U.S. does not have sufficient renewable sources of power.<sup>112</sup>

| Company                 | Total Spent on Lobbying in 2010 |
|-------------------------|---------------------------------|
| Southern Company        | \$13,220,000                    |
| Edison International    | \$13,080,000                    |
| American Electric Power | \$10,313,196                    |
| Duke Energy             | \$4,800,000                     |
| Dominion                | \$2,050,000                     |
| First Energy            | \$1,865,000                     |
| Xcel Energy             | \$1,720,000                     |
| DTE Energy              | \$1,500,000                     |

Table 4: A Snapshot of Utility Company Spending on Lobby Efforts, 2010  
 This sets out the 2010 total spending on lobbying by some of the coal companies that operate the worst EJ Offending power plants.<sup>113</sup>



PART V:

# Framing a Response



*It is often argued, from a regulatory perspective, that SO<sub>2</sub> and NO<sub>x</sub> emissions controls can substantially mitigate public health damage from coal power plants. There is clearly a large amount of truth to this. Simply put, the less SO<sub>2</sub> and NO<sub>x</sub> that are churned out into these low-income communities of color, the better the quality of life for these residents and communities. A coal plant with SO<sub>2</sub> and NO<sub>x</sub> emissions controls is certainly less destructive than a coal plant without such controls.*



### ***Emissions Controls or Decommissioning?***

However, while outside of the scope of this report, an additional consideration should be whether a given plant has controls against the emission of mercury and other toxins. While SO<sub>2</sub> and NO<sub>x</sub> are the focus in this report, it should be apparent that churning out mercury into waterways in a densely-populated urban environment is extremely harmful, particularly given high rates of subsistence fishing that occurs in some areas. Thus, it is somewhat astounding that until recently, ***there has been no federal limit for toxins such as mercury, arsenic, chromium, and acid gases from coal-fired power plants in the U.S.*** While there are mercury regulations now in place to target municipal and medical waste incinerators, coal plants have not yet been subjected to these regulations. Fortunately, in March 2011, the U.S. EPA proposed new standards for the regulation of these toxins from coal- and oil-fired power plants, which will reduce mercury emissions from these plants by 91 percent.<sup>114</sup> The Mercury and Air Toxics Rule for Power Plants were finalized in February 2012 (See Appendix 4 for a Review of the Policy Landscape).



While emissions controls certainly make a coal plant less hazardous, they do not make it “clean.” Because SO<sub>2</sub> and NO<sub>x</sub> emissions are two of the five factors by which plants are ranked in the report, one might assume that plants with lower environmental justice performance grades tend not to have SO<sub>2</sub> and NO<sub>x</sub> emissions controls, and that plants with higher grades do tend to have SO<sub>2</sub> and NO<sub>x</sub> emissions controls. However, comparisons between plants’

environmental justice performance grades and whether or not they have emissions controls installed refute this assumption.<sup>115</sup>

- There are 132 plants with a grade of D or F that are listed in the EPA's 2008 Clean Air Markets Program database. Out of these 132 plants, 42 (or 32%) had both SO<sub>2</sub> and NO<sub>x</sub> emissions controls, 86 (or 65%) had either SO<sub>2</sub> or NO<sub>x</sub> controls only, and 4 (or 3%) had neither SO<sub>2</sub> nor NO<sub>x</sub> emissions controls.
- There are 148 plants with a grade of 'incomplete' that are listed in the EPA's 2008 Clean Air Markets Program database. Out of these 148 plants, 66 (or 45%) had either SO<sub>2</sub> and NO<sub>x</sub> emissions controls, 68 (or 46%) had either SO<sub>2</sub> or NO<sub>x</sub> controls only, and 14 (or 10%) had neither SO<sub>2</sub> nor NO<sub>x</sub> emissions controls.

In summary, if a coal power plant is built in the middle of a large city, that plant is going to poison a lot of people, whether it has emission controls or not—and while adding emissions controls will make some improvement, a plant with emissions controls continues to pump out a significant amount of toxins into that same densely populated environment.

***There is no silver bullet that will make these plants clean—the only truly effective way to stop coal fired power plants from polluting the communities in which they are located, is to close them.***

Furthermore, the investments required to retrofit aging power plants to comply with new emissions standards are significant, with estimates running to billions of dollars.

*"Midwest Generation (operator of Fisk and Crawford Power Plants) estimates the cost of retrofitting all units, using dry scrubbing with sodium-based sorbents to comply with CPS requirements for SO<sub>2</sub> emissions, and the associated upgrading of existing particulate removal systems, would be approximately \$1.2 billion in 2010 dollars."<sup>116</sup>*

*"Additional new EPA regulations ... could add \$2 billion or more in additional environmental spending in the next five years for Dominion."<sup>117</sup>*

These scales of investment would be of better use if applied to truly clean energy generation, rather than life extensions for an aging fleet which will continue polluting—albeit at reduced levels—communities after these investments in pollution mitigation have been made.

## ***Combining Environmental Justice and Climate Justice: The Battle to End Coal Pollution***

**While the principles of climate justice mandate that U.S. coal power plants must be closed, the principles of environmental justice should determine which coal plants should be closed first.**

Climate and environmental advocates in the United States — ***especially youth climate activists*** — have focused in recent years on cutting emissions from the U.S. coal power industry. As mentioned earlier, coal power plants are responsible for 32 percent of U.S. CO<sub>2</sub> emissions, so this is a highly strategic place to begin.<sup>118</sup> This fact has not been lost on high-profile advocates of climate action. James Hansen has called coal “the single greatest threat to civilization and all life on our planet,” while Former Vice President Al Gore has called for “rings of young people blocking bulldozers and preventing them from constructing coal-fired power plants.”<sup>119,120</sup>

Of course, in recent years, there *have* been rings of young people blocking bulldozers to prevent coal plants from being built, as part of a powerful, strategic, and highly sophisticated national campaign against coal. This movement has focused on four sub-strategies: (1) stopping proposed new coal plants from being built; (2) closing existing coal plants; (3) stopping mountaintop removal mining (MTR); and (4) targeting banks responsible for financing the coal energy sector.

A surge in new plant proposals under George W. Bush’s Administration caused environmental advocates to initially focus on the first strategy, especially after a May 2007 report stated that 151 new coal-fired generating units were in various stages of development in the U.S. However, by April 2010, 99 of those 151 plants had been cancelled or put on hold, while 24 had already been built — leaving only 16 plants that were under construction, and only 12 that were in various stages of proposal and development.<sup>121</sup>

In November 2007, after fighting for years with grassroots campaigners over its new Comanche 3 coal-fired generating station, Xcel Energy acknowledged that protests and public opinion would probably force the company to never build another coal power plant.<sup>122</sup>

While the battles against MTR and against coal financing remain important, the mass civil disobedience of over 4,000 protestors against the coal-fired Capitol Power Plant in Washington,



D.C., on March 2, 2009, marked a strategic shift away from stopping new plants from being built, and toward closing existing plants.<sup>123</sup> In September 2009, the Sierra Club launched a high-profile campaign targeting coal plants on university and college campuses.<sup>124</sup>

Whether due to campaign pressure or not, many companies are in fact deciding to decommission coal plants. In the past three years, coal power plant operators have announced the closure of at least 44 major coal generating units at 21 plants — including the total closure of Progress Energy's Crystal River, Lee, Sutton, and Weather spoon plants in Florida and North Carolina; Xcel Energy's Cherokee, Arapahoe, and Cameo plants in Colorado; Edison's Mohave plant in Nevada; Southern Company's McDonough plant in Georgia; and Exelon's Eddystone plant in Pennsylvania.<sup>125,126,127</sup>

The fact that the anti-coal campaign is proceeding so successfully is a testament to the work of grassroots activists and organizations. However, these activists, who are part of the broader U.S. grassroots climate movement, have often run their campaigns without meaningful input from local environmental justice campaigners — many of whom live in communities that have been poisoned by these coal plants for decades. This problem reflects a shortcoming of many mainstream environmental advocates: while denouncing the fact that the climate change will disproportionately impact poor people and people of color in the Global South, many climate advocates have often failed to highlight the ongoing, disproportionate impact of carbon-intensive industries on poor people and people of color in the United States. Campaign energy tends to be focused on coal plants that are geographically proximate to (mostly white, middle-class) climate campaigners — such as coal plants on college campuses — rather than targeting those coal-fired power plants that most heavily impact poor people and people of color.

It is critically important that bridges be built between the two communities of anti-coal climate campaigners and environmental justice advocates in the United States. While this will involve listening, accommodating, and rethinking on both sides, the environmental justice community is more frequently composed of people who are being personally impacted by these plants. As such, climate campaigners and the communities most affected by the U.S. coal industry's impact (namely, low-income communities and communities of color, as our report demonstrates) should engage in a collective leadership strategy against the U.S. coal industry. Anti-coal climate campaigners should assume responsibility for this bridge-building, to take direction and leadership from members of the environmental justice community, and to be willing to modify their goals, strategies, and messaging to better reflect those of the communities most effected by U.S. coal power plants. As Nia Robinson (below) and Andrew Hoerner state:

*“Ultimately, accomplishing climate justice will require that new alliances are forged and traditional movements are transformed...special interests are represented by powerful lobbies, while traditional environmentalists often fail to engage people of color, Indigenous peoples, and low-income communities until after the political playing field has been defined and limited to conventional environmental goals...”*



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**The time is now for those disproportionately affected to assume leadership in the climate change debate, to speak truth to power, and to assert rights to social, environmental and economic justice.<sup>128</sup>**

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PART VI:

# What Progress Looks Like: Eliminating Coal Pollution in Chicago



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Home > Featured Articles > Air Pollution

## Chicago's 2 coal-fired plants to shut down sooner than expected

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February 29, 2012 | By Michael Hawthorne and Kristen Mack | Tribune reporters

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Chicago's two coal-fired power plants will shut down sooner than expected under a deal to be announced today by Mayor Rahm Emanuel and [environmental](#) groups.

Midwest Generation will close the Fisk plant in the Pilsen neighborhood by December and the Crawford plant in Little Village by the end of 2014, according to a copy of the agreement obtained by the Tribune. Companies have mothballed nearly 100 other coal plants across the nation in recent years amid competition from abundant and relatively cheap [natural gas](#) and more stringent federal air pollution limits.

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*So begins the City of Chicago press release announcing the decision to close the country's 2 worst offending coal-fired power plants. Negotiation results in a more rapid timeline for closing of two Chicago power plants, as the result of an agreement forged with Mayor Emanuel and the City of Chicago in consultation with community groups and aldermen. The Fisk Station at 1111 W. Cermak Road will be closed no later than the end of 2012, and the Crawford Station at 3601 S. Pulaski Road will be closed by the end of 2014. Thus achieving the goals of proposed Clean Power Ordinance*

### ***How Did it Happen?***

The decision by Midwest Generation to retire or close the Fisk and Crawford power plants is the result of many factors. Many different actors played a part in this historic victory. Grassroots organizations, like the Little Village Environmental Justice Organization (LVEJO), Pilsen Environmental Rights and Reform Organization (PERRO) and the Pilsen Alliance, national environmental organizations, local legislators and city officials, state and federal regulators all played a part. Sustained multi-level action was required over many years: grassroots community action; legal advocacy and litigation; legislative action; regulatory action. It must be emphasized again and again that the root and energizing pulse of this multi-year effort were the frontline communities directly impacted by the Fisk and Crawford plants, led by grassroots organizations such as LVEJO, PERRO and the Pilsen Alliance.

### ***Grassroots Youth and Community Organizing- Leading and Sustaining***

Grassroots youth and community organizing is the foundation upon which the wider campaign to shut to the Fisk and Crawford plants was built. Groups like LVEJO, Pilsen Alliance and PERRO struggled for over 10 years against the plants, creating the sustained pressure necessary for the other actors – such as legislators and regulators – to move in effective ways. LVEJO youth leaders and organizers use multiple tactics to raise awareness in their community and across Chicago about the dangers of the 2 plants, including street theater, protests, petitions, letter campaigns, social media advocacy and direct action. For example, on Oct. 24, 2009, hundreds of people protested outside the Fisk plant, calling for it to be closed; eight people blocked the entrance to the plant, and were arrested.<sup>129</sup>

The leadership provided by the mobilized communities of Little Village and Pilsen created an opportunity for partnership and collaborative action for national environmental groups, public health activists, legal advocates and a wide range of other stakeholders. LVEJO, other grassroots organizations and other important stakeholders initiated in 2009 the Chicago Clean Power Coalition to campaign for a coal free Chicago. This coalition was the force behind the proposed Chicago Clean Power Ordinance which created additional pressure on Midwest Generation to comply or close down.

See [www.lvejo.org](http://www.lvejo.org) for more information about LVEJO, its mission and its many community campaigns.

See also [www.pilsenperro.org](http://www.pilsenperro.org) and [www.thepilsenalliance.org](http://www.thepilsenalliance.org) to learn about these important grassroots organizations.



## ***Environmental Organizations Standing with Frontline Community Leaders***

A number of green and environmental groups including Greenpeace, Sierra Club and Rainforest Action Network among others have worked in partnership with local grassroots organizations. They have supported and joined the Chicago Clean Power Coalition which has been the force pushing for the Chicago Clean Power Ordinance which would set limits on particulate matter and carbon dioxide in Chicago. In addition, coalition members engaged in numerous consciousness-raising actions. On April 21, 2011, six activists with LVEJO, Rainforest Action Network, and Rising Tide entered the Crawford facility, climbed on top of the plant's coal pile, and unfurled a banner reading "Close Chicago's Toxic Coal Plants."<sup>130</sup> On May 24, 2011, in a Greenpeace protest, one group of eight activists scaled the Fisk plant's smokestack, and unfurled a banner reading "Quit Coal;" a second group rappelled off of the Pulaski Bridge, blocking three coal barges from reaching the two plants by holding a banner reading "We Can Stop Coal/NosotrosPodemosParar el Carbón."<sup>131</sup>

## ***Legal Action – Taking it to the Courts***

In July 2009, Citizens Against Ruining the Environment, the Natural Resources Defense Council, the Sierra Club, and two other organizations filed a lawsuit against Midwest Generation, arguing that the company had failed to bring its Crawford, Fisk, and Powerton plants up to Clean Air Act standards.<sup>132</sup> In August 2009, the U.S. EPA, the U.S. Department of Justice, and the State of Illinois filed a separate lawsuit against the company, for illegally emitting large amounts of sulfur dioxide, nitrogen oxide and particulate matter.<sup>133</sup> On January 11, 2011, the U.S. Department of Justice filed a lawsuit on behalf of the U.S. EPA and the states of Pennsylvania, New York, and New Jersey, arguing that since 1990, Edison had violated the Clean Air Act New Source Review requirements by making major modifications to its Homer City plant without first obtaining appropriate permits, and without installing and operating the best available pollution controls. The suit called on Edison to close the plant until it is able to meet Clear Air Act standards.<sup>134</sup>

## ***Local Legislative Action***

In the summer of 2011 local Chicago legislators Alderman Joe Moore (Ward 49) and Alderman Danny Solis (Ward 25) re-introduced to Chicago's City Council an ordinance to regulate particulate and carbon dioxide emissions, called the Chicago Clean Power Ordinance. The ordinance relies on Chicago's home rule authority to take action to protect the health, safety and welfare of its residents. The re-introduction of this ordinance sparked renewed public debate over the impact and status of the 2 plants, with the Mayor of Chicago, state legislative leaders engaging in wide ranging public dialogue with each other and Midwest Gen on alternative courses of action. This intensified debate provided the immediate context in which Midwest Generation made its decision to close the 2 plants in February 2012.

See the website of the Chicago Clean Power Coalition for links to the ordinance and helpful fact sheets - [www.cleanpowerchicago.org](http://www.cleanpowerchicago.org).

### ***Regulatory Action***

Invigorated regulatory oversight, in response to sustained community pressure and calls for action, provided the larger context for Midwest Generation's February 2012 decision to close the Fisk and Crawford plants. In 2006 Midwest Generation and its parent company entered into an agreement with the state of Illinois requiring substantial reductions in mercury, nitrogen oxide and sulfur dioxide. The agreement also required additional reductions at Fisk and Crawford by 2015 and 2018, respectively, or the plants would be closed.

At the federal level, U.S. EPA rule-making has finally brought older coal plants under regulatory oversight. In the 1970's these plants were exempted from the Clean Air Act requirements under a grandfather clause. Recent rules impacting coal plants include the Mercury and Air Toxics Rule, the Greenhouse Gases Rule, the Cross-State Air Pollution Rule. EPA is set to publish its Carbon Rule in April 2012.

## PART VII:

# What Should be Done: Recommendations for Action



***Communities should educate themselves, engage in organizing and advocacy efforts to close the worst offending plants and enforce accountability and social responsibility in energy production.***

The central foci of this effort are to advance energy efficiency and clean energy while ensuring that measures are in place to reduce community exposure to pollutants as the nation makes the shift to a clean energy future. Below is a summary of recommendations to advance solutions that safeguard communities against coal-fired power plant pollution.

**While all of the recommendations below can help impacted communities, the surest way to improve the health and well-being of environmental justice communities on the frontline is to close old dirty coal plants that cannot be feasibly upgraded.**

Specific actions should include the following:

- *Communities should educate themselves on the impact of coal-fired power plants on public health and the local environment.* Public health, environmental, civic and other organizations should ensure that communities are educated about the impact of coal fired power plants on community wellbeing. The NAACP, LVEJO, and IEN already prioritize education of its members and communities; however, in each conversation that is held with their constituencies, they consistently hear that people do not know about the impact of the coal-fired power plants in their communities. Communities must be further educated in order to ensure that they are informed enough to be able to make independent choices about whether and/or how to take action to defend their right to breathe clean air.
- *Communities should link up in city-wide and regional networks to build broader power.* Finding #3 of Part II of the report showed the heavy concentration of failing coal plants in 5 states in the mid-west. Greater coordination and communication among communities, grassroots organizers and environmental justice organizations in these states would increase pressure on plants and owners to dramatically reduce toxic emissions, or close. The work of grassroots organizations in Chicago forming the Chicago Clean Power Coalition should be a lesson for us all (see Part VI above).
- *Communities should increase organizing to reduce and eradicate harm caused by energy-related policies and practices.* Communities should engage in the process of finalizing related EPA rules by voicing their opinions, providing comments, and engaging in awareness-raising as well as advocacy to support and guide the development of strong rules with stringent standards.
- *Communities should advocate for improved corporate social responsibility in energy production.* Community organizations should engage directly with plant owners to advocate for their rights to clean air, and negotiate regarding plant closure and development of energy efficiency initiatives as well as alternative electricity and revenue generating industries, which preserve the health of communities, protect the planet, and create economic opportunities for the communities hosting transitional enterprises. Community organizations and others should also ensure that shareholders recognize the impact of the actions of the industries they fund on communities. Finally, community organizations and others should engage in nonviolent civil disobedience and/or other tactics of nonviolent protest where warranted, if all other measures are not effective in ending the polluting practices that are impacting the wellbeing of communities.



### ***Philanthropic Organizations Should Support Grassroots Community Organizing to Reduce Pollution and Increase Clean Alternatives***

Communities will require support as they seek to become informed and take action to advance policies and practices that ensure the U.S. shifts to energy efficiency and clean energy, while strengthening regulations to safeguard communities and the environment from polluting facilities. Philanthropy is in a position to effectively support grassroots organizing and environmental justice organizations to achieve the results demonstrated in Chicago, but it will require some shifts in grant making strategies.

A February 2012 report released by the National Committee for Responsive Philanthropy articulates a new funding strategy for environmental and climate funders to increase their effectiveness by investing in grassroots organizing. Called ***Cultivating the Grassroots – A Winning Approach for Environment and Climate Funders***, documents the disparity between marginalized communities/grassroots organizing and the other recipients of environmental grant maker funds. Environmental grant makers invested over \$10B in environmental causes from 2000-2009, including \$1.4B in 2009 alone.<sup>135</sup> However, only 12% of environmental funders gave 20% or more of their environmental funding to marginalized communities.<sup>136</sup> Further, only 4% of environmental funders gave 25% or more of their funding to social justice – community organizing and civic engagement.<sup>137</sup>

### ***Policymakers Must Advance Just Energy Policies and Other Specific Legislative Interventions to Reduce the Harm Produces by Coal-Fired Power Plants***

- GLOBAL: At the United Nations Framework Convention on Climate Change, the United States must exert strong leadership in advancing aggressive U.S. and global targets for emission reductions, fair and effective climate finance, and support for the Green Climate Fund, with an emphasis on ensuring that most affected countries and communities control decision-making regarding resource allocation.
- FEDERAL/NATIONAL: The Clean Air Act must be preserved, but strengthened. This bedrock environmental and public health policy is the cornerstone of measures to regulate pollution caused by a wide variety of economic factors. Communities should ensure that their elected officials recognize the critical significance of this policy for their wellbeing, and ensure that this policy maintain full authority, with the EPA as its steward. Communities will be better protected by the Clean Air Act if loopholes for polluters are closed, such as the grandfather clause for non-compliant facilities, if the EPA begins to exercise its discretionary authorities under the Clean Air Act to advance environmental justice goals and if the EPA begins to vigorously apply civil rights law to prevent and remedy racially disproportionate patterns of exposure to pollution.
- Congress must enact policies to shift from subsidizing harmful fossil fuel industries to significantly increasing subsidies for clean energy to ensure that clean energy is an affordable and accessible alternative.



- REGULATORY Rules being proposed by EPA in 2011-2012 including the Mercury and Air Toxics Rule, the Cross-State Air Pollution Rule, and the recently introduced Carbon Pollution Standard, , etc., that target the emissions of mercury, arsenic, lead fine particles, methane, carbon dioxide, sulfur dioxide, nitrogen oxide, etc., must be expeditiously finalized and must include the most stringent standards.
- STATE: State and federal energy efficiency and clean energy grant programs must be increased to incentivize a significant scale-up of initiatives to reduce energy use and advance clean alternatives to energy production.
- LOCAL: Local elected officials must support the development of ordinances at the city/metropolitan/local level to regulate emissions, such as the ordinance being considered in Chicago.

### ***Corporations and Plant Owners Must Act Responsibly to Safeguard Communities Against Pollution from Coal***

Acting responsibly includes taking the following action:

- Companies that are polluting communities nationwide must cease financing anti-regulatory lobbying.
- Corporations must adhere to existing and emerging standards regarding emissions.
- Corporations must immediately transition from polluting processes that poison communities.
- Corporations must engage with communities in good faith discussions regarding equitable and safe transition plans that incorporate local concerns.
- Corporations must create partnerships with communities to execute joint economic ventures around energy efficiency and clean energy, to ensure that there is no loss of jobs, revenue, or needed energy for the communities where coal plants are closing.

### ***Research Entities Must Increase Research on the Impact of Energy Choices on Communities***

Research institutions should deepen their focus on examining the myriad connections between energy production, air pollution, public health and wellbeing, and climate change. Extensive data already exist, as referenced throughout this report, on the connections between public health and coal fired power plants. Existing data also demonstrate the racial disparities in the location of these polluting facilities and the resulting disproportionate exposure. However, despite the availability of data, detailed studies of point-level environmental justice effects of energy facilities have not been conducted. These data present a compelling call to action.



# CONCLUSION

Affirmative changes can be made to our energy practices that will ensure that we have the power we need, the jobs that sustain our livelihoods, and the preservation of health and wellbeing in all communities.

Closing the 75“failing plants“highlighted in this report would reduce U.S. power production by only 8 percent. This amount could easily be substituted by increased energy conservation and renewable energy production. The measures taken to increase energy conservation and renewable energy production include tax credits and financing for weatherization and supporting low income housing and homeowners to invest in renewable energy for their homes, water heating systems heated through geothermal, energy assessments on schools and homes, communities and instituting renewable portfolio standards to support scaling up utilization of renewable energy sources like solar, wind, and geothermal, etc. The key point is that shifting from harmful energy production through burning coal would *reduce the number of Americans living within three miles of a coal plant by 67 percent*, and therefore reduce thousands of hospitalizations, deaths, and incidents of illness in communities affected by these plants.

The message arising from this report is simple: *these polluting life-compromising coal plants must be closed*, and the path to doing so involves engagement from all to ensure policies and systems protect public health and maintain the economic wellbeing of communities, while providing the energy we all require to function.



# APPENDIX



# GLOSSARY OF KEY TERMS

**CARBON DIOXIDE (CO<sub>2</sub>):**By far the most important greenhouse gas, and the most important driver of global warming. It is produced whenever carbon-based fuels (such as coal, oil, or natural gas) are burned. While carbon dioxide is present in the atmosphere naturally, and while there have historically been gradual natural fluctuations in the concentration of CO<sub>2</sub>, its concentration in the atmosphere has increased at a dramatic and unprecedented rate since the beginning of the Industrial Revolution, from about 280 parts per million (ppm) in 1800 to 394 ppm in 2011. Many climate scientists argue that 350 ppm is the highest concentration of CO<sub>2</sub> that the Earth can maintain long-term without suffering “irreversible catastrophic effects.”<sup>138</sup> In 2006, coal power plants worldwide were responsible for 28% of global CO<sub>2</sub> emissions; U.S. coal power plants alone were responsible for almost 7% of global CO<sub>2</sub> emissions. Coal is the world’s most **carbon-intensive** energy source — meaning that coal power plants produce more CO<sub>2</sub> per unit of energy than any other energy source.

**CARBON STORAGE AND SEQUESTRATION (CCS):** An industry- and government-led initiative — supported by some large, mainstream “Big Green” environmental groups — to develop technologies by which carbon dioxide (CO<sub>2</sub>) would be captured at the locations where it is currently being emitted (such as coal-fired power plants), then pumped through a network of pipelines to locations (such as depleted underground oil and gas reservoirs) where it can then be permanently sealed underground. The term “**clean coal**” is used by coal industry advocates to refer to the use of CCS technology to capture and store the CO<sub>2</sub> from coal-fired power plants. Critics argue that there are huge potential problems with CCS — including shortcomings in knowledge about the process by which CO<sub>2</sub> would be injected underground, unpredictable problems with CO<sub>2</sub> leakage, and potentially massive costs — which would likely make the technology unworkable. Furthermore, critics point out that so-called “clean coal” only involves removing the CO<sub>2</sub> from coal power plant pollution, and fails to address the continued effects of SO<sub>2</sub>, NO<sub>x</sub>, mercury, and other pollutants on the local communities where coal plants are located. Critics argue that, even in the best-case scenario, constructing a vast network of CO<sub>2</sub> pipelines and reservoirs would be a massively expensive enterprise, and that it would be smarter from both a cost-management and a harm-reduction perspective to simply shut down coal plants, and replace them with proven alternatives such as wind and solar power generation.

**CARBON TRADING:** A regulatory arrangement — already well underway in Europe — under which governments create a multi-trillion dollar market in carbon dioxide (CO<sub>2</sub>) pollution permits (or “**carbon offsets**”), which would be bought and sold by polluting companies. One big problem with this plan is that the decisions about which projects qualify as carbon offsets — and how much carbon they qualify as offsetting — would be made by government and/or corporate bureaucracies, which often take a quick and irresponsible look at the carbon balance of individual investments (for example, giving credits to a palm oil plantation built on destroyed rainforest land, because the palm trees take CO<sub>2</sub> out of the air). Most frighteningly, carbon offsets would be traded by banks on open markets — subjecting the future of planetary carbon regulation to the same international financial markets that collapsed so catastrophically in 2008.

**CLIMATE JUSTICE:**The argument that climate change is not merely a scientific or technical issue, but should also be viewed through the perspective of social justice. Climate justice activists argue that

vulnerable poor communities — both in the Global South and in the Global North — are least responsible for causing climate change, but will be most severely impacted by its effects. Climate justice activists thus argue that countries of the Global North hold an **emissions debt** to the Global South, and thus have a responsibility to cut their carbon dioxide (CO<sub>2</sub>) emissions far more deeply; these activists also argue that vulnerable communities, especially Indigenous communities, should have an equal voice in processes by which global and national responses to climate change are decided upon. Finally, climate justice activists argue that, since free-market capitalism is responsible for causing the climate crisis, we should not rely on corporate and market-based initiatives to “solve” the problem of climate change.

**CORPORATE ENVIRONMENTAL JUSTICE PERFORMANCE:** A measure of the extent to which the pollution sources owned by a particular company impact low-income communities and communities of color. As Ash & Boyce argue, “community-based [environmental justice] activists generally have focused on impacts from specific facilities... but whether the exposure patterns at individual facilities can be generalized to overall corporate behavior is seldom evident.” However, corporate environmental justice performance analysis shows that “the extent to which firms even in the same industrial sector impose disparate pollution burdens on different groups can and does vary substantially.”<sup>139</sup>

**DECOMMISSIONING:** A process by which an industrial facility is permanently closed down, and the site is, in principle, restored to the conditions existing before the construction of the facility. In reality, full restoration is typically not feasible; however, this process of environmental **remediation** is subject to a wide array of regulatory requirements, in order to ensure that the decommissioned property is restored to the extent that the site can be safely used for other economic purposes.

**ENVIRONMENTAL JUSTICE:** An environmental injustice exists when members of disadvantaged, ethnic, minority or other groups suffer disproportionately at the local, regional (sub-national), or national levels from environmental risks or hazards, and/or suffer disproportionately from violations of fundamental human rights as a result of environmental factors, and/or denied access to environmental investments, benefits, and/or natural resources, and/or are denied access to information; and/or participation in decision making; and/or access to justice in environment-related matters.<sup>140</sup> Environmental justice is achieved when everyone, regardless of race, culture, or income, enjoys the same degree of protection from environmental and health hazards and equal access to the decision-making process to have a healthy environment in which to live, learn, and work.<sup>141</sup>

**ENVIRONMENTAL JUSTICE PERFORMANCE:** A measure of the extent to which a pollution source impacts low-income communities and communities of color.

**GLOBAL SOUTH-GLOBAL NORTH:** These terms refer to geographical, economic and political differences between nations. While many of the countries considered to be in the category of “global south” are below the equator, not all countries below the equator are referred to as “global south” and not all countries referred to as “global south” are below the equator. Formerly colonized nations, countries considered to be “less developed” and countries that are “less industrialized” are typically referred to as “global south” nations. While “industrialized nations” and nations that are considered to be “developed” are referred to as “global north” nations.

**MEGAWATT/GIGAWATT:** A measure of electricity output, or **energy**. A megawatt is 1,000,000 watts; a gigawatt is 1,000 megawatts, or 1,000,000,000 watts. One megawatt is enough electricity to power 800

average American homes; one gigawatt is enough electricity to power 800,000 average American homes.

**MEGAWATT-HOUR/GIGAWATT-HOUR:** A measure of electricity output per unit of time, or **power**. (The difference between a megawatt and a megawatt-hour can be understood by thinking of a water faucet: a megawatt is similar to the flow rate at which the water is coming out of the faucet at any given time, whereas a megawatt-hour is similar to the total amount of water that would come out of the faucet in one hour.)

**MOUNTAINTOP REMOVAL MINING (MTR):** A coal mining process, primarily used in Appalachia, by which coal mining companies first cut down all forests on a mountain, then blast off up to 400 feet of rock and soil using explosives, scoop out the coal from the exposed seam, and push the rubble from the destroyed mountain into a nearby valley. This incredibly destructive mining practice has permanently destroyed 500 mountains in Appalachia, and threatens hundreds more. The “valley fills” of toxic rubble have also buried over 700 miles of rivers and streams, poisoning local water supplies with heavy metals found in coal seams (in an area where, due to widespread rural poverty and poor infrastructure, a large proportion of residents rely on well water for their water supply).

**NITROGEN OXIDES (NO<sub>x</sub>):** A category of air pollutants, which is produced by a variety of different industrial processes. NO<sub>x</sub> increases the risk of respiratory disease in children; it also reacts with sunlight to produce *ozone* (O<sub>3</sub>), which, like SO<sub>2</sub>, increases the risk and severity of asthma, as well as causing coughing, wheezing, and shortness of breath. Coal power plants produce 18% of all NO<sub>x</sub> pollution in the U.S.

**SULFUR DIOXIDE (SO<sub>2</sub>):** One of the main industrial air pollutants, and one that is predominantly produced by burning coal or petroleum. Sulfur dioxide is one of the main causes of acid rain, and it combines with other pollutants to form **particulate (PM<sub>2.5</sub>) pollution**. Immediately, SO<sub>2</sub> pollution (and the particulate pollution that it produces) causes coughing, wheezing, and nasal inflammation. Longer-term, it can cause or increase the severity of asthma. Coal power plants produce 74% of all SO<sub>2</sub> pollution in the U.S.

# APPENDIX I:

## Complete Plant-Level Environmental Justice Performance Ranking

Below is a listing of all 378 coal fired power plants that were ranked based for the purpose of this study.

| State | City          | Parent Company/Entity         | Plant Name         | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO <sub>2</sub> Emissions (tons, av. 2007-10) | NO <sub>x</sub> Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|---------------|-------------------------------|--------------------|---------------|---|---|---|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| IL    | Chicago       | Edison International          | Crawford           | 597           | 2,968,888                                 | 7,276   | 1,978   | 373,690             | \$11,097              | 48.0%                     | 83.9%           | 1            | F     |
| IL    | Chicago       | Edison International          | Fisk Street        | 374           | 1,805,725                                 | 4,464   | 1,125   | 314,632             | \$15,076              | 65.3%                     | 83.1%           | 2            | F     |
| NJ    | Jersey City   | Public Service Electric & Gas | Hudson             | 660           | 2,807,633                                 | 2,452   | 2,565   | 309,478             | \$21,596              | 80.0%                     | 74.0%           | 3            | F     |
| WI    | Milwaukee     | Wisconsin Energy              | Valley             | 272           | 1,462,832                                 | 5,999   | 2,407   | 209,421             | \$12,852              | 60.4%                     | 66.0%           | 4            | F     |
| IN    | Hammond       | Dominion                      | State Line Energy  | 614           | 3,338,043                                 | 10,326  | 7,885   | 77,931              | \$14,408              | 70.6%                     | 78.9%           | 5            | F     |
| OH    | Cleveland     | FirstEnergy                   | Lake Shore         | 256           | 1,117,463                                 | 3,492   | 1,326   | 103,333             | \$10,866              | 51.7%                     | 90.6%           | 6            | F     |
| MI    | River Rouge   | DTE Energy                    | River Rouge        | 651           | 2,949,460                                 | 14,614  | 4,861   | 68,262              | \$13,037              | 58.8%                     | 65.3%           | 7            | F     |
| IN    | New Albany    | Duke Energy                   | R Gallagher        | 600           | 3,044,369                                 | 37,604  | 4,332   | 60,333              | \$12,868              | 63.1%                     | 60.8%           | 8            | F     |
| CO    | Commerce City | Xcel Energy                   | Cherokee           | 801           | 5,208,081                                 | 6,750   | 9,482   | 61,559              | \$13,682              | 56.9%                     | 64.4%           | 9            | F     |
| CT    | Bridgeport    | Public Service Electric & Gas | Bridgeport Station | 400           | 2,803,500                                 | 2,044   | 1,404   | 145,133             | \$16,817              | 58.5%                     | 67.0%           | 10           | F     |
| NM    | Fruitland     | Pinnacle West Capital         | Four Corners       | 2270          | 16,378,361                                | 11,032  | 40,685  | 488                 | \$6,762               | 39.2%                     | 94.9%           | 11           | F     |
| IL    | Waukegan      | Edison International          | Waukegan           | 682           | 4,697,553                                 | 11,690  | 3,326   | 67,776              | \$16,197              | 70.1%                     | 72.1%           | 12           | F     |
| AZ    | Tucson        | UniSource Energy              | H. Wilson Sundt    | 173           | 808,407                                   | 2,040   | 1,428   | 56,609              | \$10,258              | 50.6%                     | 74.7%           | 13           | F     |

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| State | City             | Parent Company/Entity   | Plant Name         | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|------------------|---|--------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| MA    | Somerset         | Dominion  | Brayton Point      | 1125          | 8,321,916                                 | 28,802                            | 5,016                             | 77,676              | \$16,461              | 63.4%                     | 9.4%            | 14           | F     |
| VA    | Chesapeake       | Dominion  | Chesapeake         | 650           | 3,934,895                                 | 18,161                            | 4,583                             | 53,955              | \$16,751              | 69.9%                     | 43.3%           | 15           | F     |
| TN    | Memphis          | U.S. Government   | Allen              | 990           | 5,743,971                                 | 12,156                            | 6,434                             | 2,589               | \$9,412               | 48.5%                     | 99.2%           | 16           | F     |
| NE    | Omaha            | City of Omaha, NE   | North Omaha        | 645           | 3,728,722                                 | 13,358                            | 6,272                             | 43,133              | \$13,858              | 70.7%                     | 56.7%           | 17           | F     |
| NM    | Prewitt          | Tri-State Generation & Transmission Association (cooperative) | Escalante          | 257           | 1,859,191                                 | 1,211                             | 3,332                             | 372                 | \$6,701               | 38.8%                     | 90.2%           | 18           | F     |
| CO    | Denver           | Xcel Energy   | Arapahoe           | 160           | 1,010,656                                 | 2,556                             | 2,608                             | 137,267             | \$21,990              | 91.4%                     | 41.6%           | 19           | F     |
| PA    | Birdsboro        | GenOn Energy  | Titus              | 225           | 1,352,967                                 | 11,204                            | 1,860                             | 82,086              | \$16,699              | 80.0%                     | 39.0%           | 20           | F     |
| IL    | Joliet           | Edison International  | Joliet 9/Joliet 29 | 1680          | 7,688,413                                 | 18,407                            | 6,813                             | 43,392              | \$18,810              | 81.4%                     | 41.7%           | 21           | F     |
| NJ    | Hamilton         | Public Service Electric & Gas                                 | Mercer             | 653           | 3,116,778                                 | 10,796                            | 1,000                             | 81,676              | \$19,365              | 71.7%                     | 42.0%           | 22           | F     |
| KS    | Kansas City      | City of Kansas City, KS                                       | Quindaro           | 239           | 1,192,071                                 | 4,003                             | 3,424                             | 42,539              | \$15,561              | 75.9%                     | 69.9%           | 23           | F     |
| MI    | Lansing          | City of Lansing, MI   | Eckert             | 375           | 1,766,547                                 | 5,212                             | 2,011                             | 96,255              | \$17,959              | 81.0%                     | 39.2%           | 24           | F     |
| PA    | Eddystone        | Exelon  | Eddystone          | 707           | 3,033,299                                 | 5,322                             | 4,124                             | 93,912              | \$19,181              | 91.9%                     | 26.2%           | 25           | F     |
| CO    | Colorado Springs | City of Colorado Springs, CO                                  | Martin Drake       | 257           | 2,047,603                                 | 7,758                             | 4,192                             | 78,101              | \$20,905              | 86.9%                     | 26.6%           | 26           | F     |
| MI    | Muskegon         | CMS Energy  | B C Cobb           | 313           | 2,182,116                                 | 10,753                            | 2,771                             | 43,990              | \$15,161              | 68.4%                     | 37.6%           | 27           | F     |
| VA    | Richmond         | Goldman Sachs (Cogentrix)                                     | Spruance Genco     | 230           | 1,531,379                                 | 5,776                             | 4,045                             | 31,903              | \$17,627              | 73.5%                     | 59.4%           | 28           | F     |
| SC    | Pineville        | Santee Cooper   | Cross              | 1738          | 11,513,871                                | 8,563                             | 5,965                             | 1,068               | \$10,626              | 56.5%                     | 76.3%           | 29           | F     |
| SC    | Beech Island     | SCANA   | Urquhart           | 100           | 717,757                                   | 5,588                             | 790                               | 7,464               | \$12,623              | 67.2%                     | 77.2%           | 30           | F     |
| NC    | Battleboro       | Goldman Sachs (Cogentrix)                                     | Edgecombe Genco    | 115           | 902,847                                   | 4,864                             | 2,964                             | 4,370               | \$11,735              | 57.8%                     | 67.2%           | 31           | F     |
| WI    | Green Bay        | Integritys  | Pulliam            | 350           | 2,494,016                                 | 7,198                             | 5,210                             | 52,071              | \$16,275              | 76.5%                     | 22.5%           | 32           | F     |
| OH    | Willoughby       | FirstEnergy   | Eastlake           | 1257          | 8,810,886                                 | 52,315                            | 8,478                             | 39,044              | \$20,947              | 99.7%                     | 3.3%            | 33           | F     |



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| State | City          | Parent Company/Entity     | Plant Name           | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|---------------|---------------------------|----------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| FL    | Indiantown    | Goldman Sachs (Cogentrix) | Indiantown           | 395           | 2,322,170                                 | 12,682                            | 8,873                             | 3,403               | \$13,107              | 60.8%                     | 68.2%           | 34           | F     |
| CO    | Pueblo        | Xcel Energy               | Comanche             | 779           | 4,944,487                                 | 7,150                             | 5,139                             | 10,355              | \$14,584              | 60.6%                     | 57.7%           | 35           | F     |
| FL    | Lakeland      | City of Lakeland, FL      | C D McIntosh Jr      | 364           | 2,699,208                                 | 5,722                             | 3,518                             | 29,782              | \$15,386              | 71.4%                     | 38.7%           | 36           | F     |
| AL    | Gadsden       | Southern Company          | Gadsden              | 138           | 429,828                                   | 7,257                             | 1,577                             | 24,955              | \$13,600              | 74.8%                     | 49.9%           | 37           | F     |
| SC    | Eastover      | SCANA                     | Wateree              | 772           | 4,957,624                                 | 28,160                            | 3,716                             | 367                 | \$12,422              | 66.1%                     | 82.8%           | 38           | F     |
| TX    | Amarillo      | Xcel Energy               | Harrington           | 1080          | 8,040,270                                 | 20,197                            | 8,525                             | 4,724               | \$9,134               | 46.6%                     | 46.3%           | 39           | F     |
| NC    | Lumberton     | Progress Energy           | W.H. Weatherspoon    | 166           | 847,634                                   | 6,600                             | 2,575                             | 10,450              | \$11,867              | 58.4%                     | 50.3%           | 40           | F     |
| NY    | Tonawanda     | NRG Energy                | C R Huntley          | 436           | 2,752,167                                 | 7,381                             | 1,939                             | 55,349              | \$17,306              | 74.0%                     | 12.0%           | 41           | F     |
| IN    | Michigan City | NiSource                  | Michigan City        | 540           | 2,547,056                                 | 10,941                            | 2,881                             | 29,568              | \$16,523              | 81.0%                     | 29.7%           | 42           | F     |
| IL    | Pekin         | Edison International      | Powerton             | 1786          | 9,265,378                                 | 21,694                            | 21,673                            | 16,131              | \$16,614              | 71.9%                     | 8.2%            | 43           | F     |
| IL    | Baldwin       | Dynegy                    | Baldwin              | 1894          | 13,720,906                                | 24,716                            | 4,452                             | 4,121               | \$13,419              | 58.1%                     | 51.7%           | 44           | F     |
| NM    | Waterflow     | PNM Resources             | San Juan             | 1848          | 12,826,273                                | 8,928                             | 20,093                            | 937                 | \$11,982              | 69.4%                     | 74.9%           | 45           | F     |
| FL    | Orlando       | City of Orlando, FL       | Stanton              | 929           | 6,636,861                                 | 5,392                             | 7,706                             | 6,581               | \$14,035              | 65.1%                     | 48.1%           | 46           | F     |
| IL    | Springfield   | City of Springfield, IL   | Dallman/Lakeside     | 463           | 3,123,218                                 | 8,739                             | 3,531                             | 28,821              | \$19,288              | 83.5%                     | 29.1%           | 47           | F     |
| VA    | Portsmouth    | Goldman Sachs (Cogentrix) | Cogentrix Portsmouth | 115           | 710,463                                   | 1,313                             | 676                               | 53,186              | \$19,424              | 81.0%                     | 40.4%           | 48           | F     |
| NY    | Dunkirk       | NRG Energy                | Dunkirk              | 627           | 3,628,244                                 | 9,057                             | 2,656                             | 16,916              | \$14,578              | 62.3%                     | 23.2%           | 49           | F     |
| KS    | Kansas City   | City of Kansas City, KS   | Nearman Creek        | 261           | 1,625,474                                 | 6,344                             | 3,832                             | 25,710              | \$19,661              | 95.9%                     | 43.7%           | 50           | F     |
| NJ    | Swedesboro    | Goldman Sachs (Cogentrix) | Logan                | 242           | 1,642,435                                 | 12,145                            | 1,019                             | 17,446              | \$16,924              | 62.7%                     | 27.8%           | 51           | F     |
| NC    | Weldon        | Westmoreland Coal Company | Roanoke Valley       | 240           | 1,600,880                                 | 8,707                             | 1,620                             | 15,693              | \$15,339              | 75.5%                     | 42.1%           | 52           | F     |
| IN    | Indianapolis  | AES                       | Harding Street       | 698           | 3,863,590                                 | 25,259                            | 3,525                             | 35,209              | \$17,092              | 83.8%                     | 8.3%            | 53           | F     |
| VA    | Alexandria    | GenOn Energy              | Potomac River        | 514           | 1,304,808                                 | 1,988                             | 1,515                             | 138,380             | \$34,352              | 143.3%                    | 54.9%           | 54           | F     |



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| State | City          | Parent Company/Entity   | Plant Name               | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|---------------|---|--------------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| PA    | Masontown     | FirstEnergy   | Hatfields Ferry          | 1728          | 10,405,940                                | 99,918                            | 22,912                            | 8,398               | \$15,126              | 72.4%                     | 5.6%            | 55           | F     |
| IL    | Alton         | Dynegy  | Wood River               | 500           | 3,244,354                                 | 8,047                             | 2,561                             | 29,889              | \$16,381              | 70.9%                     | 12.4%           | 56           | F     |
| HI    | Kapolei       | AES   | AES Hawaii               | 203           | 1,547,814                                 | 23,971                            | 7,193                             | 2,497               | \$20,931              | 97.2%                     | 87.0%           | 57           | F     |
| LA    | Lena          | Cleco   | Rodemacher               | 558           | 3,419,394                                 | 9,340                             | 4,222                             | 1,237               | \$11,154              | 66.0%                     | 66.7%           | 58           | F     |
| AL    | Forkland      | Southern Company  | Greene County            | 568           | 3,873,062                                 | 30,007                            | 5,213                             | 480                 | \$13,821              | 76.0%                     | 78.8%           | 59           | F     |
| SC    | Goose Creek   | SCANA   | Williams                 | 633           | 4,605,303                                 | 15,821                            | 3,698                             | 4,496               | \$9,653               | 51.4%                     | 32.6%           | 60           | F     |
| MI    | Trenton       | DTE Energy  | Trenton Channel          | 776           | 4,226,915                                 | 26,277                            | 5,318                             | 43,301              | \$29,078              | 131.2%                    | 5.9%            | 61           | F     |
| MO    | Kansas City   | Great Plains Energy   | Hawthorn                 | 594           | 3,892,129                                 | 1,902                             | 1,488                             | 31,335              | \$14,647              | 73.5%                     | 32.3%           | 62           | F     |
| VA    | Hopewell      | Goldman Sachs (Cogentrix)   | James River Cogeneration | 115           | 642,619                                   | 2,448                             | 1,728                             | 22,623              | \$17,981              | 75.0%                     | 37.4%           | 63           | F     |
| WI    | Sheboygan     | Alliant Energy  | Edgewater                | 770           | 4,769,205                                 | 14,929                            | 3,857                             | 29,814              | \$18,812              | 88.4%                     | 15.7%           | 64           | F     |
| MI    | Monroe        | DTE Energy  | Monroe                   | 3280          | 20,279,954                                | 94,568                            | 27,098                            | 7,999               | \$19,202              | 86.6%                     | 15.8%           | 65           | F     |
| PA    | Springdale    | GenOn Energy  | Cheswick                 | 637           | 2,924,260                                 | 27,161                            | 3,521                             | 35,690              | \$19,266              | 92.3%                     | 8.2%            | 66           | F     |
| IN    | Madison       | Ohio Valley Electric Corp. (AEP [43.4%], FirstEnergy [20.5%], Buckeye [12.5%], and four other corporations) | Clifty Creek             | 1303          | 9,415,079                                 | 63,807                            | 14,535                            | 14,216              | \$17,546              | 86.0%                     | 5.6%            | 67           | F     |
| IL    | Romeoville    | Edison International  | Will County              | 1269          | 6,045,575                                 | 15,332                            | 6,355                             | 27,062              | \$20,997              | 90.9%                     | 15.3%           | 68           | F     |
| NY    | Johnson City  | AES   | AES Westover             | 119           | 799,783                                   | 4,183                             | 513                               | 62,201              | \$18,747              | 80.2%                     | 15.6%           | 69           | F     |
| MD    | Curtis Bay    | Constellation Energy  | Brandon Shores           | 1370          | 8,833,833                                 | 29,011                            | 7,921                             | 25,441              | \$23,050              | 90.0%                     | 7.8%            | 70           | F     |
| NJ    | Carneys Point | Goldman Sachs (Cogentrix)   | Chambers (Carneys Point) | 285           | 1,941,304                                 | 15,388                            | 1,231                             | 14,158              | \$18,900              | 70.0%                     | 28.0%           | 71           | F     |
| GA    | Smyrna        | Southern Company  | Jack McDonough           | 598           | 3,870,476                                 | 21,473                            | 3,435                             | 43,319              | \$32,515              | 153.7%                    | 54.1%           | 72           | F     |

| State | City         | Parent Company/Entity | Plant Name      | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|--------------|-----------------------|-----------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| MA    | Salem        | Dominion              | Salem Harbor    | 330           | 1,982,957                                 | 5,299                             | 1,094                             | 100,051             | \$27,500              | 106.0%                    | 11.8%           | 73           | F     |
| NC    | Wilmington   | Progress Energy       | L.V. Sutton     | 672           | 3,121,196                                 | 19,156                            | 4,872                             | 3,116               | \$14,052              | 69.2%                     | 44.5%           | 74           | F     |
| NY    | Syracuse     | GDF SUEZ              | Trigen Syracuse | 101           | 124,284                                   | 2,018                             | 229                               | 69,755              | \$17,481              | 74.7%                     | 19.7%           | 75           | F     |
| VA    | Clover       | Dominion              | Clover          | 848           | 6,938,641                                 | 1,910                             | 9,455                             | 837                 | \$12,916              | 53.9%                     | 48.4%           | 76           | D-    |
| MO    | Sikeston     | City of Sikeston, MO  | Sikeston        | 261           | 2,057,589                                 | 6,396                             | 2,284                             | 15,312              | \$15,111              | 75.8%                     | 27.1%           | 77           | D-    |
| MI    | Marquette    | Wisconsin Energy      | Presque Isle    | 562           | 3,672,757                                 | 11,414                            | 6,719                             | 18,807              | \$16,374              | 73.9%                     | 5.6%            | 78           | D-    |
| OH    | Niles        | GenOn Energy          | Niles           | 266           | 1,015,015                                 | 11,565                            | 2,956                             | 41,028              | \$18,490              | 88.0%                     | 4.0%            | 79           | D-    |
| OH    | Aberdeen     | DPL                   | J.M. Stuart     | 2441          | 15,470,457                                | 54,009                            | 16,332                            | 3,781               | \$13,094              | 62.3%                     | 13.7%           | 80           | D-    |
| IN    | Terre Haute  | Duke Energy           | Wabash River    | 1165          | 4,824,696                                 | 58,158                            | 6,617                             | 12,815              | \$15,989              | 78.4%                     | 5.1%            | 81           | D-    |
| WI    | Madison      | MGE Energy            | Blount Street   | 178           | 286,584                                   | 1,601                             | 313                               | 93,294              | \$18,281              | 85.9%                     | 18.0%           | 82           | D-    |
| KY    | Louisville   | PPL                   | Cane Run        | 645           | 3,879,616                                 | 10,816                            | 6,033                             | 25,907              | \$17,104              | 94.5%                     | 16.9%           | 83           | D-    |
| MN    | Burnsville   | Xcel Energy           | Black Dog       | 294           | 1,670,744                                 | 3,165                             | 6,076                             | 63,615              | \$26,854              | 115.8%                    | 15.6%           | 84           | D-    |
| DE    | Millsboro    | NRG Energy            | Indian River    | 782           | 3,956,837                                 | 19,072                            | 5,090                             | 6,645               | \$18,052              | 77.5%                     | 30.1%           | 85           | D-    |
| IL    | Bartonville  | Ameren                | E.D. Edwards    | 780           | 4,723,776                                 | 12,376                            | 4,453                             | 25,094              | \$18,493              | 80.0%                     | 2.6%            | 86           | D-    |
| IA    | Marshalltown | Alliant Energy        | Sutherland      | 157           | 873,996                                   | 6,145                             | 1,897                             | 14,143              | \$14,817              | 75.3%                     | 24.9%           | 87           | D-    |
| PA    | York Haven   | PPL                   | Brunner Island  | 1559          | 10,463,654                                | 70,512                            | 15,181                            | 10,937              | \$18,722              | 89.7%                     | 3.1%            | 88           | D-    |

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| State | City           | Parent Company/Entity     | Plant Name                     | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|----------------|---------------------------|--------------------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| MD    | Cumberland     | AES                       | AES Warrior Run                | 229           | 1,557,998                                 | 12,079                            | 535                               | 10,914              | \$12,982              | 50.7%                     | 10.7%           | 89           | D-    |
| GA    | Cartersville   | Southern Company          | Bowen                          | 3499          | 23,617,230                                | 101,855                           | 14,188                            | 6,715               | \$17,882              | 84.5%                     | 9.7%            | 90           | D-    |
| SC    | Canadys        | SCANA                     | Canadys                        | 490           | 2,449,322                                 | 14,538                            | 3,203                             | 943                 | \$12,127              | 64.5%                     | 45.2%           | 91           | D-    |
| NV    | Moapa          | NV Energy                 | Reid Gardner                   | 637           | 4,058,013                                 | 1,144                             | 5,435                             | 414                 | \$14,392              | 65.5%                     | 52.8%           | 92           | D-    |
| SC    | Conway         | Santee Cooper             | Dolphus M Grainger             | 163           | 1,013,922                                 | 6,830                             | 1,283                             | 14,855              | \$16,680              | 88.7%                     | 37.3%           | 93           | D-    |
| FL    | Pensacola      | Southern Company          | Crist                          | 1135          | 6,115,894                                 | 28,339                            | 5,768                             | 19,722              | \$22,226              | 103.1%                    | 16.0%           | 94           | D-    |
| MS    | Gulfport       | Southern Company          | Jack Watson                    | 877           | 4,919,179                                 | 21,929                            | 9,644                             | 22,921              | \$20,760              | 131.0%                    | 24.9%           | 95           | D-    |
| IL    | Hennepin       | Dynegy                    | Hennepin                       | 306           | 2,079,926                                 | 5,251                             | 1,370                             | 2,467               | \$15,635              | 67.7%                     | 42.2%           | 96           | D-    |
| MT    | Billings       | PPL                       | J E Corette Plant              | 173           | 1,172,098                                 | 2,911                             | 1,672                             | 36,411              | \$15,325              | 89.4%                     | 16.5%           | 97           | D-    |
| LA    | New Roads      | NRG Energy                | Big Cajun 2                    | 1871          | 13,207,304                                | 36,816                            | 12,081                            | 3,328               | \$16,983              | 100.4%                    | 49.5%           | 98           | D-    |
| OR    | Boardman       | Portland General Electric | Boardman                       | 601           | 3,812,569                                 | 13,013                            | 8,726                             | 233                 | \$13,982              | 66.8%                     | 48.6%           | 99           | D-    |
| IL    | Decatur        | Archer Daniels Midland    | Archer Daniels Midland Decatur | 335           | 1,591,666                                 | 3,674                             | 85                                | 31,997              | \$17,112              | 74.1%                     | 24.3%           | 100          | D-    |
| CO    | Brush          | Xcel Energy               | Pawnee                         | 552           | 3,746,956                                 | 12,257                            | 3,925                             | 1,200               | \$12,964              | 53.9%                     | 25.9%           | 101          | D     |
| GA    | Port Wentworth | Southern Company          | Kraft                          | 208           | 1,235,284                                 | 7,347                             | 3,819                             | 6,444               | \$16,348              | 77.3%                     | 28.7%           | 102          | D     |
| MD    | Baltimore      | Constellation Energy      | C P Crane                      | 400           | 2,083,070                                 | 18,262                            | 3,856                             | 14,067              | \$22,693              | 88.6%                     | 14.9%           | 103          | D     |

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|-------|------------------|-------------------------------|-------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|----------|
| TX    | San Antonio      | City of San Antonio, TX       | Spruce/Deely      | 1498          | 10,186,265                                | 23,265                            | 7,103                             | 2,994               | \$17,703              | 90.2%                     | 42.6%           | 104          | D        |
| TX    | Sudan            | Xcel Energy                   | Tolk              | 1136          | 7,744,536                                 | 21,541                            | 7,593                             | 274                 | \$14,050              | 71.6%                     | 49.7%           | 105          | D        |
| MD    | Curtis Bay       | Constellation Energy          | Herbert A Wagner  | 495           | 2,693,299                                 | 14,846                            | 2,696                             | 25,672              | \$23,403              | 91.4%                     | 6.5%            | 106          | D        |
| PA    | Shamokin Dam     | AMCI Group                    | Sunbury           | 438           | 1,627,644                                 | 27,869                            | 3,239                             | 16,580              | \$17,409              | 83.4%                     | 4.2%            | 107          | D        |
| PA    | Homer City       | Edison International          | Homer City        | 2012          | 13,559,086                                | 109,384                           | 13,566                            | 5,376               | \$16,199              | 77.6%                     | 1.4%            | 108          | D        |
| PA    | Shippingport     | FirstEnergy                   | Bruce Mansfield   | 2741          | 19,901,570                                | 17,780                            | 17,455                            | 7,293               | \$17,729              | 84.9%                     | 13.2%           | 109          | D        |
| CT    | Uncasville       | AES                           | AES Thames        | 214           | 1,258,706                                 | 7,867                             | 431                               | 18,320              | \$20,677              | 71.9%                     | 14.0%           | 110          | D        |
| KY    | Louisville       | PPL                           | Mill Creek        | 1717          | 11,207,145                                | 26,962                            | 11,059                            | 8,394               | \$14,766              | 81.6%                     | 5.9%            | 111          | D        |
| IN    | Princeton        | Duke Energy                   | Gibson            | 3340          | 23,992,984                                | 34,073                            | 20,290                            | 4,557               | \$14,604              | 71.6%                     | 3.1%            | 112          | D        |
| FL    | Mulberry         | TECO Energy                   | Polk              | 326           | 1,902,298                                 | 1,090                             | 639                               | 244                 | \$14,253              | 66.1%                     | 42.7%           | 113          | D        |
| SC    | Cope             | SCANA                         | Cope              | 417           | 3,240,315                                 | 1,876                             | 2,315                             | 1,705               | \$14,252              | 75.8%                     | 47.7%           | 114          | D        |
| NC    | Eden             | Duke Energy                   | Dan River         | 290           | 1,018,069                                 | 5,011                             | 1,000                             | 12,324              | \$15,772              | 77.7%                     | 23.9%           | 115          | D        |
| MO    | St. Louis        | Ameren                        | Meramec           | 923           | 6,173,411                                 | 19,381                            | 5,095                             | 24,139              | \$23,368              | 117.2%                    | 2.7%            | 116          | D        |
| AZ    | Saint Johns      | State of Arizona              | Coronado          | 822           | 6,727,640                                 | 13,937                            | 13,463                            | 313                 | \$12,470              | 61.5%                     | 33.4%           | 117          | D        |
| NC    | Goldsboro        | Progress Energy               | Lee               | 402           | 2,129,373                                 | 13,788                            | 3,561                             | 4,758               | \$14,983              | 73.8%                     | 22.4%           | <b>118</b>   | <b>D</b> |
| AL    | Leroy            | PowerSouth Energy Cooperative | Charles R. Lowman | 538           | 4,074,602                                 | 8,558                             | 6,997                             | 3,716               | \$17,514              | 96.3%                     | 46.2%           | <b>119</b>   | <b>D</b> |
| AZ    | Joseph City      | Pinnacle West Capital         | Cholla            | 1129          | 8,377,004                                 | 13,599                            | 11,079                            | 1,076               | \$13,096              | 64.6%                     | 27.3%           | <b>120</b>   | <b>D</b> |
| CO    | Colorado Springs | City of Colorado Springs, CO  | Ray D. Nixon      | 207           | 1,713,483                                 | 4,125                             | 2,187                             | 2,487               | \$15,845              | 65.9%                     | 28.9%           | <b>121</b>   | <b>D</b> |
| VA    | Bremo Bluff      | Dominion                      | Bremo Bluff       | 254           | 1,502,216                                 | 8,463                             | 2,543                             | 1,069               | \$17,662              | 73.7%                     | 41.2%           | <b>122</b>   | <b>D</b> |
| OH    | Shadyside        | FirstEnergy                   | R E Burger        | 416           | 1,994,639                                 | 14,085                            | 2,210                             | 14,474              | \$15,910              | 75.8%                     | 2.3%            | <b>123</b>   | <b>D</b> |
| LA    | Mansfield        | Cleco                         | Dolet Hills       | 721           | 4,926,059                                 | 14,611                            | 4,994                             | 412                 | \$13,767              | 81.4%                     | 50.3%           | <b>124</b>   | <b>D</b> |
| MI    | Essexville       | CMS Energy                    | Karn/Weadock      | 857           | 5,571,115                                 | 21,229                            | 4,517                             | 16,171              | \$20,962              | 94.6%                     | 6.7%            | <b>125</b>   | <b>D</b> |

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|-------|--------------|-----------------------------------|----------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| OH    | Avon Lake    | GenOn Energy                      | Avon Lake            | 766           | 3,541,512                                 | 33,504                            | 5,399                             | 17,456              | \$25,839              | 123.0%                    | 4.5%            | 126          | D+    |
| OH    | Brilliant    | American Electric Power           | Cardinal             | 1880          | 11,550,996                                | 45,264                            | 9,197                             | 6,312               | \$16,512              | 78.6%                     | 2.1%            | 127          | D+    |
| OK    | Chouteau     | State of Oklahoma                 | Chouteau             | 1010          | 7,164,309                                 | 17,720                            | 14,229                            | 2,277               | \$14,026              | 79.5%                     | 26.2%           | 128          | D+    |
| OH    | Oregon       | FirstEnergy                       | Bay Shore            | 499           | 3,481,678                                 | 9,044                             | 5,433                             | 14,132              | \$19,595              | 93.3%                     | 13.2%           | 129          | D+    |
| KY    | West Paducah | U.S. Government                   | Shawnee              | 1750          | 10,147,075                                | 32,131                            | 17,112                            | 5,759               | \$15,618              | 86.3%                     | 9.2%            | 130          | D+    |
| TN    | Kingston     | U.S. Government                   | Kingston             | 1700          | 10,777,927                                | 31,223                            | 5,655                             | 11,574              | \$18,077              | 93.2%                     | 5.5%            | 131          | D+    |
| IA    | Muscatine    | City of Muskatine, IA             | Muscatine Plant #1   | 294           | 1,684,542                                 | 2,386                             | 3,520                             | 16,132              | \$17,305              | 88.0%                     | 18.0%           | 132          | D+    |
| MD    | Newburg      | GenOn Energy                      | Morgantown           | 1252          | 7,291,745                                 | 59,608                            | 3,978                             | 1,398               | \$19,047              | 74.4%                     | 26.2%           | 133          | D+    |
| TX    | Tatum        | Energy Future Holdings (Luminant) | Martin Lake          | 2380          | 19,390,415                                | 76,031                            | 15,873                            | 595                 | \$14,863              | 75.8%                     | 33.8%           | 134          | D+    |
| TX    | Christina    | San Miguel Electric Cooperative   | San Miguel           | 410           | 3,137,811                                 | 10,075                            | 3,127                             | 65                  | \$15,583              | 79.4%                     | 49.4%           | 135          | D+    |
| FL    | Brooksville  | JPMorgan Chase (Arroyo Energy)    | Central Power & Lime | 125           | 609,429                                   | 5,185                             | 3,617                             | 5,377               | \$14,592              | 67.7%                     | 13.9%           | 136          | D+    |
| AZ    | Page         | State of Arizona                  | Navajo               | 2409          | 18,932,159                                | 4,487                             | 31,246                            | 2,551               | \$18,294              | 90.2%                     | 38.4%           | 137          | D+    |
| FL    | Jacksonville | Goldman Sachs (Cogentrix)         | Cedar Bay            | 292           | 1,811,071                                 | 9,057                             | 6,333                             | 9,415               | \$19,307              | 89.6%                     | 17.3%           | 138          | D+    |
| OK    | Red Rock     | OGE Energy                        | Sooner               | 1138          | 7,308,038                                 | 18,338                            | 11,228                            | 130                 | \$13,555              | 76.8%                     | 44.8%           | 139          | D+    |
| OH    | Ashtabula    | FirstEnergy                       | Ashtabula            | 256           | 1,548,226                                 | 5,122                             | 1,382                             | 14,111              | \$16,493              | 78.5%                     | 11.5%           | 140          | D+    |
| OK    | Panama       | AES                               | AES Shady Point      | 350           | 3,623,736                                 | 35,677                            | 10,583                            | 2,422               | \$13,636              | 77.3%                     | 19.0%           | 141          | D+    |
| WV    | Winfield     | American Electric Power           | John Amos            | 2933          | 19,591,125                                | 66,380                            | 13,499                            | 9,429               | \$19,473              | 118.2%                    | 2.3%            | 142          | D+    |
| OH    | Stratton     | FirstEnergy                       | W.H. Sammis          | 2456          | 16,021,838                                | 72,695                            | 15,475                            | 3,936               | \$16,649              | 79.3%                     | 2.1%            | 143          | D+    |
| IA    | Cedar Rapids | Alliant Energy                    | Prairie Creek        | 245           | 870,574                                   | 2,357                             | 1,546                             | 35,324              | \$19,766              | 100.5%                    | 13.7%           | 144          | D+    |

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|-------|----------------|-------------------------------------|-------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| TN    | Kingsport      | Eastman Chemical                    | Tennessee Eastman | 194           | 907,608                                   | 4,215                             | 2,909                             | 26,913              | \$19,230              | 99.2%                     | 8.1%            | 145          | D+    |
| AZ    | Springerville  | UniSource Energy                    | Springerville     | 850           | 8,378,982                                 | 6,641                             | 6,478                             | 142                 | \$13,255              | 65.4%                     | 31.0%           | 146          | D+    |
| MO    | Marston        | Associated Electric Cooperative     | New Madrid        | 1200          | 7,705,370                                 | 14,700                            | 10,520                            | 500                 | \$14,039              | 70.4%                     | 29.8%           | 147          | D+    |
| MI    | Lansing        | City of Lansing, MI                 | Erickson          | 155           | 1,116,552                                 | 3,131                             | 1,164                             | 16,366              | \$22,757              | 102.7%                    | 34.0%           | 148          | D+    |
| VA    | Chester        | Dominion                            | Chesterfield      | 1353          | 8,228,821                                 | 42,182                            | 4,845                             | 8,621               | \$25,206              | 105.1%                    | 19.3%           | 149          | D+    |
| CA    | Trona          | Constellation Energy                | ACE Cogeneration  | 108           | 764,480                                   | 3,322                             | 3,212                             | 1,932               | \$16,347              | 72.0%                     | 24.1%           | 150          | D+    |
| TX    | Mount Pleasant | American Electric Power             | Welsh             | 1674          | 10,573,163                                | 26,829                            | 10,298                            | 1,110               | \$15,854              | 80.8%                     | 29.9%           | 151          | C-    |
| NH    | Bow            | Northeast Utilities                 | Merrimack         | 459           | 3,273,753                                 | 32,469                            | 3,023                             | 11,526              | \$22,045              | 92.5%                     | 2.9%            | 152          | C-    |
| TX    | Thompsons      | NRG Energy                          | W.A. Parish       | 2697          | 20,156,022                                | 51,069                            | 4,365                             | 12,278              | \$30,333              | 154.6%                    | 46.3%           | 153          | C-    |
| IN    | Lawrenceburg   | American Electric Power             | Tanners Creek     | 1100          | 5,755,401                                 | 25,213                            | 6,094                             | 10,253              | \$19,404              | 95.1%                     | 3.7%            | 154          | C-    |
| TX    | Vernon         | American Electric Power             | Oklunion          | 720           | 4,426,197                                 | 3,735                             | 6,832                             | 193                 | \$14,004              | 71.4%                     | 32.8%           | 155          | C-    |
| SC    | Moncks Corner  | Santee Cooper                       | Jefferies         | 346           | 1,885,771                                 | 13,167                            | 2,785                             | 3,308               | \$18,042              | 96.0%                     | 40.6%           | 156          | C-    |
| OK    | Fort Gibson    | OGE Energy                          | Muskogee          | 1716          | 10,312,620                                | 24,961                            | 15,224                            | 7,651               | \$20,938              | 118.7%                    | 25.6%           | 157          | C-    |
| PA    | Shawville      | GenOn Energy                        | Shawville         | 626           | 3,673,159                                 | 43,504                            | 6,221                             | 3,165               | \$14,652              | 70.2%                     | 2.1%            | 158          | C-    |
| NY    | Newburgh       | Dynegy                              | Danskammer        | 387           | 2,563,975                                 | 10,588                            | 3,004                             | 16,224              | \$25,019              | 107.0%                    | 16.0%           | 159          | C-    |
| NC    | Roxboro        | Progress Energy                     | Mayo              | 736           | 4,954,320                                 | 13,545                            | 1,702                             | 793                 | \$15,810              | 77.9%                     | 31.6%           | 160          | C-    |
| TN    | Rogersville    | U.S. Government                     | John Sevier       | 800           | 5,281,520                                 | 24,578                            | 6,730                             | 4,881               | \$14,844              | 76.5%                     | 4.2%            | 161          | C-    |
| PA    | Phoenixville   | Exelon                              | Cromby            | 188           | 757,165                                   | 2,649                             | 1,457                             | 44,302              | \$25,557              | 122.4%                    | 9.1%            | 162          | C-    |
| CO    | Craig          | Tri-State Generation & Transmission | Craig             | 1339          | 10,842,378                                | 3,750                             | 15,788                            | 3,259               | \$17,785              | 74.0%                     | 13.6%           | 163          | C-    |

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|-------|---------------|-------------------------|-------------------------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| IA    | Cedar Rapids  | Archer Daniels Midland  | Archer Daniels Midland Cedar Rapids | 256           | 840,771                                   | 8,896                             | 2,338                             | 18,285              | \$19,747              | 100.4%                    | 7.9%            | 164          | C-    |
| PA    | New Florence  | GenOn Energy            | Conemaugh                           | 1872          | 13,715,831                                | 6,835                             | 19,025                            | 2,729               | \$13,800              | 66.1%                     | 1.3%            | 165          | C-    |
| SC    | Columbia      | SCANA                   | McMeekin                            | 294           | 1,837,268                                 | 9,932                             | 2,198                             | 22,690              | \$28,092              | 149.5%                    | 14.7%           | 166          | C-    |
| PA    | Shelocta      | GenOn Energy            | Keystone                            | 1872          | 13,929,716                                | 128,331                           | 9,334                             | 2,113               | \$15,440              | 73.9%                     | 1.2%            | 167          | C-    |
| GA    | Albany        | Southern Company        | Mitchell                            | 163           | 602,438                                   | 2,699                             | 1,097                             | 2,993               | \$18,237              | 86.2%                     | 32.5%           | 168          | C-    |
| KS    | Lawrence      | Westar Energy           | Lawrence                            | 566           | 3,788,907                                 | 2,801                             | 4,313                             | 20,882              | \$22,383              | 109.2%                    | 15.8%           | 169          | C-    |
| OH    | Cheshire      | American Electric Power | Gavin                               | 2600          | 20,365,692                                | 27,674                            | 22,581                            | 1,916               | \$15,195              | 72.3%                     | 2.4%            | 170          | C-    |
| SC    | Pelzer        | Duke Energy             | W.S. Lee                            | 355           | 1,487,394                                 | 8,245                             | 1,366                             | 5,816               | \$15,463              | 82.3%                     | 17.1%           | 171          | C-    |
| VA    | Cleveland     | American Electric Power | Clinch River                        | 713           | 4,137,838                                 | 15,394                            | 4,535                             | 1,271               | \$13,472              | 56.2%                     | 1.8%            | 172          | C-    |
| IA    | Bettendorf    | Berkshire Hathaway      | Riverside                           | 141           | 702,229                                   | 2,536                             | 820                               | 39,186              | \$22,466              | 114.2%                    | 17.3%           | 173          | C-    |
| WA    | Centralia     | TransAlta               | Centralia                           | 1460          | 9,020,065                                 | 2,648                             | 11,179                            | 2,352               | \$16,879              | 73.5%                     | 13.9%           | 174          | C-    |
| IN    | Rockport      | American Electric Power | Rockport                            | 2600          | 19,587,609                                | 54,481                            | 20,640                            | 1,842               | \$15,480              | 75.9%                     | 3.9%            | 175          | C-    |
| GA    | Milledgeville | Southern Company        | Harlee Branch                       | 1746          | 10,648,393                                | 76,429                            | 16,359                            | 3,935               | \$22,702              | 107.3%                    | 14.8%           | 176          | C     |
| TN    | Oak Ridge     | U.S. Government         | Bull Run                            | 950           | 5,709,329                                 | 17,412                            | 5,760                             | 8,638               | \$18,514              | 95.5%                     | 4.6%            | 177          | C     |
| PA    | Elrama        | GenOn Energy            | Elrama                              | 510           | 1,936,079                                 | 2,308                             | 3,087                             | 13,123              | \$18,042              | 86.4%                     | 9.1%            | 178          | C     |
| KY    | Central City  | PPL                     | Green River                         | 189           | 879,070                                   | 19,747                            | 1,978                             | 2,462               | \$12,921              | 71.4%                     | 9.8%            | 179          | C     |
| CO    | Boulder       | Xcel Energy             | Valmont                             | 192           | 1,395,952                                 | 1,110                             | 2,183                             | 34,181              | \$28,069              | 116.7%                    | 18.8%           | 180          | C     |
| KY    | Owensboro     | City of Owensboro, KY   | Elmer Smith                         | 445           | 2,402,671                                 | 5,780                             | 4,517                             | 12,073              | \$17,133              | 94.7%                     | 7.5%            | 181          | C     |
| IL    | Joppa         | Ameren                  | Joppa                               | 1100          | 8,682,249                                 | 26,072                            | 5,032                             | 1,046               | \$15,076              | 65.3%                     | 6.1%            | 182          | C     |



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|-------|--------------|---|-----------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| OH    | Gallipolis   | Ohio Valley Electric Corp. (AEP [43.4%], FirstEnergy [20.5%], Buckeye [12.5%], and four other corporations) | Kyger Creek     | 1087          | 7,726,997                                 | 70,782                            | 8,897                             | 3,685               | \$18,009              | 85.7%                     | 2.8%            | 183          | C     |
| KY    | Central City | PPL   | Green River     | 189           | 879,070                                   | 19,747                            | 1,978                             | 2,462               | \$12,921              | 71.4%                     | 9.8%            | 179          | C     |
| MD    | Williamsport | FirstEnergy   | R Paul Smith    | 110           | 549,394                                   | 3,065                             | 780                               | 10,578              | \$18,596              | 72.6%                     | 2.2%            | 184          | C     |
| NV    | Valmy        | NV Energy   | North Valmy     | 567           | 3,837,153                                 | 7,037                             | 6,083                             | 91                  | \$17,223              | 78.3%                     | 28.1%           | 185          | C     |
| KY    | Burnside     | East Kentucky Power Cooperative   | Cooper          | 344           | 2,139,994                                 | 18,250                            | 4,069                             | 6,089               | \$14,904              | 82.4%                     | 2.6%            | 186          | C     |
| PA    | Colver       | Constellation Energy  | Colver          | 118           | 806,743                                   | 7,725                             | 817                               | 1,980               | \$12,523              | 60.0%                     | 2.1%            | 187          | C     |
| IN    | Petersburg   | AES   | AES Petersburg  | 1873          | 12,594,955                                | 28,727                            | 13,298                            | 3,172               | \$16,077              | 78.8%                     | 0.9%            | 188          | C     |
| GA    | Newnan       | Southern Company  | Yates           | 1487          | 7,580,811                                 | 61,292                            | 10,536                            | 2,676               | \$18,720              | 88.5%                     | 10.1%           | 189          | C     |
| MA    | Holyoke      | GDF SUEZ  | Mount Tom       | 136           | 1,108,662                                 | 2,980                             | 474                               | 15,165              | \$22,034              | 84.9%                     | 11.4%           | 190          | C     |
| AL    | Bucks        | Southern Company  | Barry           | 1771          | 11,342,798                                | 36,345                            | 11,089                            | 496                 | \$16,301              | 89.6%                     | 31.4%           | 191          | C     |
| WV    | Maidsville   | FirstEnergy   | Fort Martin     | 1152          | 7,558,117                                 | 55,055                            | 8,879                             | 5,600               | \$17,872              | 108.5%                    | 6.0%            | 192          | C     |
| GA    | Juliette     | Southern Company  | Scherer         | 3564          | 25,543,271                                | 73,381                            | 17,790                            | 786                 | \$19,263              | 91.1%                     | 24.4%           | 193          | C     |
| OH    | New Richmond | Duke Energy   | Walter Beckjord | 1221          | 5,863,617                                 | 48,107                            | 9,873                             | 5,403               | \$21,972              | 104.6%                    | 2.8%            | 194          | C     |
| UT    | Magna        | Rio Tinto Group   | Utah Smelter    | 182           | 736,829                                   | 2,961                             | 5,200                             | 752                 | \$14,013              | 77.1%                     | 22.8%           | 195          | C     |
| MN    | Fergus Falls | Otter Tail Power  | Hoot Lake       | 129           | 934,871                                   | 3,043                             | 1,109                             | 14,624              | \$19,036              | 82.1%                     | 3.4%            | 196          | C     |
| IA    | Clinton      | Alliant Energy  | Milton L Kapp   | 218           | 1,077,859                                 | 3,303                             | 576                               | 18,309              | \$16,893              | 85.9%                     | 6.3%            | 197          | C     |
| FL    | Apollo Beach | TECO Energy   | Big Bend        | 1823          | 9,395,960                                 | 9,531                             | 14,208                            | 8,691               | \$26,310              | 122.0%                    | 14.1%           | 198          | C     |

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|-------|-----------------|---|-------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| NC    | Salisbury       | Duke Energy                               | Buck              | 370           | 1,680,066                                 | 6,626                             | 1,086                             | 3,995               | \$17,562              | 86.5%                     | 20.2%           | 199          | C     |
| PA    | Monaca          | AES                                       | AES Beaver Valley | 149           | 963,293                                   | 12,824                            | 3,214                             | 10,933              | \$21,294              | 102.0%                    | 3.6%            | 200          | C     |
| OK    | Oologah         | American Electric Power                   | Northeastern      | 946           | 6,875,077                                 | 24,354                            | 12,475                            | 2,290               | \$17,160              | 97.2%                     | 23.4%           | 201          | C+    |
| AL    | Stevenson       | U.S. Government                           | Widows Creek      | 1969          | 10,374,911                                | 21,118                            | 9,811                             | 1,926               | \$14,230              | 78.2%                     | 7.9%            | 202          | C+    |
| LA    | Westlake        | Entergy                                   | Roy S. Nelson     | 615           | 3,803,626                                 | 14,724                            | 4,273                             | 7,807               | \$17,468              | 103.3%                    | 15.3%           | 203          | C+    |
| NY    | Barker          | AES                                       | AES Somerset      | 655           | 5,641,632                                 | 5,221                             | 4,481                             | 4,326               | \$17,899              | 76.5%                     | 4.8%            | 204          | C+    |
| PA    | Washingtonville | PPL                                       | Montour           | 1625          | 10,649,067                                | 51,746                            | 9,672                             | 1,956               | \$16,156              | 77.4%                     | 2.2%            | 205          | C+    |
| IN    | Petersburg      | Hoosier Energy Rural Electric Cooperative | Frank E. Ratts    | 233           | 1,678,572                                 | 23,469                            | 3,149                             | 3,919               | \$15,813              | 77.5%                     | 1.0%            | 206          | C+    |
| TX    | Fannin          | International Power plc                   | Coleto Creek      | 600           | 5,095,652                                 | 17,690                            | 3,608                             | 715                 | \$17,331              | 88.3%                     | 28.7%           | 207          | C+    |
| NC    | Moncure         | Progress Energy                           | Cape Fear         | 329           | 2,069,876                                 | 12,291                            | 2,283                             | 1,169               | \$18,415              | 90.7%                     | 29.4%           | 208          | C+    |
| VA    | Clarksville     | Dominion                                  | Mecklenburg       | 140           | 817,970                                   | 374                               | 892                               | 2,225               | \$21,392              | 89.2%                     | 33.7%           | 209          | C+    |
| TX    | Mount Pleasant  | Energy Future Holdings (Luminant)         | Monticello        | 1980          | 16,015,342                                | 64,747                            | 12,472                            | 995                 | \$19,277              | 98.3%                     | 28.1%           | 210          | C+    |
| PA    | Monaca          | Horsehead Industries                      | G F Weaton        | 120           | 528,419                                   | 2,511                             | 1,761                             | 21,345              | \$20,628              | 98.8%                     | 3.7%            | 211          | C+    |
| PA    | Seward          | GenOn Energy                              | Seward            | 585           | 2,808,282                                 | 8,020                             | 2,070                             | 2,858               | \$14,577              | 69.8%                     | 1.3%            | 212          | C+    |
| PA    | New Castle      | GenOn Energy                              | New Castle        | 348           | 1,383,736                                 | 12,203                            | 2,190                             | 6,620               | \$17,133              | 82.1%                     | 2.0%            | 213          | C+    |
| KY    | Drakesboro      | U.S. Government                           | Paradise          | 2558          | 13,974,044                                | 34,466                            | 24,336                            | 593                 | \$13,427              | 74.2%                     | 6.6%            | 214          | C+    |
| NH    | Portsmouth      | Northeast Utilities                       | Schiller          | 100           | 786,334                                   | 3,883                             | 842                               | 24,509              | \$25,560              | 107.2%                    | 5.9%            | 215          | C+    |
| OH    | Manchester      | DPL                                       | Killen            | 661           | 4,409,830                                 | 4,424                             | 5,700                             | 441                 | \$12,788              | 60.9%                     | 2.9%            | 216          | C+    |
| WI    | Rothschild      | Integrus                                  | Weston            | 1087          | 3,831,612                                 | 9,878                             | 4,642                             | 10,349              | \$22,963              | 108.0%                    | 3.2%            | 217          | C+    |
| MN    | Bayport         | Xcel Energy                               | Allen S King      | 598           | 2,252,408                                 | 1,931                             | 2,136                             | 22,141              | \$24,281              | 104.7%                    | 6.2%            | 218          | C+    |
| OH    | North Bend      | Duke Energy                               | Miami Fort        | 1278          | 7,947,022                                 | 30,480                            | 8,071                             | 4,455               | \$19,913              | 94.8%                     | 4.6%            | 219          | C+    |

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|-------|---------------|--------------------------------------|--------------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| IL    | Coffeen       | Ameren                               | Coffeen                  | 1005          | 5,780,720                                 | 12,779                            | 6,009                             | 1,155               | \$15,744              | 68.1%                     | 1.8%            | 220          | C+    |
| OH    | Miamisburg    | DPL                                  | O.H. Hutchings           | 414           | 571,706                                   | 2,451                             | 776                               | 25,370              | \$20,296              | 96.6%                     | 4.1%            | 221          | C+    |
| NC    | Belews Creek  | Duke Energy                          | Belews Creek             | 2160          | 16,352,441                                | 27,870                            | 3,154                             | 1,474               | \$19,738              | 97.2%                     | 28.4%           | 222          | C+    |
| NE    | Fremont       | City of Fremont, NE                  | Lon Wright               | 130           | 486,173                                   | 1,530                             | 482                               | 24,132              | \$17,828              | 90.9%                     | 6.7%            | 223          | C+    |
| FL    | Crystal River | Progress Energy                      | Crystal River            | 2443          | 15,801,372                                | 71,197                            | 24,557                            | 1,671               | \$19,259              | 89.3%                     | 8.0%            | 224          | C+    |
| TX    | Fairfield     | Energy Future Holdings (Luminant)    | Big Brown                | 1187          | 9,154,983                                 | 64,340                            | 6,387                             | 379                 | \$16,573              | 84.5%                     | 21.2%           | 225          | C+    |
| OH    | Conesville    | American Electric Power              | Conesville               | 1891          | 10,391,546                                | 62,545                            | 15,443                            | 1,424               | \$16,350              | 77.8%                     | 1.5%            | 226          | INC   |
| WV    | Haywood       | FirstEnergy                          | Harrison                 | 2052          | 14,360,620                                | 7,684                             | 13,820                            | 6,427               | \$15,491              | 94.0%                     | 2.1%            | 227          | INC   |
| MO    | Springfield   | City of Springfield, MO              | James River              | 253           | 1,460,182                                 | 3,692                             | 1,545                             | 21,237              | \$28,976              | 145.3%                    | 5.2%            | 228          | INC   |
| OK    | Hugo          | Western Farmers Electric Cooperative | Hugo                     | 446           | 3,230,153                                 | 9,781                             | 3,206                             | 712                 | \$13,980              | 79.2%                     | 17.7%           | 229          | INC   |
| OH    | Beverly       | American Electric Power              | Muskingum River          | 1529          | 8,527,207                                 | 113,282                           | 13,467                            | 1,052               | \$15,961              | 76.0%                     | 2.2%            | 230          | INC   |
| MI    | China         | DTE Energy                           | Belle River              | 1395          | 8,683,362                                 | 25,082                            | 9,254                             | 6,004               | \$25,010              | 112.8%                    | 2.7%            | 231          | INC   |
| VA    | Glen Lyn      | American Electric Power              | Glen Lyn                 | 338           | 1,652,793                                 | 6,646                             | 1,995                             | 1,360               | \$16,460              | 68.7%                     | 3.7%            | 232          | INC   |
| MS    | Ackerman      | GDF SUEZ                             | Red Hills                | 514           | 3,244,974                                 | 1,818                             | 2,485                             | 830                 | \$13,665              | 86.2%                     | 26.1%           | 233          | INC   |
| IN    | Mount Vernon  | Vectren                              | A.B. Brown               | 530           | 3,663,227                                 | 7,454                             | 3,375                             | 7,955               | \$19,095              | 93.6%                     | 4.2%            | 234          | INC   |
| NJ    | Marmora       | Rockland Capital                     | B L England              | 299           | 1,321,614                                 | 4,960                             | 2,349                             | 13,975              | \$28,765              | 106.5%                    | 7.5%            | 235          | INC   |
| WV    | New Haven     | American Electric Power              | Mountaineer/Philip Sporn | 2406          | 15,500,717                                | 30,357                            | 11,889                            | 4,287               | \$15,772              | 95.7%                     | 1.5%            | 236          | INC   |
| AR    | Redfield      | Entergy                              | White Bluff              | 1700          | 10,444,091                                | 33,438                            | 15,176                            | 1,732               | \$15,611              | 92.4%                     | 15.2%           | 237          | INC   |
| IL    | Havana        | Dynegy                               | Havana                   | 488           | 3,137,072                                 | 6,605                             | 593                               | 4,385               | \$16,756              | 72.5%                     | 1.7%            | 238          | INC   |
| NC    | Belmont       | Duke Energy                          | G.G. Allen               | 1155          | 7,008,249                                 | 27,757                            | 5,264                             | 6,652               | \$24,749              | 121.9%                    | 12.8%           | 239          | INC   |
| TX    | Hallsville    | American Electric Power              | Pirkey                   | 721           | 5,089,734                                 | 3,039                             | 3,931                             | 478                 | \$16,091              | 82.0%                     | 21.6%           | 240          | INC   |

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|-------|-------------------|--|------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| WI    | Oak Creek         | Wisconsin Energy                                 | South Oak Creek  | 1192          | 6,265,223                                 | 12,703                            | 4,268                             | 8,350               | \$23,005              | 108.2%                    | 7.5%            | 241          | INC   |
| VA    | Yorktown          | Dominion   | Yorktown         | 375           | 2,117,505                                 | 18,618                            | 2,909                             | 9,018               | \$27,912              | 116.4%                    | 11.0%           | 242          | INC   |
| PA    | Kittanning        | FirstEnergy                                      | Armstrong        | 326           | 1,993,562                                 | 22,077                            | 2,505                             | 1,396               | \$14,881              | 71.3%                     | 1.1%            | 243          | INC   |
| IA    | Sergeant Bluff    | Berkshire Hathaway                               | George Neal      | 1686          | 11,416,151                                | 37,125                            | 14,402                            | 1,692               | \$19,286              | 98.0%                     | 20.5%           | 244          | INC   |
| KS    | St. Marys         | Westar Energy                                    | Jeffrey          | 2160          | 15,788,962                                | 30,238                            | 20,558                            | 593                 | \$15,966              | 77.9%                     | 7.8%            | 245          | INC   |
| WI    | Pleasant Prairie  | Wisconsin Energy                                 | Pleasant Prairie | 1233          | 8,545,213                                 | 1,375                             | 2,677                             | 21,894              | \$24,878              | 117.0%                    | 10.2%           | 246          | INC   |
| PA    | Northampton       | Goldman Sachs (Cogentrix)                        | Northampton      | 114           | 809,629                                   | 2,417                             | 136                               | 33,723              | \$19,965              | 95.6%                     | 4.3%            | 247          | INC   |
| MO    | Brookline Station | City of Springfield, MO                          | Southwest        | 194           | 1,323,214                                 | 3,721                             | 1,509                             | 15,381              | \$20,219              | 101.4%                    | 5.9%            | 248          | INC   |
| MN    | Cohasset          | ALLETE   | Clay Boswell     | 1073          | 7,654,245                                 | 15,800                            | 11,973                            | 2,009               | \$18,507              | 79.8%                     | 3.1%            | 249          | INC   |
| MN    | Becker            | Xcel Energy                                      | Sherburne County | 2129          | 16,232,489                                | 23,668                            | 17,391                            | 3,627               | \$22,611              | 97.5%                     | 2.2%            | 250          | INC   |
| UT    | Helper            | Berkshire Hathaway (MidAmerican Energy Co)       | Carbon           | 189           | 1,394,820                                 | 6,131                             | 3,593                             | 1,654               | \$15,457              | 85.0%                     | 14.7%           | 251          | INC   |
| IN    | Wheatfield        | NiSource   | R.M. Schahfer    | 1943          | 11,017,752                                | 34,516                            | 13,336                            | 1,713               | \$17,021              | 83.4%                     | 4.6%            | 252          | INC   |
| UT    | Huntington        | Berkshire Hathaway (MidAmerican Energy Holdings) | Huntington       | 996           | 7,109,325                                 | 3,534                             | 9,646                             | 249                 | \$13,855              | 76.2%                     | 12.5%           | 253          | INC   |
| GA    | Franklin          | Southern Company                                 | Wansley          | 1904          | 13,290,256                                | 44,480                            | 8,084                             | 1,034               | \$17,085              | 80.8%                     | 7.4%            | 254          | INC   |
| KY    | Ghent             | PPL  | Ghent            | 2226          | 13,335,557                                | 24,731                            | 11,398                            | 2,897               | \$16,269              | 89.9%                     | 3.1%            | 255          | INC   |
| IL    | Newton            | Ameren   | Newton           | 1235          | 8,299,388                                 | 23,785                            | 4,033                             | 618                 | \$16,022              | 69.3%                     | 1.1%            | 256          | INC   |
| WV    | Glasgow           | American Electric Power                          | Kanawha River    | 439           | 2,346,565                                 | 11,665                            | 3,030                             | 6,276               | \$15,430              | 93.6%                     | 3.8%            | 257          | INC   |
| KY    | Hawesville        | Big Rivers Electric Corporation                  | Kenneth Coleman  | 521           | 2,990,144                                 | 3,935                             | 5,338                             | 8,846               | \$17,478              | 96.6%                     | 2.0%            | 258          | INC   |

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|-------|-------------|-------------------------------------|-----------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| CO    | Nucla       | Tri-State Generation & Transmission | Nucla           | 114           | 799,521                                   | 1,149                             | 1,585                             | 1,193               | \$17,099              | 71.1%                     | 6.1%            | 259          | INC   |
| TX    | Bremond     | PNM Resources                       | Twin Oaks       | 349           | 2,700,128                                 | 4,782                             | 1,667                             | 328                 | \$16,810              | 85.7%                     | 21.5%           | 260          | INC   |
| WV    | Moundsville | American Electric Power             | Kammer/Mitchell | 2345          | 12,862,804                                | 30,694                            | 15,082                            | 2,845               | \$15,533              | 94.3%                     | 1.9%            | 261          | INC   |
| OH    | Marietta    | American Municipal Power - Ohio     | Richard Gorsuch | 200           | 945,825                                   | 23,934                            | 2,503                             | 8,074               | \$25,445              | 121.1%                    | 3.3%            | 262          | INC   |
| IN    | Cayuga      | Duke Energy                         | Cayuga          | 1062          | 6,913,963                                 | 36,335                            | 8,679                             | 1,746               | \$16,478              | 80.8%                     | 2.1%            | 263          | INC   |
| AZ    | Cochise     | Arizona Electric Power Cooperative  | Apache Station  | 408           | 3,123,106                                 | 3,473                             | 6,126                             | 224                 | \$16,291              | 80.4%                     | 15.8%           | 264          | INC   |
| IN    | Edwardsport | Duke Energy                         | Edwardsport     | 109           | 178,617                                   | 4,680                             | 753                               | 2,019               | \$14,672              | 71.9%                     | 2.3%            | 265          | INC   |
| NY    | Dresden     | AES                                 | AES Greenidge   | 163           | 889,378                                   | 1,193                             | 599                               | 1,115               | \$16,035              | 68.6%                     | 3.6%            | 266          | INC   |
| AR    | Gentry      | American Electric Power             | Flint Creek     | 558           | 3,820,751                                 | 8,136                             | 4,732                             | 4,794               | \$16,644              | 98.5%                     | 12.2%           | 267          | INC   |
| FL    | Palatka     | Seminole Electric Cooperative       | Seminole        | 1429          | 10,052,857                                | 19,289                            | 10,556                            | 1,514               | \$18,512              | 85.9%                     | 9.0%            | 268          | INC   |
| SC    | Georgetown  | Santee Cooper                       | Winyah          | 1260          | 8,244,936                                 | 7,811                             | 3,894                             | 1,224               | \$20,019              | 106.5%                    | 35.4%           | 269          | INC   |
| MI    | East China  | DTE Energy                          | St Clair        | 1547          | 8,213,694                                 | 35,259                            | 10,160                            | 4,172               | \$25,766              | 116.2%                    | 2.3%            | 270          | INC   |
| IL    | Kincaid     | Dominion                            | Kincaid         | 1319          | 6,844,432                                 | 17,110                            | 14,099                            | 1,946               | \$19,225              | 83.2%                     | 1.7%            | 271          | INC   |
| MN    | Silver Bay  | Cleveland Cliffs Inc                | Silver Bay      | 132           | 742,280                                   | 1,725                             | 2,195                             | 2,320               | \$17,396              | 75.0%                     | 3.1%            | 272          | INC   |
| MD    | Aquasco     | GenOn Energy                        | Chalk Point     | 728           | 4,303,118                                 | 32,714                            | 5,912                             | 2,603               | \$28,210              | 110.1%                    | 22.8%           | 273          | INC   |
| IL    | Hutsonville | Ameren                              | Hutsonville     | 150           | 881,737                                   | 3,438                             | 1,012                             | 1,158               | \$16,515              | 71.5%                     | 1.9%            | 274          | INC   |
| KY    | Louisa      | American Electric Power             | Big Sandy       | 1097          | 7,351,298                                 | 41,933                            | 9,379                             | 1,383               | \$14,767              | 81.6%                     | 1.4%            | 275          | INC   |
| NC    | Semora      | Progress Energy                     | Roxboro         | 2558          | 19,041,958                                | 27,856                            | 7,135                             | 1,440               | \$22,115              | 108.9%                    | 31.0%           | 276          | INC   |
| AR    | Newark      | Entergy                             | Independence    | 1700          | 11,578,960                                | 28,022                            | 15,358                            | 1,195               | \$14,060              | 83.2%                     | 4.2%            | 277          | INC   |
| WI    | Cassville   | Alliant Energy                      | Nelson Dewey    | 200           | 1,373,743                                 | 13,671                            | 2,747                             | 1,399               | \$15,941              | 74.9%                     | 1.1%            | 278          | INC   |

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|-------|------------------|--|----------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| GA    | Coosa            | Southern Company                                     | Hammond              | 953           | 4,582,069                                 | 15,899                            | 5,051                             | 2,070               | \$17,961              | 84.9%                     | 5.5%            | 279          | INC   |
| AL    | Tuscumbia        | U.S. Government                                      | Colbert              | 1350          | 8,265,626                                 | 26,393                            | 10,208                            | 897                 | \$16,430              | 90.3%                     | 13.7%           | 280          | INC   |
| NE    | Nebraska City    | City of Omaha, NE                                    | Nebraska City        | 652           | 4,859,105                                 | 16,260                            | 10,930                            | 1,703               | \$16,933              | 86.3%                     | 5.9%            | 281          | INC   |
| AL    | Parrish          | Southern Company                                     | Gorgas               | 1417          | 8,336,802                                 | 26,110                            | 10,497                            | 1,239               | \$14,877              | 81.8%                     | 3.8%            | 282          | INC   |
| PA    | Courtney         | FirstEnergy  | Mitchell             | 299           | 1,540,702                                 | 710                               | 1,815                             | 12,109              | \$18,669              | 89.4%                     | 3.4%            | 283          | INC   |
| TN    | Cumberland City  | U.S. Government                                      | Cumberland           | 2600          | 18,256,496                                | 13,919                            | 18,429                            | 761                 | \$16,069              | 82.9%                     | 7.3%            | 284          | INC   |
| KS    | La Cygne         | Great Plains Energy                                  | La Cygne             | 1578          | 10,372,680                                | 22,064                            | 12,137                            | 597                 | \$16,037              | 78.2%                     | 4.5%            | 285          | INC   |
| TN    | New Johnsonville | U.S. Government                                      | Johnsonville         | 1485          | 8,680,516                                 | 47,099                            | 13,156                            | 2,478               | \$20,424              | 105.3%                    | 2.9%            | 286          | INC   |
| KS    | Topeka           | Westar Energy  | Tecumseh             | 232           | 1,519,962                                 | 4,577                             | 2,483                             | 4,302               | \$20,217              | 98.6%                     | 16.5%           | 287          | INC   |
| MI    | Harbor Beach     | DTE Energy   | Harbor Beach         | 121           | 236,360                                   | 1,155                             | 569                               | 2,670               | \$16,858              | 76.0%                     | 3.7%            | 288          | INC   |
| AL    | Quinton          | Southern Company                                     | James H. Miller      | 2822          | 22,578,544                                | 51,466                            | 13,822                            | 1,706               | \$17,999              | 99.0%                     | 6.6%            | 289          | INC   |
| AL    | Wilsonville      | Southern Company                                     | E.C. Gaston          | 2013          | 12,226,292                                | 114,398                           | 16,123                            | 1,820               | \$22,531              | 123.9%                    | 12.1%           | 290          | INC   |
| FL    | Jacksonville     | City of Jacksonville, FL                             | St. Johns River      | 1358          | 9,661,063                                 | 10,098                            | 13,855                            | 2,713               | \$20,800              | 96.5%                     | 5.8%            | 291          | INC   |
| PA    | Portland         | GenOn Energy   | Portland             | 427           | 2,314,638                                 | 29,066                            | 3,321                             | 4,487               | \$22,654              | 108.5%                    | 4.0%            | 292          | INC   |
| CO    | Hayden           | Xcel Energy  | Hayden               | 465           | 3,853,199                                 | 2,580                             | 7,241                             | 708                 | \$19,160              | 79.7%                     | 7.6%            | 293          | INC   |
| ME    | Rumford          | Cerberus Capital Management                          | Rumford Cogeneration | 103           | 753,839                                   | 1,598                             | 747                               | 7,977               | \$16,263              | 83.3%                     | 2.1%            | 294          | INC   |
| VA    | King George      | J-POWER/General Electric                             | Birchwood            | 258           | 1,672,808                                 | 7,434                             | 533                               | 2,141               | \$21,274              | 88.7%                     | 13.8%           | 295          | INC   |
| TX    | Carlos           | Cities of Bryan, Denton, Garland, and Greenville, TX | Gibbons Creek        | 454           | 4,239,931                                 | 12,007                            | 2,203                             | 365                 | \$16,751              | 85.4%                     | 14.5%           | 296          | INC   |
| NC    | Mooresboro       | Duke Energy  | Cliffside            | 781           | 4,311,704                                 | 23,045                            | 1,668                             | 2,752               | \$18,299              | 90.1%                     | 5.8%            | 297          | INC   |

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| State | City         | Parent Company/Entity                      | Plant Name    | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|--------------|--|---------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| KY    | Maysville    | East Kentucky Power Cooperative            | H.L. Spurlock | 1279          | 6,769,736                                 | 20,104                            | 3,914                             | 2,230               | \$16,234              | 89.7%                     | 4.6%            | 298          | INC   |
| MT    | Colstrip     | PPL  | Colstrip      | 2272          | 17,113,633                                | 15,996                            | 23,382                            | 2,353               | \$20,185              | 117.7%                    | 18.7%           | 299          | INC   |
| GA    | Rincon       | Southern Company                           | McIntosh      | 178           | 915,331                                   | 2,649                             | 1,245                             | 1,046               | \$19,177              | 90.7%                     | 18.3%           | 300          | INC   |
| FL    | Southport    | Southern Company                           | Lansing Smith | 340           | 2,583,688                                 | 13,770                            | 4,320                             | 2,104               | \$19,253              | 89.3%                     | 6.9%            | 301          | INC   |
| NC    | Arden        | Progress Energy                            | Asheville     | 414           | 2,479,156                                 | 1,126                             | 984                               | 18,838              | \$23,533              | 115.9%                    | 10.3%           | 302          | INC   |
| MO    | Independence | City of Independence, MO                   | Blue Valley   | 115           | 329,318                                   | 2,092                             | 171                               | 20,386              | \$20,736              | 104.0%                    | 9.0%            | 303          | INC   |
| MO    | Sibley       | Great Plains Energy                        | Sibley        | 524           | 3,037,688                                 | 11,455                            | 5,790                             | 1,920               | \$17,680              | 88.7%                     | 4.5%            | 304          | INC   |
| UT    | Vernal       | Deseret Power Electric Cooperative         | Bonanza       | 500           | 3,896,080                                 | 1,179                             | 6,859                             | 21                  | \$14,596              | 80.3%                     | 8.7%            | 305          | INC   |
| SC    | Hartsville   | Progress Energy                            | H.B. Robinson | 207           | 1,216,132                                 | 9,979                             | 2,240                             | 4,096               | \$19,033              | 101.3%                    | 10.4%           | 306          | INC   |
| NC    | Terrell      | Duke Energy                                | Marshall      | 1996          | 14,670,534                                | 9,789                             | 11,954                            | 4,163               | \$31,244              | 153.9%                    | 5.0%            | 307          | INC   |
| IL    | Canton       | Ameren                                     | Duck Creek    | 441           | 1,790,298                                 | 2,248                             | 1,696                             | 577                 | \$17,259              | 74.7%                     | 1.5%            | 308          | INC   |
| ND    | Mandan       | MDU Resources Group                        | R.M. Heskett  | 115           | 494,124                                   | 2,335                             | 981                               | 11,613              | \$18,909              | 106.4%                    | 4.3%            | 309          | INC   |
| IL    | Meredosia    | Ameren                                     | Meredosia     | 354           | 1,276,348                                 | 5,996                             | 1,827                             | 1,372               | \$18,018              | 78.0%                     | 0.7%            | 310          | INC   |
|       |              |  |               |               |   |                                   |                                   |                     |                       |                           |                 |              |       |
| MI    | West Olive   | CMS Energy                                 | J.H. Campbell | 1586          | 9,664,760                                 | 32,767                            | 9,708                             | 2,688               | \$27,688              | 124.9%                    | 6.7%            | 311          | INC   |
| MI    | Erie         | CMS Energy                                 | J.R. Whiting  | 345           | 2,501,358                                 | 8,437                             | 2,819                             | 3,306               | \$21,301              | 96.1%                     | 6.4%            | 312          | INC   |
| WY    | Gillette     | Berkshire Hathaway (MidAmerican Energy Co) | Wyodak        | 362           | 2,977,859                                 | 7,559                             | 4,620                             | 1,959               | \$17,751              | 92.8%                     | 7.9%            | 313          | INC   |
| WV    | Rivesville   | FirstEnergy                                | Rivesville    | 110           | 187,298                                   | 1,024                             | 382                               | 6,976               | \$14,707              | 89.3%                     | 6.9%            | 314          | INC   |



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| State | City          | Parent Company/Entity                      | Plant Name              | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|---------------|--|-------------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| OH    | Moscow        | Duke Energy                                | W.H. Zimmer             | 1426          | 10,176,039                                | 16,602                            | 10,459                            | 1,626               | \$19,402              | 92.4%                     | 2.0%            | 315          | INC   |
| UT    | Castle Dale   | Berkshire Hathaway (MidAmerican Energy Co) | Hunter                  | 1472          | 10,591,701                                | 5,605                             | 18,149                            | 1,177               | \$16,499              | 90.7%                     | 5.5%            | 316          | INC   |
| MS    | Escatawpa     | Southern Company                           | Victor Daniel           | 1097          | 7,336,448                                 | 24,958                            | 9,539                             | 2,257               | \$17,427              | 109.9%                    | 7.8%            | 317          | INC   |
| MO    | Clinton       | Great Plains Energy                        | Montrose                | 564           | 3,447,018                                 | 12,700                            | 6,222                             | 230                 | \$15,515              | 77.8%                     | 2.3%            | 318          | INC   |
| MO    | West Alton    | Ameren                                     | Sioux                   | 1099          | 6,761,834                                 | 45,472                            | 6,979                             | 2,319               | \$27,267              | 136.8%                    | 4.7%            | 319          | INC   |
| KY    | Centertown    | Big Rivers Electric Corporation            | D.B. Wilson             | 440           | 3,430,157                                 | 8,712                             | 3,473                             | 1,200               | \$15,169              | 83.8%                     | 2.0%            | 320          | INC   |
| WV    | Albright      | FirstEnergy                                | Albright                | 278           | 1,165,443                                 | 11,576                            | 1,811                             | 3,093               | \$15,271              | 92.7%                     | 1.7%            | 321          | INC   |
| TX    | La Grange     | State of Texas                             | Sam Seymour             | 1690          | 11,946,522                                | 29,416                            | 6,592                             | 541                 | \$17,887              | 91.2%                     | 10.3%           | 322          | INC   |
| WY    | Glenrock      | Berkshire Hathaway (MidAmerican Energy Co) | Dave Johnston           | 817           | 6,192,066                                 | 17,997                            | 11,011                            | 669                 | \$17,296              | 90.4%                     | 7.8%            | 323          | INC   |
| NC    | Southport     | EPCOR Power                                | Southport               | 135           | 304,261                                   | 2,464                             | 1,380                             | 3,923               | \$22,252              | 109.6%                    | 20.3%           | 324          | INC   |
| TN    | Gallatin      | U.S. Government                            | Gallatin                | 1255          | 8,024,162                                 | 21,604                            | 5,509                             | 2,871               | \$24,248              | 125.0%                    | 7.1%            | 325          | INC   |
| WV    | Willow Island | FirstEnergy                                | Pleasants/Willow Island | 1581          | 9,902,902                                 | 20,452                            | 7,251                             | 1,918               | \$16,079              | 97.6%                     | 1.6%            | 326          | INC   |
| KY    | Harrodsburg   | PPL  | E.W. Brown              | 739           | 3,973,194                                 | 38,811                            | 5,962                             | 2,000               | \$19,243              | 106.4%                    | 3.4%            | 327          | INC   |
| WI    | Pardeeville   | Alliant Energy                             | Columbia                | 1023          | 7,476,702                                 | 26,059                            | 5,128                             | 1,962               | \$21,272              | 100.0%                    | 3.3%            | 328          | INC   |
| WY    | Wheatland     | Basin Electric Power Cooperative           | Laramie River           | 1710          | 13,534,734                                | 9,951                             | 17,982                            | 450                 | \$16,920              | 88.4%                     | 7.4%            | 329          | INC   |
| MN    | Aurora        | ALLETE                                     | Syl Laskin              | 116           | 695,500                                   | 1,148                             | 782                               | 2,117               | \$19,015              | 82.0%                     | 1.0%            | 330          | INC   |
| IN    | Martinsville  | AES  | Eagle Valley            | 302           | 1,477,445                                 | 13,136                            | 2,112                             | 4,398               | \$24,778              | 121.5%                    | 1.9%            | 331          | INC   |

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| State | City           | Parent Company/Entity                            | Plant Name    | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|----------------|--|---------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| TX    | Rockdale       | Energy Future Holdings (Luminant)                | Sandow        | 954           | 4,458,858                                 | 21,525                            | 3,766                             | 971                 | \$21,345              | 108.8%                    | 21.4%           | 332          | INC   |
| IA    | Council Bluffs | Berkshire Hathaway                               | Walter Scott  | 1778          | 8,740,153                                 | 17,501                            | 7,652                             | 2,094               | \$22,048              | 112.1%                    | 9.2%            | 333          | INC   |
| IN    | Newburgh       | Alcoa  | Warrick       | 755           | 5,472,344                                 | 37,330                            | 9,565                             | 1,557               | \$22,026              | 108.0%                    | 3.0%            | 334          | INC   |
| ND    | Beulah         | Otter Tail Power                                 | Coyote        | 450           | 3,158,460                                 | 12,802                            | 12,214                            | 1,224               | \$17,350              | 97.6%                     | 4.6%            | 335          | INC   |
| NC    | Mount Holly    | Duke Energy                                      | Riverbend     | 466           | 2,125,519                                 | 11,603                            | 1,595                             | 4,481               | \$24,238              | 119.4%                    | 9.5%            | 336          | INC   |
| IA    | Muscatine      | Berkshire Hathaway                               | Louisa        | 812           | 4,496,643                                 | 5,876                             | 4,261                             | 1,066               | \$18,188              | 92.4%                     | 6.5%            | 337          | INC   |
| FL    | Gainesville    | City of Gainesville, FL                          | Deerhaven     | 251           | 1,525,743                                 | 6,089                             | 2,191                             | 5,102               | \$28,647              | 132.9%                    | 15.6%           | 338          | INC   |
| SD    | Big Stone      | Otter Tail Power                                 | Big Stone     | 456           | 3,164,666                                 | 11,704                            | 12,018                            | 1,291               | \$16,956              | 96.5%                     | 2.2%            | 339          | INC   |
| WY    | Gillette       | Black Hills Corporation                          | Neil Simpson  | 102           | 764,687                                   | 1,711                             | 1,460                             | 1,959               | \$17,751              | 92.8%                     | 7.9%            | 340          | INC   |
| MO    | Festus         | Ameren   | Rush Island   | 1242          | 8,835,364                                 | 26,612                            | 3,680                             | 973                 | \$18,392              | 92.3%                     | 1.6%            | 341          | INC   |
| IA    | Ottumwa        | Alliant Energy                                   | Ottumwa       | 726           | 4,135,596                                 | 14,101                            | 3,794                             | 417                 | \$16,627              | 84.5%                     | 2.5%            | 342          | INC   |
| WY    | Point of Rocks | Berkshire Hathaway (MidAmerican Energy Holdings) | Jim Bridger   | 2318          | 16,018,703                                | 17,425                            | 21,086                            | 58                  | \$17,961              | 93.9%                     | 13.9%           | 343          | INC   |
| UT    | Delta          | Intermountain Power Agency                       | Intermountain | 1640          | 10,187,160                                | 5,242                             | 26,728                            | 104                 | \$15,652              | 86.1%                     | 5.8%            | 344          | INC   |
| KY    | Robards        | Big Rivers Electric Corporation                  | R.D. Green    | 528           | 3,924,294                                 | 2,954                             | 4,832                             | 1,152               | \$16,606              | 91.8%                     | 4.1%            | 345          | INC   |
| KY    | Henderson      | Big Rivers Electric Corporation                  | Henderson     | 365           | 2,413,694                                 | 4,669                             | 2,290                             | 1,401               | \$17,149              | 94.8%                     | 5.3%            | 346          | INC   |
| IN    | Chesterton     | NiSource   | Bailly        | 604           | 2,730,775                                 | 6,770                             | 6,268                             | 2,980               | \$27,677              | 135.7%                    | 7.8%            | 347          | INC   |
| WY    | Kemmerer       | Berkshire Hathaway (MidAmerican Energy Co)       | Naughton      | 707           | 5,503,186                                 | 21,066                            | 13,732                            | 1,254               | \$21,066              | 110.1%                    | 5.6%            | 348          | INC   |

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| State | City         | Parent Company/Entity                     | Plant Name       | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|--------------|---|------------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| IL    | Marion       | Southern Illinois Power Cooperative       | Marion           | 272           | 1,899,848                                 | 4,037                             | 2,260                             | 3,003               | \$24,397              | 105.6%                    | 5.7%            | 349          | INC   |
| NY    | Lansing      | AES                                       | AES Cayuga       | 323           | 2,505,413                                 | 4,942                             | 2,433                             | 1,183               | \$21,982              | 94.0%                     | 4.4%            | 350          | INC   |
| WI    | Alma         | Dairyland Power Cooperative               | Alma/Madgett     | 568           | 3,774,037                                 | 13,349                            | 5,506                             | 1,196               | \$21,092              | 99.2%                     | 3.3%            | 351          | INC   |
| MO    | Asbury       | Empire District Electric Co               | Asbury           | 232           | 1,406,927                                 | 10,259                            | 2,686                             | 432                 | \$18,200              | 91.3%                     | 5.4%            | 352          | INC   |
| KY    | Bedford      | PPL                                       | Trimble County   | 566           | 4,209,324                                 | 1,327                             | 2,338                             | 845                 | \$15,869              | 87.7%                     | 2.4%            | 353          | INC   |
| MS    | Purvis       | South Mississippi Electric Power Assoc    | R.D. Morrow      | 400           | 2,828,955                                 | 7,961                             | 6,583                             | 1,615               | \$17,073              | 107.7%                    | 5.6%            | 354          | INC   |
| NE    | Sutherland   | State of Nebraska                         | Gerald Gentleman | 1363          | 9,998,174                                 | 30,462                            | 14,302                            | 284                 | \$18,474              | 94.2%                     | 5.4%            | 355          | INC   |
| IN    | Sullivan     | Hoosier Energy Rural Electric Cooperative | Merom            | 1080          | 6,999,226                                 | 12,603                            | 5,667                             | 693                 | \$18,744              | 91.9%                     | 1.0%            | 356          | INC   |
| IA    | Burlington   | Alliant Energy                            | Burlington       | 212           | 1,258,042                                 | 4,168                             | 1,025                             | 3,255               | \$20,688              | 105.2%                    | 5.2%            | 357          | INC   |
| MO    | Clifton Hill | Associated Electric Cooperative           | Thomas Hill      | 1135          | 7,775,819                                 | 15,998                            | 9,864                             | 232                 | \$18,006              | 90.3%                     | 3.3%            | 358          | INC   |
| MO    | Labadie      | Ameren                                    | Labadie          | 2389          | 19,332,583                                | 61,182                            | 9,541                             | 1,012               | \$28,900              | 145.0%                    | 2.7%            | 359          | INC   |
| ND    | Beulah       | Basin Electric Power Cooperative          | Antelope Valley  | 870           | 7,101,634                                 | 14,314                            | 13,471                            | 443                 | \$17,421              | 98.0%                     | 4.3%            | 362          | INC   |
| TX    | Jewett       | NRG Energy                                | Limestone        | 1850          | 14,050,463                                | 19,558                            | 13,078                            | 308                 | \$20,497              | 104.5%                    | 11.5%           | 363          | INC   |
| MD    | Dickerson    | GenOn Energy                              | Dickerson        | 588           | 3,266,204                                 | 22,976                            | 4,095                             | 1,223               | \$39,896              | 155.8%                    | 10.9%           | 364          | INC   |
| OH    | Lockbourne   | American Electric Power                   | Picway           | 106           | 319,842                                   | 4,265                             | 682                               | 2,814               | \$23,375              | 111.3%                    | 2.6%            | 365          | INC   |
| WI    | Genoa        | Dairyland Power Cooperative               | Genoa            | 346           | 2,489,927                                 | 9,943                             | 2,350                             | 537                 | \$20,307              | 95.5%                     | 1.6%            | 366          | INC   |
| WV    | Mount Storm  | Dominion                                  | Mount Storm      | 1662          | 11,390,134                                | 3,130                             | 7,441                             | 282                 | \$15,373              | 93.3%                     | 1.9%            | 367          | INC   |

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| State | City         | Parent Company/Entity            | Plant Name      | Capacity (MW) | Electricity Production (MWh, av. 2005-08) | SO2 Emissions (tons, av. 2007-10) | NOX Emissions (tons, av. 2007-10) | Pop. Within 3 Miles | 3-Mile Average Income | % of State Average Income | 3-Mile POC Pop. | Overall Rank | Grade |
|-------|--------------|----------------------------------|-----------------|---------------|---|-----------------------------------|-----------------------------------|---------------------|-----------------------|---------------------------|-----------------|--------------|-------|
| NE    | Grand Island | City of Grand Island, NE         | Platte          | 110           | 716,318                                   | 2,692                             | 1,333                             | 2,871               | \$26,925              | 137.3%                    | 5.5%            | 368          | INC   |
| KY    | Ford         | East Kentucky Power Cooperative  | Dale            | 216           | 1,092,592                                 | 7,944                             | 2,271                             | 1,494               | \$22,162              | 122.5%                    | 3.1%            | 369          | INC   |
| KY    | Rabbit Hash  | Duke Energy                      | East Bend       | 669           | 4,570,140                                 | 2,150                             | 3,764                             | 1,638               | \$20,775              | 114.8%                    | 2.5%            | 370          | INC   |
| ND    | Underwood    | Great River Energy               | Coal Creek      | 1210          | 9,366,558                                 | 24,550                            | 9,809                             | 264                 | \$18,110              | 101.9%                    | 1.9%            | 371          | INC   |
| ND    | Center       | Minnkota Power Cooperative       | Milton Young    | 734           | 5,427,215                                 | 27,484                            | 15,829                            | 142                 | \$17,886              | 100.7%                    | 4.0%            | 372          | INC   |
| MO    | Weston       | Great Plains Energy              | Iatan           | 726           | 4,828,056                                 | 7,427                             | 4,498                             | 768                 | \$21,955              | 110.1%                    | 2.9%            | 373          | INC   |
| ND    | Stanton      | Basin Electric Power Cooperative | Leland Olds     | 656           | 4,763,529                                 | 46,523                            | 9,334                             | 92                  | \$17,458              | 98.2%                     | 3.1%            | 374          | INC   |
| CO    | Wellington   | State of Colorado                | Rawhide         | 294           | 2,274,464                                 | 934                               | 1,877                             | 157                 | \$25,048              | 104.2%                    | 10.1%           | 375          | INC   |
| ND    | Stanton      | Great River Energy               | Stanton         | 190           | 1,427,547                                 | 2,562                             | 1,869                             | 91                  | \$17,402              | 97.9%                     | 3.1%            | 376          | INC   |
| MN    | Schroeder    | ALLETE                           | Taconite Harbor | 252           | 1,542,691                                 | 4,317                             | 2,185                             | 30                  | \$22,671              | 97.7%                     | 2.6%            | 377          | INC   |
| NE    | Hallan       | State of Nebraska                | Sheldon         | 229           | 1,670,081                                 | 4,582                             | 7,405                             | 369                 | \$20,785              | 106.0%                    | 3.3%            | 378          | INC   |

# APPENDIX II:

## Complete Corporate Environmental Justice Performance Ranking

| Company  | Utilities Owned   | 2010 Revenues (millions USD) | 2010 Profits (millions USD) | # Plants | Coal-Fired Capacity (MW) | Total SO2 | Total NOX | Total Pop. Within 3 Miles | Percent of State Average Income | Percent POC | Rank | Grade |
|--|---|------------------------------|-----------------------------|----------|--------------------------|-----------|-----------|---------------------------|---------------------------------|-------------|------|-------|
| Edison International                               | Southern California Edison (CA)                                       | 12,409                       | 1,251                       | 7        | 8,400                    | 188,247   | 54,836    | 848,059                   | 59.9%                           | 76.3%       | 1    | F     |
| FirstEnergy  | Allegheny Power (WV, VA, MD, PA), Ohio Edison (OH), T                 | 12,911                       | 784                         | 16       | 15,517                   | 396,094   | 111,914   | 252,818                   | 73.4%                           | 40.3%       | 2    | F     |
| UniSource Energy                                   | UniSource Energy Services (AZ)  | 1,341                        | 112                         | 2        | 1,023                    | 8,681     | 7,906     | 56,751                    | 50.6%                           | 74.6%       | 3    | F     |
| Public Service Enterprise Group                    | Public Service Electric & Gas (NJ)                                    | 11,793                       | 1,564                       | 3        | 1,713                    | 15,292    | 4,969     | 536,287                   | 72.9%                           | 67.2%       | 4    | F     |
| GenOn Energy                                       | Non-utility; power producer/distributor in TX, PA, NJ, G              | 2,270                        | -50                         | 15       | 11,216                   | 430,987   | 74,484    | 354,959                   | 109.7%                          | 32.7%       | 5    | F     |
| Dominion Resources                                 | Dominion (NC, VA)   | 15,197                       | 2,808                       | 11       | 8,670                    | 154,375   | 60,762    | 333,611                   | 81.7%                           | 32.4%       | 6    | F     |
| Duke Energy  | Duke Energy (NC, SC, IN, OH, KY)                                      | 13,972                       | 1,318                       | 17       | 18,443                   | 388,135   | 99,925    | 136,249                   | 80.1%                           | 32.9%       | 7    | F     |
| Wisconsin Energy                                   | We Energies (WI, MI)  | 4,203                        | 457                         | 4        | 3,259                    | 31,491    | 16,071    | 258,472                   | 67.7%                           | 55.0%       | 8    | F     |
| Cogentrix/Goldman Sachs                            | Non-utility; power producer/distributor in FL, NJ, NC, P N/A          |                              | N/A                         | 9        | 1,903                    | 66,090    | 27,005    | 190,227                   | 78.7%                           | 34.7%       | 9    | F     |
| Xcel Energy  | Xcel Energy (CO, MN, WI, TX, NM, MI, ND, SD)                          | 10,234                       | 752                         | 11       | 8,186                    | 102,905   | 72,299    | 339,651                   | 91.5%                           | 36.3%       | 10   | F     |
| Southern Company                                   | Alabama Power (AL), Georgia Power (GA), Gulf Power (G)                | 17,374                       | 1,975                       | 20       | 26,478                   | 762,083   | 169,196   | 148,718                   | 114.2%                          | 34.2%       | 11   | F     |
| DTE Energy   | Detroit Edison (MI)   | 8,557                        | 630                         | 6        | 7,770                    | 196,955   | 57,260    | 132,408                   | 88.8%                           | 36.8%       | 12   | F     |
| Cleco  | Cleco Power (LA)  | 1,148                        | 255                         | 2        | 1,279                    | 23,951    | 9,216     | 1,649                     | 69.8%                           | 62.6%       | 13   | D-    |
| Omaha Public Power District (City of Omaha)        | Omaha Public Power District (NE)                                      | *986                         | *40                         | 2        | 1,297                    | 31,249    | 16,058    | 44,836                    | 71.3%                           | 54.8%       | 14   | D-    |
| Pinnacle West Capital                              | Arizona Public Service Co. (AZ)                                       | 3,181                        | 350                         | 2        | 3,399                    | 24,631    | 51,764    | 1,564                     | 56.7%                           | 48.4%       | 15   | D-    |
| AES  | Indianapolis Power & Light Co. (IN)                                   | 16,647                       | 9                           | 12       | 5,278                    | 175,079   | 48,917    | 156,690                   | 80.8%                           | 12.6%       | 16   | D-    |
| Great Plains Energy                                | Kansas City Power & Light (KS, MO)                                    | 2,256                        | 210                         | 5        | 3,986                    | 55,548    | 30,135    | 34,850                    | 75.2%                           | 29.4%       | 17   | D     |
| PNM Resources                                      | PNM (NM), TNMP (TX), First Choice Power (TX)                          | 1,674                        | -45                         | 2        | 2,197                    | 13,710    | 21,760    | 1,265                     | 73.6%                           | 61.1%       | 18   | D     |
| PPL  | PPL (PA), Louisville Gas & Electric Co. (KY), Kentucky Utilities (KY) | 8,521                        | 938                         | 10       | 11,711                   | 263,559   | 88,675    | 94,162                    | 90.5%                           | 12.9%       | 19   | D     |
| NRG Energy   | Reliant Energy (TX)   | 8,849                        | 468                         | 6        | 8,263                    | 142,953   | 39,209    | 94,824                    | 82.6%                           | 21.0%       | 20   | D     |
| CMS Energy   | Consumers Energy (MI)   | 6,432                        | 324                         | 4        | 3,101                    | 73,186    | 19,815    | 66,155                    | 78.5%                           | 27.2%       | 21   | D+    |
| American Electric Power                            | AEP Ohio (OH, WV), AEP Texas (TX), Appalachian Power (VA)             | 14,427                       | 1,211                       | 19       | 26,596                   | 601,886   | 185,781   | 61,329                    | 93.8%                           | 4.9%        | 22   | D+    |
| NV Energy  | Sierra Pacific Power (NV), Nevada Power (NV)                          | 3,280                        | 227                         | 2        | 1,204                    | 8,181     | 11,518    | 505                       | 67.8%                           | 48.3%       | 23   | D+    |
| Santee Cooper                                      | Santee Cooper (SC); owned by State of SC                              | *1895                        | *97                         | 4        | 3,507                    | 36,371    | 13,927    | 20,455                    | 89.3%                           | 39.8%       | 24   | D+    |
| Tennessee Valley Authority                         | Non-utility; power producer/distributor in TN, AL, MS, TN             | *10874                       | *972                        | 11       | 17,407                   | 282,099   | 123,140   | 42,967                    | 89.8%                           | 11.6%       | 25   | C-    |
| Alliant Energy                                     | Alliant Energy (IA, MN, WI)   | 3,416                        | 288                         | 9        | 3,875                    | 90,547    | 24,230    | 105,331                   | 90.8%                           | 13.7%       | 26   | C-    |
| Progress Energy                                    | Progress Energy (NC, SC, FL)  | 10,190                       | 856                         | 9        | 7,927                    | 175,538   | 49,909    | 46,331                    | 91.7%                           | 24.3%       | 27   | C-    |
| NiSource   | NIPSCO (IN)   | 6,422                        | 292                         | 3        | 3,087                    | 52,227    | 22,485    | 34,261                    | 85.9%                           | 26.5%       | 28   | C-    |
| CPS Energy (City of San Antonio, TX)               | CPS Energy (TX)   | *2115                        | *79                         | 1        | 1,498                    | 32,265    | 7,103     | 2,994                     | 90.2%                           | 42.6%       | 29   | C     |
| Dynegy   | Non-utility; power producer/distributor in CA, NV, IL, TN             | 2,323                        | -234                        | 5        | 3,575                    | 55,207    | 11,980    | 57,086                    | 80.2%                           | 16.7%       | 30   | C     |
| Salt River Project (State of Arizona)              | Salt River Project (AZ); owned by State of AZ                         | *2702                        | *371                        | 2        | 3,231                    | 18,424    | 44,709    | 2,864                     | 87.1%                           | 37.9%       | 31   | C     |
| Integrus   | Integrus Energy Services (CT, DE, DC, IL, ME, MD, MA, NY)             | 5,203                        | 221                         | 3        | 1,437                    | 17,076    | 9,852     | 62,420                    | 81.7%                           | 19.3%       | 32   | C     |
| Constellation Energy                               | Baltimore Gas & Electric (MD)   | 14,340                       | -983                        | 5        | 2,491                    | 37,166    | 18,502    | 69,092                    | 88.9%                           | 9.1%        | 33   | C+    |
| Tri-State Generation Cooperative                   | Non-utility; owned by 44 electric cooperatives in CO, NE              | *1212                        | *77                         | 3        | 1,710                    | 6,110     | 20,705    | 4,824                     | 70.5%                           | 17.7%       | 34   | C+    |
| Grand River Dam Authority (State of Ohio)          | Grand River Dam Authority (OH)  | *398                         | *63                         | 1        | 1,010                    | 17,720    | 14,229    | 2,277                     | 79.5%                           | 26.2%       | 35   | C+    |
| Ameren   | Ameren Illinois (IL), AmerenUE (MO)                                   | 7,449                        | 139                         | 11       | 10,718                   | 239,341   | 49,357    | 59,463                    | 97.8%                           | 2.7%        | 36   | C+    |
| MidAmerican Energy (PacifiCorp)                    | MidAmerican Energy (IA, IL, SD), Pacific Power (OR, WA)               | *11127                       | *1310                       | 11       | 11,278                   | 142,355   | 108,972   | 51,058                    | 110.2%                          | 15.7%       | 37   | INC   |
| SCANA  | South Carolina Electric & Gas (SC)                                    | 4,601                        | 376                         | 6        | 2,706                    | 75,915    | 15,920    | 37,665                    | 115.2%                          | 32.1%       | 38   | INC   |
| Energy Future Holdings (Luminant)                  | TXU Energy (TX)   | *8235                        | *2812                       | 4        | 6,501                    | 226,643   | 38,498    | 2,940                     | 95.4%                           | 26.2%       | 39   | INC   |
| Associated Electric Cooperative                    | Non-utility; power producer/distributor in MO, IA, OK                 | *1055                        | *46                         | 2        | 2,335                    | 30,698    | 20,384    | 732                       | 76.7%                           | 21.4%       | 40   | INC   |
| Ohio Valley Electric Corporation                   | Non-utility; power producer/distributor in IN, OH                     | 0                            | 0                           | 2        | 2,390                    | 134,589   | 23,432    | 17,901                    | 86.0%                           | 5.0%        | 41   | INC   |
| TransAlta  | Non-utility; power producer/distributor in Canada                     | 2,887                        | 224                         | 1        | 1,460                    | 2,648     | 11,179    | 2,352                     | 73.5%                           | 13.9%       | 42   | INC   |
| DPL  | Dayton Power & Light Co. (OH)   | 1,831                        | 290                         | 3        | 3,516                    | 60,884    | 22,808    | 29,592                    | 91.7%                           | 5.3%        | 43   | INC   |
| Otter Tail Power                                   | Otter Tail Power (MN, ND, SD)   | 1,119                        | -1.3                        | 3        | 1,035                    | 27,549    | 25,341    | 17,139                    | 84.3%                           | 3.4%        | 44   | INC   |
| Hoosier Energy Rural Electric Cooperative          | Non-utility; owned by 18 electric cooperatives in IN, IL              | *653                         | *32                         | 2        | 1,313                    | 36,072    | 8,816     | 4,612                     | 79.7%                           | 1.0%        | 45   | INC   |
| ALLETE   | Minnesota Power (MN), Superior Water Light & Power (WI)               | 907                          | 75                          | 3        | 1,441                    | 21,265    | 14,940    | 4,156                     | 81.0%                           | 2.0%        | 46   | INC   |
| Entergy  | Entergy (AR, LA, MS, TX)  | 11,488                       | 1,250                       | 3        | 4,015                    | 76,184    | 34,807    | 10,734                    | 99.3%                           | 14.0%       | 47   | INC   |
| Westar Energy                                      | Westar Energy (KS)  | 2,056                        | 204                         | 3        | 2,958                    | 37,616    | 27,354    | 25,777                    | 106.7%                          | 15.7%       | 48   | INC   |
| Seminole Electric Cooperative                      | Non-utility; owned by 10 electric cooperatives in FL                  | *1459                        | *60                         | 1        | 1,429                    | 19,289    | 10,556    | 1,514                     | 85.9%                           | 9.0%        | 49   | INC   |
| East Kentucky Power Cooperative                    | Non-utility; owned by 16 electric cooperatives in KY                  | *827                         | *33                         | 3        | 1,839                    | 46,298    | 10,254    | 9,813                     | 90.2%                           | 3.1%        | 50   | INC   |
| Big Rivers Electric Corporation                    | Non-utility; owned by 3 electric cooperatives in KY                   | *527                         | *4                          | 4        | 1,854                    | 20,270    | 15,933    | 12,599                    | 94.7%                           | 2.6%        | 51   | INC   |
| OGE Energy   | Oklahoma Gas & Electric (OK, AR)                                      | 3,717                        | 295                         | 2        | 2,854                    | 43,299    | 26,452    | 7,781                     | 118.0%                          | 25.9%       | 52   | INC   |
| TECO Energy  | Tampa Electric (FL)   | 3,488                        | 239                         | 2        | 2,149                    | 10,621    | 14,847    | 8,935                     | 120.5%                          | 14.9%       | 53   | INC   |
| Basin Electric Power Cooperative                   | Non-utility; power producer/distributor in MT, ND, SD, WY             | *1541                        | *9                          | 3        | 3,236                    | 70,788    | 40,787    | 985                       | 93.7%                           | 5.6%        | 54   | INC   |
| Lower Colorado River Authority (State of Texas)    | Non-utility; power producer/distributor in TX                         | *1244                        | *111                        | 1        | 1,690                    | 29,416    | 6,592     | 541                       | 91.2%                           | 10.3%       | 55   | INC   |
| Intermountain Power Agency                         | Non-utility   | n/a                          | n/a                         | 1        | 1,640                    | 5,242     | 26,728    | 104                       | 86.1%                           | 5.8%        | 56   | INC   |
| JEA (City of Jacksonville, FL)                     | JEA (FL)  | *1910                        | *126                        | 1        | 1,358                    | 10,098    | 13,855    | 2,713                     | 96.5%                           | 5.8%        | 57   | INC   |
| Nebraska Public Power District (State of Nebraska) | Nebraska Public Power District (NE)                                   | *925                         | *61                         | 2        | 1,592                    | 35,044    | 21,707    | 653                       | 100.8%                          | 4.2%        | 58   | INC   |
| Great River Energy                                 | Non-utility; owned by 28 electric cooperatives in MN                  | *847                         | *27                         | 2        | 1,400                    | 27,112    | 11,678    | 355                       | 100.9%                          | 2.2%        | 59   | INC   |

## APPENDIX III: Methodology

### ***Plant-Level Environmental Justice Performance Ranking***

Our initial data source for the list of coal-fired power plants that we compared in this ranking was the U.S. Energy Information Administration (EIA)'s 2008 "Existing Electric Generating Units in the United States" database.<sup>142</sup> We first filtered out all generating units for which the primary energy source was listed as "Anthracite/Bituminous Coal," "Lignite Coal," "Subbituminous Coal," "Waste/Other Coal," and "Coal Synfuel," leaving us with 601 coal-fired or partially coal-fired power plants (containing a total of 1,458 coal-fired generating units).

For the purposes of this ranking, we included the 378 currently-operating coal-fired power plants from this database that have a capacity greater than 100 megawatts (MW). We cut from this ranking several plants which, as of July 1, 2011, have been fully decommissioned; have been converted to fuel stocks other than coal; or were fully non-operational between 2007 and 2010 (thus leaving us without relevant SO<sub>2</sub> and NO<sub>x</sub> emissions data for the relevant time period).

### ***Data Sets***

We then compiled the five relevant data sets for each of these 378 coal-fired power plants:

#### ***SO<sub>2</sub> and NO<sub>x</sub> emissions***

For 350 out of the 378 plants in this ranking, the data listed for each plant's SO<sub>2</sub> and NO<sub>x</sub> emissions is an average of that plant's annual emissions between 2007 and 2010; our data source was the U.S. Environmental Protection Agency (EPA)'s Clean Air Markets Program database of unit-level emissions, collected under the Agency's Acid Rain Program. These data are collected on a quarterly basis as part of EPA's emissions trading programs, and are based on self-reporting.<sup>143</sup> This data source, unlike others at the EPA, has data more recent than 2007, allowing us to account for the fact that many of these plants added SO<sub>2</sub> emissions controls between 2007 and 2010 (thus reducing those plants' emissions, and improving their scores).

For the 28 plants for which data was not reported under the Clean Air Markets Program, the data listed for each plant's SO<sub>2</sub> and NO<sub>x</sub> emissions is from 2007 only, from the EPA's Emissions & Generation Resource Integrated Database. This data source "integrates many different federal data sources on power plants and power companies, from three different federal agencies: EPA, the Energy

Information Administration (EIA), and the Federal Energy Regulatory Commission (FERC)."<sup>144</sup> (In Appendix 1, the SO<sub>2</sub> and NO<sub>x</sub> data for these 28 plants is italicized.)

### ***Population within 3 miles***

For the three categories of demographic data — population within 3 miles, per capita income of population within 3 miles, and percentage people of color of population within 3 miles — data was accessed using Free Demographics, an online geographic information tool designed by Alteryx LLC, a geographic business intelligence company.<sup>145</sup> Free Demographics uses census block-level data from the 2000 U.S. Census; census block-level data is the smallest scale on which demographic data is collected by the U.S. Census, with the average census block containing roughly 600-3,000 residents in 2000.<sup>146</sup>

Prior to the writing of this report, precise geographic coordinates for each plant had already been researched by the lead author, in his capacity as a researcher for CoalSwarm/Center for Media and Democracy. Coal-fired power plants listed on the EIA's "Existing Electric Generating Units" database were first plugged into the EPA's Envirofacts Air Facility System search engine to obtain geographic coordinates and street addresses for each plant. However, many of these geographic coordinates (which have been the basis for most previous studies on coal power plant pollution) were inaccurate, with disparities from the actual plant's pollution source stack ranging from several hundred feet to, in some cases, several hundred miles. We then mapped the EPA's geographic locations using Google Earth, and used a combination of cross-checking addresses, accessing company information, and general internet searching and phone calls to secure the precise geographic coordinates for each plant's pollution source stack; these coordinates were then plugged into the FreeDemographics, in order to ensure that the geographic data in this report would be as accurate as possible.

The three-mile range that we used in calculating demographic data was selected based on Anderton et al's (1994) definition of "surrounding area" as "any tract for which at least 50% of the surrounding area fell within a 2.5 mile radius."<sup>147</sup> (Distances used for demographic calculations by the FreeDemographic tool must be integer values; thus, we rounded up to three miles.) Thus, the three-mile range that we chose is based on precedent, but is nonetheless, as Anderton et al (1994) point out, "somewhat arbitrary," as are all geographical radii used in demographic calculations of environmental justice; as Boyce (2003) puts it, "there is no obvious *a priori* basis for judging the 'right' spatial unit of analysis — how close people must live to an environmental hazard for it to be judged relevant to their well-being, and hence relevant to analyses of environmental justice."<sup>148</sup>

We were also concerned that demographic data in sparsely populated areas would not be representative if the population sample was too low. For this reason, in cases where fewer than 1,000 people lived within 3 miles of the plant, we increased the radius (by 2



miles at a time) until the population living within the modified radius was greater than 1,000, and used the data on per capita income and percentage people of color from this modified radius; for the population within 3 miles figure, we then divided the modified population by the ratio between the areas of the modified radius and the 3-mile radius:

$$\text{POP}_{\text{MOD}} = \text{POP}_{\text{XMILES}} \times \left( \frac{X^2}{3^2} \right)$$

***Average per capita income of population living within 3 miles, as a percentage of state average per capita income***

In order to obtain data on average per capita income of population living within 3 miles, we used the FreeDemographics tool with CoalSwarm-calculated geographic coordinates, as described above. However, we decided to use “within-region” data for average income, as described by Ash & Boyce (2009):

Alternative benchmarks for assessing disproportionality include the share of the group in the population of the specific regions — for example, states or metropolitan areas — in which the firm’s facilities are located.... A region- specific benchmark would be consistent with the view that the facility siting decisions of firms are often “within-region” choices, constrained by the desire to locate within a certain part of the country for ease of access to input or output markets.<sup>149</sup>

Following this logic, we divided each plant’s average per capita income of population living within 3 miles by average per capita income of the state within which that plant was sited, in order to obtain the average per capita income of population living within 3 miles as a percentage of state average per capita income; 1999 state per capita income data was obtained from the U.S. Census Bureau.<sup>150</sup>

***Percentage people of color of population living within 3 miles***

In order to obtain data on percentage people of color of population living within 3 miles, we used the FreeDemographics tool with CoalSwarm-calculated geographic coordinates, as described above. The FreeDemographics tool lists “race” and “Hispanic origin” separately, as does the U.S. Census; it does not, however, include the “White Non-Hispanic” category, as the census does. Following Ash & Boyce (2009), we defined “percentage people of color” as the sum of the percentages of people who identified as “American Indian and Alaska Native Alone,” “Asian Alone,” “Black Alone,” “Native Hawaiian and Other Pacific Islander Alone,” and “Hispanic or Latino” in the census.

In this case, we did not adjust race data on a “within-region” basis, as race & ethnicity — unlike income — shows much greater and smaller-scale geographic variability, making use of state- or MSA-level data less useful in regionally contextualizing race & ethnicity data.

### ***Calculating the Plant-Level Environmental Justice Performance Ranking and Grade***

The plant-level environmental justice performance ranking was based on two scores: an *exposure score* and a *demographic score*.

The exposure score (EXP) was calculated by multiplying the plant’s SO<sub>2</sub> emissions in tons (SO<sub>2</sub>), its NO<sub>x</sub> emissions in tons (NO<sub>x</sub>), and the cube of the population living within 3 miles of the plant (POP):

$$\text{EXP} = \text{SO}_2 \times \text{NO}_x \times (\text{POP})^3$$

The demographic score (DEM) was calculated by multiplying the percentage of people of color living within 3 miles (POC) by the average per capita income of population living within 3 miles (INC<sub>3</sub>) as a percentage of state average per capita income (INC<sub>STATE</sub>):

$$\text{DEM} = \text{POC} \times \left( \frac{\text{INC}_3}{\text{INC}_{\text{STATE}}} \right)$$

We then ranked the exposure scores (EXP) of all 378 plants to generate the exposure ranking (EXP<sub>R</sub>), and ranked the demographic scores (DEM) of all 378 plants to generate the demographic ranking (DEM<sub>R</sub>).

Finally, each plant’s overall score (SCORE) was generated by multiplying the exposure ranking (EXP<sub>R</sub>) by the demographic ranking (DEM<sub>R</sub>):

$$\text{SCORE} = \text{EXP}_R \times \text{DEM}_R$$

The 378 plants were then ranked by this final score in order to generate each plant’s overall environmental justice performance ranking.

Environmental justice performance “grades” were then assigned to each plant by dividing the 378 plants into 15 roughly equal-size grade groups (F, D-, D, D+, etc.) All grades below D- were listed simply as F, rather than creating separate grades for F+ and F-; thus, 75 plants earned a grade of F. The grades in the ‘A’ and ‘B’ ranges were not used because we believe that any plant that is causing harm by polluting any person should not receive a positive grade. Instead these plants received an “INC” for Incomplete, as the aim is to ensure that no plant is polluting communities.

### ***Corporate Environmental Justice Performance Ranking***

Prior to the writing of this report, ultimate parent company/entity ownership of all 601 coal-fired or partially coal-fired power plants in the U.S. had already been researched by CoalSwarm (primarily by the lead author).<sup>151</sup> In a similar process to the Political Economy Research Institute’s Corporate Toxics Information Project, the parent company of each of the plant owners for all coal-fired or partially coal-fired power plants listed in the EIA’s “Existing Electric Generating Units in the United States” was exhaustively researched.<sup>152</sup> This research was conducted using a combination of sources, including the EPA’s TRI reports, the Bloomberg Terminal, the BusinessWeek Company Insight Center, Hoover’s, reports to the Securities and Exchange Commission, annual reports, company websites, and telephone calls. In instances in which ownership of a plant was shared between multiple parent companies, the company with the controlling ownership share was listed as the sole parent company.

This information was updated in March 2010, and again in June 2011, to account for mergers, acquisitions, transfers of facilities to new owners, and addition of new facilities. The “Parent Company” column in this ranking is based on this extensive research.<sup>153</sup>

The 59 parent companies or entities which owned coal-fired power plants with a total of 1,000 Megawatts or more of generating capacity were included in the corporate environmental justice performance ranking; the 49 parent companies or entities owning less than 1,000 Megawatts of coal-fired generating capacity were excluded.

### ***Data Sets***

We then compiled the five relevant data sets for each of these 59 parent companies:

#### ***SO<sub>2</sub> and NO<sub>x</sub> emissions***

For each of these two figures (SO<sub>2COM</sub> and NO<sub>xCOM</sub>), we totaled SO<sub>2</sub> and NO<sub>x</sub> emissions (separately, of course) for all plants owned by each parent company or entity, e.g.:

$$SO_{2COM} = \sum SO_2$$

**Population within 3 miles**

For this figure ( $POP_{COM}$ ), we totaled the population living within 3 miles for all plants owned by each parent company or entity:

$$POP_{COM} = \sum POP$$

**Average per capita income of population living within 3 miles, as a percentage of state average per capita income**

For this figure ( $INC_{COM}$ ), we used the following formula:

$$INC_{COM} = \frac{\sum \left( \frac{INC_3 \times POP}{INC_{STATE}} \right)}{POP_{COM}}$$

**Percentage people of color of population living within 3 miles**

For this figure ( $POC_{COM}$ ), we used the following formula:

$$POC_{COM} = \frac{\sum (POC \times POP)}{POP_{COM}}$$

**Calculating the Corporate Environmental Justice Performance Ranking and Grade**

The procedure for calculating the corporate environmental justice performance was identical to that for calculating the plant-level environmental justice performance ranking. We will reiterate that procedure below for the sake of clarity.

The corporate environmental justice performance ranking was based on two scores: an *exposure score* and a *demographic score*.

The exposure score ( $EXP_{COM}$ ) was calculated by multiplying the plant's  $SO_2$  emissions in tons ( $SO_{2COM}$ ), its  $NO_x$  emissions in tons ( $NO_{xCOM}$ ), and the cube of the population living within 3 miles of the plant ( $POP_{COM}$ ):

$$EXP_{COM} = SO_{2COM} \times NO_{XCOM} \times (POP_{COM})^3$$

The demographic score ( $DEM_{COM}$ ) was calculated by multiplying the percentage of people of color living within 3 miles ( $POC_{COM}$ ) by the average per capita income of population living within 3 miles as a percentage of state average per capita income ( $INC_{COM}$ ):

$$DEM_{COM} = POC_{COM} \times INC_{COM}$$

We then ranked the exposure scores ( $EXP_{COM}$ ) of all 59 ranked companies to generate the exposure ranking ( $EXP_{RCOM}$ ), and ranked the demographic scores ( $DEM_{COM}$ ) of all 59 ranked companies to generate the demographic ranking ( $DEM_{RCOM}$ ).

Finally, each company's overall score ( $SCORE_{COM}$ ) was generated by multiplying the exposure ranking ( $EXP_{RCOM}$ ) by the demographic ranking ( $DEM_{RCOM}$ ):

$$SCORE_{COM} = EXP_{RCOM} \times DEM_{RCOM}$$

The 59 companies were then ranked by this score in order to generate each company's overall corporate environmental justice performance ranking.

Corporate environmental justice performance "grades" were then assigned to each company by dividing the 59 companies into 15 equal-size grade groups (F, D-, D, etc.). . Again, all grades below D- were listed simply as F, rather than creating separate grades for F+ and F- . As with the plant scoring, the grades in the 'A' and 'B' ranges were not used because any company that is causing harm by polluting any person should not receive a positive grade. Instead these companies received an "INC" for Incomplete, as there is still work to do by all to ensure that no one is breathing polluted air.

## APPENDIX IV: Review of the Policy Landscape

### **Global**

The Kyoto Protocol is an international agreement that their greenhouse gas emissions by 5.2% against 1990 (2008-2012). The Protocol was adopted in 1997 in seen as being an important first step toward a truly strategy, and was viewed as providing the essential international agreements on climate change. As of signed and ratified the Kyoto Protocol, but the U.S. The fact that the fastest growing countries in the included in countries which needed to decrease as a flaw in the Kyoto Protocol; the Protocol only reduce emissions, and in 1997 neither country was demonstrated the tremendous growth seen today.

The Copenhagen Accord is a non-binding treaty that is successor to the Kyoto Protocol, as it expires in 2012. each state is able to submit individual emissions Thus, there is no uniform emissions target. A goal of global warming to below 2 degrees Celsius (3.6 considered by climate scientists to be insufficient, as it peril.<sup>154</sup> Also, the Accord is perceived as a failed attempt because it is not binding, unlike its predecessor the Kyoto Protocol. The Copenhagen Accord boasts many tactics to combat international climate change, but there aren't mechanisms to ensure implementation.

### **National**

Aimed at regulating emissions, the Clean Air Act (CAA) was first passed in 1970 and then revised in 1990. The CAA allows the U.S. Environmental Protection Agency (EPA) to set limits on certain air pollutants that cause environmental and human health concerns. Under the Clean Air Act, the EPA also has the authority to limit the emissions coming from industries such as chemical plants, utilities, and steel mills. Congress amended the Clean Air Act in 1977, creating the system known as New Source Review, essentially requiring the installation of state-of-the-art pollution control devices to limit pollution from coal plants.<sup>155</sup> However, the National



commits countries to reducing levels during a five year period Kyoto, Japan. This Protocol was global emissions reduction framework for any future November 2009, 187 countries had shows no sign of intent to ratify. world, China and India, were not emissions has been seen by some called for developed countries to designated as "developed" or

currently considered to be the Through the Copenhagen Accord targets to be achieved by 2020. the Copenhagen Accord is to limit degrees Fahrenheit), which is leaves the planet in significant

Ambient Air Quality Standards (NAAQs) developed by the EPA allow significant criteria pollutants to be emitted in communities and the New Source Review permitting program is based on extant technology (the equipment, devices, and processes in common use that are determined by the EPA to reduce emissions of criteria pollutants), rather than on the health of people routinely exposed to coal power plant emissions. While EPA has authority to enforce Title VI of the Civil Rights Act, it is in need of significant improvement.<sup>156</sup>

Pollution from coal-fired power plants was then supposed to be significantly decreased, but as a result of “grandfathering” that was not the case: coal-fired plants built *before* the passing of the CAA were not subject to install modern pollution control devices to limit pollution from coal plants, under the assumption that eventually the older plants would be closed. However, many owners of older plants have upgraded them bit by bit, thus allowing them to stay competitive while continuing to dodge the EPA’s New Source Review regulations.

A newer part of the Clean Air Act is the Clean Air Mercury Rule, which the EPA issued in March 2005 to permanently cap and reduce mercury emissions from coal-fired power plants. Coal-fired power plants are the largest remaining sources of mercury emissions in the US. The goal of the Clean Air Mercury Rule is to reduce utility emissions of mercury from 48 tons annually to 15 tons (a reduction of almost 70%). Under the rule, new coal-fired power plants would have to meet strict new source performance standards in addition to being subject to the emission caps. The Clean Air Mercury Rule is expected to reduce emissions that are transported regionally and deposited domestically, and that contribute to atmospheric mercury worldwide. Each of the states and two tribal nations have been assigned an emissions “budget” for mercury and must submit a plan detailing how they will meet their budget for reducing mercury from coal-fired power plants.<sup>157</sup> More recent rules, introduced in 2011, that apply to coal plants include the Mercury and Air Toxics Rule, Cross-State Air Pollution Rule, and the forthcoming Ozone Rule.

### ***Regional***

Three prominent regional initiatives regulate emissions from coal-fired power plants. The Regional Greenhouse Gas Initiative (RGGI), created in 2005, is a collection of ten Northeastern and Mid-Atlantic States that have united to fight emissions. The RGGI developed a cap-and-trade program to reduce carbon dioxide emissions from power plants in the region. The Western Climate Initiative (WCI) created in 2007, is a group of seven U.S. states and four Canadian provinces. The WCI has set a regional GHG emissions target of 16 percent below 2005 level by 2020. The Midwestern Greenhouse Gas Reduction Accord (MGGRA) created in 2007. MGGRA members have agreed to establish regional GHG reduction targets (including a long term target of 60%-80% of current emission levels) and to develop a multi sector cap-and-trade program to help meet the emission targets. MGGRA was created in conjunction with the Midwestern Governors Association’s Energy Security and Climate Stewardship Platform.<sup>158</sup>



### State

State-level efforts include, for example, California's initiative to ban the construction of new coal-fired power plants. On February 1, 2007, California state electric utilities were prohibited from investing in traditional coal-fired power plants and/or signing new long-term contracts with traditional plants. The purpose of this ban is to avoid an increase in greenhouse gas emissions during the time period in which the state of California develops a broader greenhouse gas limiting law or the federal government makes a move to cap emissions nationwide.<sup>159</sup> Along with this ban on coal-fired power plants, in 2006 California passed the California Global Warming Solutions Act. This Act establishes "the first comprehensive program for regulatory and market mechanisms to achieve realistic, quantifiable, cost-effective reductions of greenhouse gases."<sup>160</sup> Along with this program, the Act made the Air Resources Board (ARB) responsible for monitoring and reducing GHG emissions statewide.

### Local

As an example of local level Chicago has proposed the this proposal is being Moore (49<sup>th</sup> Ward). The requires the Fisk and received the worst and justice performance scores this report — to clean up responsible for 41 deaths, 2,800 asthma attacks each University study. This response to the failure of negative effects of these placed on emission of phased in to allow time for adaptation in case the mandated improvements would require the reduction, retraining, or reassignment of personnel. Chicago Clean Power Ordinance falls in line with the Climate Action Plan that was released by the city in 2008 and outlines strategies to achieve 26 actions which have been identified to help the city, residents, and businesses not only reduce



emissions policies, the city of Chicago Clean Power Ordinance; spearheaded by Alderman Joe Chicago Clean Power Ordinance Crawford power plants — which second-worst environmental out of all 378 plants examined in their emissions, which are 500 emergency room visits, and year, according to a Harvard ordinance was drafted in state and federal laws to stop the two power plants. The restrictions particulates and carbon would be

greenhouse gases by 25 percent below 1990 levels by 2020, but also save money, create jobs, and improve the quality of life for all who work and live in Chicago.<sup>161</sup>

## APPENDIX V: Profiles of 12 Top EJ Offenders

In the next few pages, the top 12 environmental justice offenders have been profiled along with updates about their status. Through extensive research and community interviews, the information has been synthesized for better understanding and guidance. As one community member, Adrienne Farrar Houel, resident of Bridgeport, stated in her interview:

*“The citizens have complained for years about that power plant — even though people will say now, when you talk to [the power company], that it’s been cleaned up, it’s a clean power plant. Well, you can’t tell that to the families that live in the South End, because they can’t open their windows in the summer without having soot coming through the windows, their cars are constantly covered with it”*

# #1 and #2 Crawford and Fisk *Chicago, IL*

Electronic Filing: Received, Clerk's Office 08/27/2020

|  |
|--|
| <b>FISK GENERATING STATION</b>                         |
| <b>Parent Company:</b> Edison International            |
| <b>Subsidiary Owner:</b> Midwest Generation EME<br>LLC |
| <b>Built:</b> 1968                                     |

|  |
|--|
| <b>Capacity:</b> 375=4 MW  |
| <b>2005-08 average SO2 emissions:</b> 4,464 tons<br><b>2005-08 average NOX emissions:</b> 1,125 tons   |
| <b>Residents within 3 Miles:</b> 314,632   |
| <b>Average income within 3 miles:</b> \$15,076(65% of Illinois average)                                |
| <b>People of color within 3 miles:</b> 83%<br><i>(38% Latino, 32% African-American, and 13% Other)</i> |

**CRAWFORD GENERATING STATION**  
**Parent Company:**Edison International  
**Subsidiary Owner:**Midwest Generation EME LLC  
**Built:** 1958, Expanded--1961  
**Capacity:** 597 MW  
**2007-10 average SO2 emissions:**7,276 tons  
**2007-10 average NOX emissions:** 2,978 tons  
**Residents within 3 Miles:** 373,690  
**Average income within 3 miles:** \$11,097  
*(43% of Illinois average)*  
**People of color within 3 miles:** 84%  
*(38% Latino, 18% African-American, and 2% Other)*

**CLOSED**  
**8/30/2012**



## *Chicago, IL*

### ***Little Village and Pilsen Community Profiles and Perspectives***

These two plants — the two plants with the worst environmental justice performance scores in the U.S. — are both owned by Edison International, and are located approximately four miles from each other, along the Sanitary & Ship Canal southwest of downtown Chicago.

Crawford is located in the heart of Chicago's densely populated "Little Village" community – nicknamed the "Mexico of the Midwest" by its residents. Over 31,000 people live within a mile of the plant; three public schools and several parks are also within a mile of the plant.<sup>162163</sup>

Fisk is located in the Pilsen neighborhood on Chicago's Lower West Side, which has been predominantly Latino since the 1970s. Over 45,000 people, and 13 elementary and secondary schools reside within a mile of the plant.<sup>164165</sup>

Crawford and Fisk are owned by Midwest Generation, a subsidiary of Edison International, a Los Angeles-based, privately owned energy corporation that has another subsidiary, Southern California Edison, which provides power to 11 million people in California. Edison promotes itself as an environmentally responsible company to its California customers, calling itself "the nation's largest purchaser of renewable energy" and stating that the company "is committed to complying with or exceeding environmental regulations wherever we operate."<sup>166</sup> In August 2009, the U.S. EPA and the State of Illinois filed a lawsuit against Midwest Generation, charging that the company had repeatedly upgraded its Fisk and Crawford plants without adding the modern pollution controls required under the Clean Air Act.<sup>167</sup>

Crawford and Fisk have been the site of repeated protests by the Little Village Environmental Justice Organization and other local environmental justice groups, which have been arguing for decades that the plant is poisoning the neighborhood. On October 24, 2009, hundreds of protestors rallied in front of the Fisk plant, and eight were arrested for blocking an entrance.

In 2001, a Harvard School of Public Health study estimated the Fisk and Crawford plants alone are responsible for 2,800 asthma attacks, 550 emergency room visits and 41 early deaths every year.<sup>168</sup> According to a study by John H. Stroger Jr. Hospital of Cook County, the ZIP code area that houses Crawford has an 18 percent prevalence of asthma, and the ZIP code where Fisk is located has a 10 percent asthma rate.

### **COMMUNITY SAYS...**

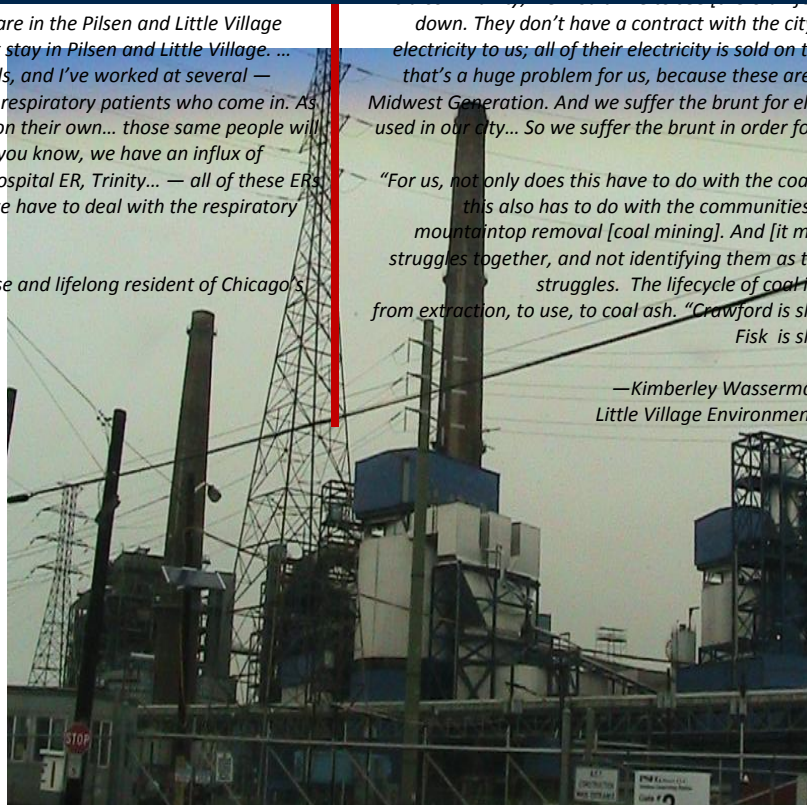




# #3 Hudson Generating Station Jersey City, NJ

Electronic Filing: Received, Clerk's Office 08/27/2020

|  |  |
|--|--|
| <b>Parent Company:</b> Public Service Enterprise Group (PSEG)  | <i>"The Fisk and Crawford power plants... are in the Pilsen and Little Village communities — but that air doesn't just stay in Pilsen and Little Village. ... consistently, we have a lot of emergent respiratory patients who come in. As soon as we get them breathing readily on their own... those same people will be right back in. ... And then, next thing you know, we have an influx of people in whatever ER — Cook County Hospital ER, Trinity... — all of these ERs are barraged with the same problem. [cases]."</i> |
| <b>Subsidiary Owner:</b> Public Service Electric & Gas Fossil LLC  |  |
| <b>Built:</b> 1968   | <i>—Kimberley Harrington, registered nurse and lifelong resident of Chicago's South Side</i>   |
| <b>Capacity:</b> 660 MW  |  |
| <b>2007-10 average SO2 emissions:</b> 2,452 tons   |  |
| <b>2007-10 average NOX emissions:</b> 2,565 tons   |  |
| <b>Residents within 3 Miles:</b> 309,478   |  |
| <b>Average income within 3 miles:</b> \$21,596<br>(80% of New Jersey average)                                      |  |
| <b>People of color within 3 miles:</b> 74%<br>(37% Latino, 18% African-American, 13% Asian-American, and 6% Other) |  |



*... and Fisk plants] shut down. They don't have a contract with the city or the state to provide electricity to us; all of their electricity is sold on the open market. And so that's a huge problem for us, because these are basically cash cows for Midwest Generation. And we suffer the brunt for electricity that really isn't used in our city... So we suffer the brunt in order for this company to make money...*

*"For us, not only does this have to do with the coal power plants — a lot of this also has to do with the communities that are suffering from mountaintop removal [coal mining]. And [it means] really tying in our struggles together, and not identifying them as two completely different struggles. The lifecycle of coal is extremely destructive, from extraction, to use, to coal ash. "Crawford is slated for closure in 2014 Fisk is slated for closure in 2012*

*—Kimberley Wasserman, Executive Director of Little Village Environmental Justice Organization*

## Jersey City/Hoboken Community Profile and Perspectives

Hudson Station (one of two U.S. coal plants owned by PSEG on this list of Top 12 Environmental Justice Offenders—the other being Bridgeport Station) is wedged between Jersey City and Hoboken, along the Hackensack River. Hudson Station is just over three miles from the west coast of Manhattan, and over one million people live within five miles of the plant.<sup>169</sup> The parts of Jersey City and Hoboken nearest to the plant are relatively low-income, and largely populated by Latinos and Filipinos.<sup>170</sup>

In November 2006, PSEG settled a lawsuit by the federal and state governments, which was filed due to the company's failure



to install pollution controls at its Hudson and Mercer plants. PSEG agreed to install controls at Mercer and paid a \$6 million fine in order to be allowed to delay installation of pollution controls at Hudson.<sup>171</sup> According to the 2006 New Jersey Behavioral Risk Factor Survey, 15 percent of New Jersey children have been diagnosed with asthma, of which 69 percent continually suffer from its effects. The asthma hospitalization rates in the state show a clear racial disparity: out of 100,000 children of each racial group, 469 African-American children and 312 Latino children were hospitalized for asthma in 2004, compared with 111 white children.<sup>172</sup>

### COMMUNITY SAYS...

*N.B. Pollution Controls were installed in July 2011, which include use of ultra-low sulfur coal, compliance with annual emission caps for NOx and SO<sub>2</sub> and operation of an electrostatic precipitator and fly ash conditioning system to control PM.*  
People that live in the community have no idea whatsoever about what's going on here. Residents are being deliberately kept in the dark as to the toxic exposures [which] are prevalent and well-documented by the EPA and the [New Jersey Department of Environmental Protection]"

*"I think that the community members in the area should be informed and that the media should really take a look at the kinds of emissions that have been happening, because nobody wants to raise their children next to a coal plant like that. The emissions in this community are so off the chart and so astronomically dangerous for human health that I think that one there's some light shed on what is really going on –with the kinds of things like this coal plant, I hope that will raise awareness about this, to stop these emissions in Jersey, and to really do a lot more to clean up our environment."*

*—Robert Harper, resident of Jersey City*

# #4 Valley Power Plant Milwaukee, WI

Electronic Filing: Received, Clerk's Office 08/27/2020



|  |
|--|
| <b>Parent Company:</b> Wisconsin Energy<br>(WE Energies)   |
| <b>Subsidiary Owner:</b> Wisconsin Electric Power<br>Co.   |
| <b>Built:</b> 1968-69  |
| <b>Capacity:</b> 272 MW  |
| <b>2007-10 average SO2 emissions:</b> 5,999 tons<br><b>2007-10 average NOX emissions:</b> 2,407 tons |
| <b>Residents within 3 Miles:</b> 209,421   |
| <b>Average income within 3 miles:</b> \$12,852<br>(60% of Wisconsin average)                         |
| <b>People of color within 3 miles:</b> 66%<br>(29% African-American, 27% Latino, and<br>10% Other)   |

## *Milwaukee Community Profile and Perspectives*

The Valley plant, located on the Menomonee River about a mile southwest of downtown Milwaukee, is wedged between the predominantly African-American Avenues West neighborhood to the north, and the predominantly Latino neighborhood of Walker's Point to the south. Over 24,000 people live within a mile of the plant, and both the Aurora Sinai hospital and Marquette University campus are less than a mile away.<sup>173,174</sup>

In April 2003, the Bush Administration and We Energies reached a settlement to resolve a decade of clean air violations at the company's five coal plants. The settlement limited emissions at three of the five plants — including the Port Washington plant,

which is located in a wealthy, mostly white neighborhood, and was closed under the agreement — but did not limit emissions at Valley, and may actually have resulted in increased emissions at that plant. Sierra Club, Clean Wisconsin, and the Citizens Utility Board protested this agreement, calling it a “terrible deal” for the low-income people and people of color who live near Valley.<sup>175,176</sup> In 2005, Milwaukee County had the highest rate of asthma-related emergency room visits in Wisconsin: 96.3 per 100,000. The asthma hospitalization rate for African-Americans in Wisconsin is nearly six times higher than the rate for whites.

### COMMUNITY SAYS...

*“[I’ve been] talking to some people who were not voting to give a statement, but I’ve found out that they disagree with me was that they know that there are some things going on here [with the power plant], because their kids are sick a lot. They’re sick, and they don’t know why. We aren’t sure [for sure] what is going on, but we believe it has something to do with the power plants here”*

*“I’ve been here in this area since 1965. People that live in this area — these are not people who have good incomes, a lot of them. These are people who are trying to survive, people who are living in poverty. They are out here trying to make a living for their families. They find a place to live in this area — [but] this environment isn’t safe — we believe it isn’t safe. But that’s who you have living here. You have Hispanics, you have Puerto Ricans, you have blacks, you have Jamaicans, and poor whites living here. They recognize that there’s something wrong [with the environment] here. We think that it may be the We Energies plant here, and the effect that it’s having on them and the quality of their lives.”*

*—Thomas White, resident of Milwaukee for 45 years  
[NAACP Wisconsin State Conference President]*

**N.B. On August 17, 2012 they announced their plan to close the coal-fired power plant.**

# #5 State Line Power Plant Hammond, IN

Electronic Filing: Received, Clerk's Office 08/27/2020

|   |
|---|
| <b>Parent Company:</b> Dominion   |
| <b>Subsidiary Owner:</b> State Line Energy LLC  |
| <b>Built:</b> 1955, 1962  |
| <b>Capacity:</b> 614 MW   |
| <b>2007-10 average SO2 emissions:</b> 10,326 tons<br><b>2007-10 average NOX emissions:</b> 7,885 tons |
| <b>Residents within 3 Miles:</b> 77,931   |
| <b>Average income within 3 miles:</b> \$14,408<br>(71% of Indiana average)                            |
| <b>People of color within 3 miles:</b> 79%<br>(43% Latino, 33% African-American, and 3% Other)        |



## *Hammond Community Profile and Perspectives*

State Line Plant is located on the shore of Lake Michigan, about 12 miles southeast of downtown Chicago, and immediately across the state border from Chicago's densely-populated East Side neighborhood – one of the poorest neighborhoods of Greater Chicago, and one of the major Latino population centers in the city.<sup>177</sup> There are five schools and several parks within a mile of the plant.<sup>178</sup>

In May 2011, Dominion announced that it would be closing the plant between 2012 and 2014, having decided that it was not worth upgrading the plant in order to comply with Clean Air Act regulations.<sup>179</sup>

### **COMMUNITY SAYS...**

*"The incidence of respiratory diseases that occur in this area — many times, if we're not checked [by doctors], we think they're allergies. ... It's not necessarily because of the allergies. Sometimes [it's] because of the pollutants that are in the air — which may not show up on examinations or tests, but we know that there's a need for a more extensive study from those that have the means to check that air, to see if that's a reason. ... We're entitled to a better quality of life, and our government should ensure if people who have control over those things, can [ensure] that we have... longer lifetimes, with a better quality of life, then I believe that they should do so. I don't think that's too much to ask."*

—Rev. Howard C. ...

*"This plant is a coal-burning plant, and it is in our area, our neighborhood, and we know that plants like this are being shut down in other places, but the minorities' areas — these plants continue to function in our area. And we are finding out that there are a lot of health-related illnesses that come from us breathing this air. Today it seems really nice, we smell real nice air, but there's certain time when we can't hardly breathe. And we think that some of this comes from these plants that are put up in our neighborhood."*

*For myself, my son has asthma, and at certain times it's hard for him to breathe, and I do know some people in my church have to use oxygen to breathe. And we think that some of these illnesses are coming from plants like this one."*

—Ida Halliburton, resident of Hammond

**N.B. On March 31, 2012 Hammond was closed.**





|  |
|--|
| <b>Parent Company:</b> First Energy  |
| <b>Built:</b> 1962   |
| <b>Capacity:</b> 256 MW  |
| <b>2007-10average SO2 emissions:</b> 3,492 tons<br><b>2007-10average NOX emissions:</b> 1,326 tons |
| <b>Residents within 3 Miles:</b> 103,333   |
| <b>Average income within 3 miles:</b> \$10,866<br>(52% of Ohio average)                            |
| <b>People of color within 3 miles:</b> 91%<br>(85% African-American and 6% Other)                  |

## ***Glenville Community Profile and Perspectives***

Lake Shore is located in Glenville, an overwhelmingly African-American neighborhood in East Cleveland; the plant is within a band of East Cleveland that is over 85 percent African-American. Glenville is one of the poorest neighborhoods in a city that has undergone massive post-industrial decline.<sup>180</sup>

The plant is located in an area with massive environmental contamination: St. Clair-Superior, the Cleveland neighborhood adjacent to Glenville, has the highest childhood lead poisoning rate in Ohio (and perhaps the U.S.), with 44 percent of children testing with blood levels of lead in excess of 5 micrograms per deciliter in 2009.<sup>181</sup>

There are six schools within a mile of the plant, and a large park with youth athletic facilities is immediately across the street.<sup>182</sup>

## COMMUNITY SAYS...

*"We need to find out what this toxic waste is doing in our community. What's it doing to our families and to the children? We know we have a high incidence of all kinds of health issues that could very well be stemming from this plant. And we need to know that. We need to figure that out, so we can start getting people prepared to start making changes in what's going on — and we can tell this plant, if you don't change what you're putting out in our air, then you should not continue to be here."*

*"I'm very saddened to know that the area that I grew up in... is just a haven for toxic waste, and that we could very well be affected by what's coming out of this coal plant. So we have to figure out, now that we know about this plant, how we're going to start dealing with this challenge — while we're dealing with all the other challenges that we have to deal with in Cleveland."*

*—Jocelyn Travis, longtime Glenville resident*

***N.B. originally slated for closure on September 1, 2012, the plans have been postponed until 2015.***



# #7 River Rouge Power Plant

Electronic Filing: Received, Clerk's Office 08/27/2020

## River Rouge, MI

|   |
|---|
| <b>Parent Company:</b> DTE Energy   |
| <b>Subsidiary Owner:</b> Detroit Edison Co.   |
| <b>Built:</b> 1957-58   |
| <b>Capacity:</b> 651 MW   |
| <b>2007-10average SO2 emissions:</b> 14,614 tons<br><b>2007-10average NOX emissions:</b> 4,861tons    |
| <b>Residents within 3 Miles:</b> 68,262   |
| <b>Average income within 3 miles:</b> : \$13,037 (59% of Michigan average)                            |
| <b>People of color within 3 miles:</b> 65%<br><i>(31% African-American, 29% Latino, and 5% Other)</i> |



### ***River Rouge Community Profile and Perspectives***

This plant is located in River Rouge, an industrial suburb five miles southwest of downtown Detroit. Demographically, River Rouge is an extension of downtown Detroit, equally impoverished and overwhelmingly populated by people of color. The plant is also located just across the Rouge River from Southwest Detroit – the only major Latino district in the city.<sup>183</sup>

## COMMUNITY SAYS...

*"River Rouge is known for its factories, and for the environmental issues that we have here. ... About a block and a half down [from the plant], you can see actual homes, where there's a full community of people living in this environment"*

*"We're in front of a power plant owned by DTE [while conducting the interview]. ... The plant is located right in the middle of the community. This is a park that we're standing in. In the park you'll see children playing, and there's actually the Rouge River, which comes through here, and we have a number of people who are fishing in this area. This is... a mixed community, but mostly minorities; you'll find a lot of Latinos, a lot of African-Americans in this area. And I believe less than a block or so away is an elementary school. And so, this area is very critical when it comes to environmental issues."*

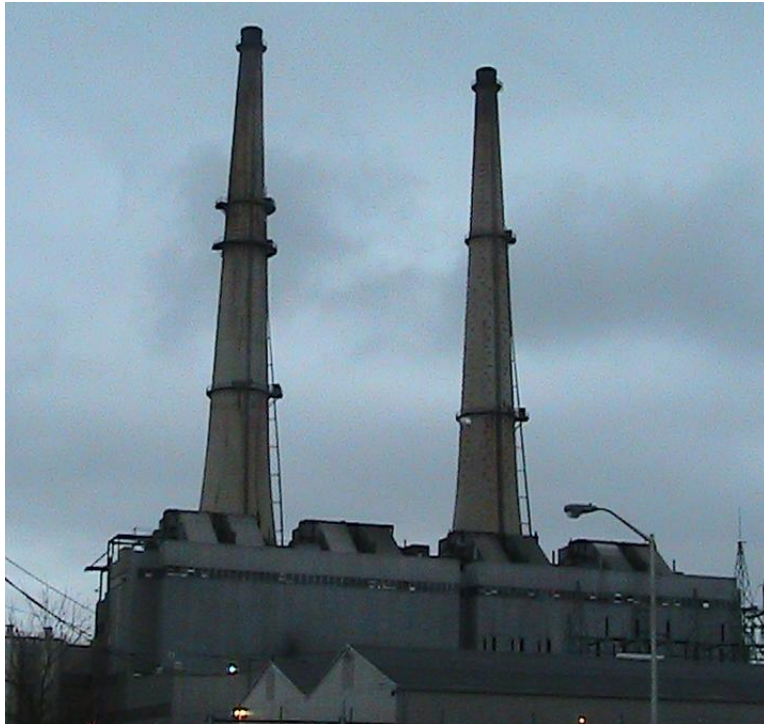
*—Yvonne White—Detroit Resident and Community Leader*

***N.B. There have been no operating changes made at the River Rouge coal fired power plant.***

# #8R. Gallagher Generating Station

Electronic Filing: Received, Clerk's Office 08/27/2020

## New Albany, IN



|   |
|---|
| <b>Parent Company:</b> Duke Energy  |
| <b>Subsidiary Owner:</b> PSI Energy Inc.  |
| <b>Built:</b> 1958-61   |
| <b>Capacity:</b> 600 MW   |
| <b>2007-10average SO2 emissions:</b> 37,604 tons<br><b>2007-10average NOX emissions:</b> 4,966 tons |
| <b>Residents within 3 Miles:</b> 60,333   |
| <b>Average income within 3 miles:</b> \$12,868<br>(63% of Indiana average)                          |
| <b>People of color within 3 miles:</b> 61%<br>(59% African-American and 2% Other)                   |

### *New Albany Community Profile and Perspectives*

Gallagher is located immediately across the Ohio River from the historically African-American Shawnee neighborhood of Louisville, Kentucky.<sup>184</sup>

Out of the 250 largest coal power plants in the U.S. (in terms of 2005 power production), Gallagher is the dirtiest in terms of SO<sub>2</sub> emissions per unit of power produced, emitting 40.38 lb. of SO<sub>2</sub> per MWh in 2005 (compared with around 1 lb./MWh for plants with state-of-the-art SO<sub>2</sub> scrubbers).<sup>185186</sup>

In December 2009, Duke Energy reached a settlement with the U.S. EPA, ending a legal case against Duke for pollution from the Gallagher plant. Duke agreed to shut down Units 1 and 3; Units 2 and 4 will be allowed to continue operating, but Duke agreed to install SO<sub>2</sub> scrubbers at these two units. Duke also agreed to pay \$8 million in fines and environmental mitigation spending.<sup>187</sup>

## COMMUNITY SAYS...

*"I've known about the Gallagher plant being here pretty much all of my life, but I'm not so sure that I knew it was a coal plant. I live about a mile-and-a-half, at the most two miles, from the coal plant. I'm not sure the residents here understand the hazards of having the coal plant so close to our community."*

—Nicole Yates, lifelong resident of New Albany

*"I am familiar with Gallagher... My father worked there in maintenance for 27 years. It makes me wonder sometimes if he had acquired some form of cancer from working at the plant. There were nodules that were on his lungs, but he died of liver cancer, which was secondary to a primary cancer that was unknown."*

—Rhoda Temple Morton, lifelong resident of New Albany

***N.B. In early 2012, units 1 and 3 were closed. Units 2 and 4 have been equipped with bag houses and dry sorbent pollution-control equipment and continue to operate.***

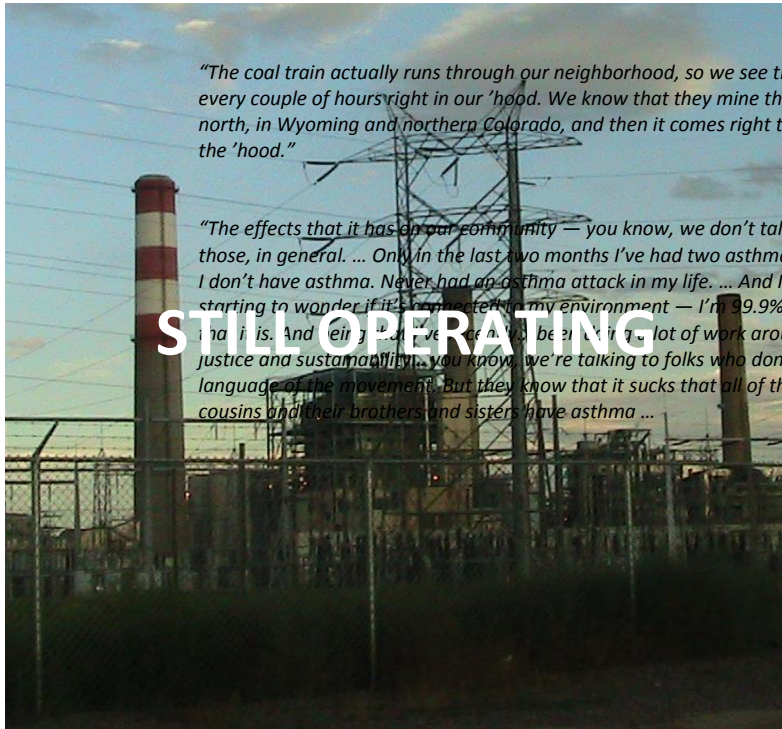
|  |
|--|
| <b>Parent Company:</b> Xcel Energy   |
| <b>Subsidiary Owner:</b> Public Service Company of Colorado  |
| <b>Built:</b> 1957-68  |
| <b>Capacity:</b> 801 MW  |
| <b>2007-10average SO2 emissions:</b> 6,750 tons<br><b>2007-10average NOX emissions:</b> 9,482 tons |
| <b>Residents within 3 Miles:</b> 61,559  |
| <b>Average income within 3 miles:</b> \$13,682<br>(57% of Colorado average)                        |
| <b>People of color within 3 miles:</b> 64%<br>(56% Latino and 8% Other)                            |

## ***Commerce City Community Profile and Perspectives***

Cherokee Station is located in Commerce City, a part of the Denver metropolitan area about five miles north of downtown. The city is mixed residential/industrial, and is also home to a massive Suncor oil refinery, with a capacity of 90,000 barrels per day. It is also the center of one of Denver's two Latino population centers.<sup>188,189</sup>

In August 2010, Xcel agreed to close three of Cherokee's four units before 2017, and to close the fourth unit by 2022. As of December 2010, a dispute between Xcel and the Colorado Public Utilities Commission was ongoing; the commission wanted to Xcel to close the entire plant by 2017, while Xcel was insisting that the 2022 closure was "the least expensive plan."<sup>190,191</sup>

## COMMUNITY SAYS...



*"The coal train actually runs through our neighborhood, so we see the train every couple of hours right in our 'hood. We know that they mine the coal up north, in Wyoming and northern Colorado, and then it comes right through the 'hood."*

*"The effects that it has on our community — you know, we don't talk about those, in general. ... Only in the last two months I've had two asthma attacks. I don't have asthma. Never had an asthma attack in my life. ... And I'm starting to wonder if it's impacted my environment — I'm 99.9% sure that it is. And their goal is to be doing a lot of work around food justice and sustainability... you know, we're talking to folks who don't use the language of the movement. But they know that it sucks that all of their cousins and their brothers and sisters have asthma ..."*

*"We're in Commerce City. It's the most toxic part of Denver, Colorado. Everyone knows that. Several years ago, there was an environmental justice campaign... where they scraped off a foot of dirt in everyone's yard — because you can't grow your own food there. When I talk to my friends who grew up in this neighborhood, they knew that they couldn't run barefoot outside ... Those things that we've taken for granted [while growing up].  
Clean air. It smells out here"*

*"I'm feeling like I need to figure out what this means, that I live so close to this power plant. Because I see the coal train go by every day, I hear it every night."  
—AsharaEkundayo, resident of northeast Denver*

**N.B. Unit 2 was retired in October 2011, Unit 1 was retired in June 2012, and Unit 3 is slated to be retired in 2015. A new natural gas generating plant is scheduled to open in 2015 and unit 4 of the current system will be switched to natural gas 2017.**

## ***Bridgeport Community Profile and Perspectives***

Bridgeport Station (one of two U.S. coal plants owned by PSEG on this list of Top 12 Environmental Justice Offenders —the other being Hudson Station) is located in Bridgeport, a city in the southwest corner of Connecticut that is part of the New York City metropolitan area. Bridgeport is the second-poorest city in Connecticut after Hartford, with a per capita income just over half of the state average.<sup>192</sup>

The plant is wedged between Bridgeport's Downtown and South End neighborhoods, which are among the city's poorest. The average income of people who live within one mile of the plant is just \$11,400, and over 87 percent of them are people of color.<sup>193</sup> Six schools are within a mile of the plant, as is the University of Bridgeport (one of the most racially diverse universities in the U.S., with over 60% students of color).<sup>194</sup> Over 20 percent of the population within three miles of the plant is comprised of

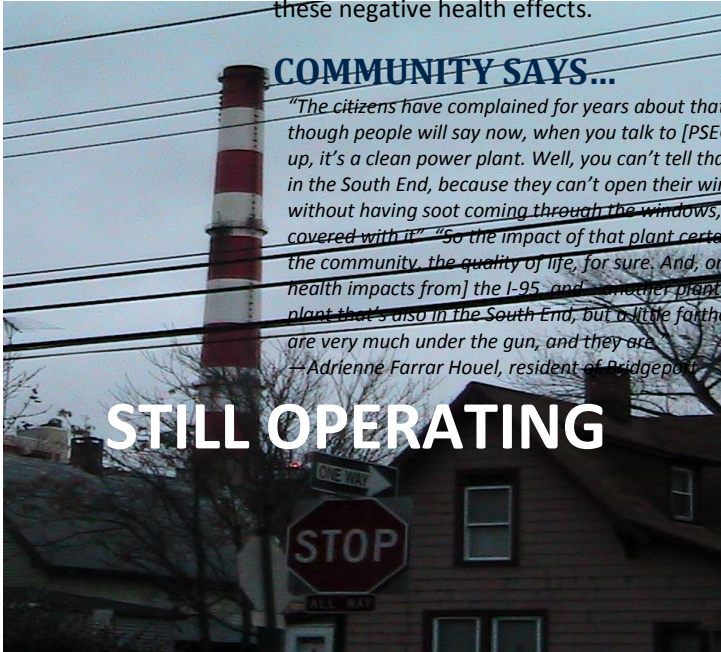


# #10 Bridgeport Harbor Station

Electronic Filing: Received, Clerk's Office 08/27/2020

## Bridgeport, CT

children younger than five years of age and adults over the age of 65. These are both groups that are especially vulnerable to these negative health effects.



### COMMUNITY SAYS...

"The citizens have complained for years about that power plant, even though people will say now, when you talk to [PSEG], that it's been cleaned up, it's a clean power plant. Well, you can't tell that to the families in the South End, because they can't open their windows in the summer without having soot coming through the windows, the windows are constantly covered with it." "So the impact of that plant certainly is hitting the health of the community, the quality of life, for sure. And, on top of that [there's also health impacts from] the I-95 and a nuclear plant that's a waste-to-energy plant that's also in the South End, but a little farther west. They feel that they are very much under the gun, and they are..."  
 —Adrienne Farrar Houel, resident of Bridgeport

**STILL OPERATING**

N.B. The Connecticut Department of Energy and Environmental Protection (DEEP) issued the Title V permit on October 31, 2012 which is intended to enhance compliance by providing a single, comprehensive statement of all air pollution requirements that apply to a facility.

|   |
|---|
| <b>Parent Company:</b> Public Service Enterprise Group (PSEG)   |
| <b>Subsidiary Owner:</b> Public Service Electric & Gas Power Connecticut LLC<br>Built: 1968<br>Capacity: 400 MW |
| <b>2007-10 average SO2 emissions:</b> 2,044 tons<br><b>2007-10 average NOX emissions:</b> 1,404 tons            |
| <b>Residents within 3 Miles:</b> 309,478  |
| <b>Average income within 3 miles:</b> \$16,817<br>(59% of Connecticut average)                                  |
| <b>People of color within 3 miles:</b> 67%<br>(30% Latino, 28% African-American, and 9% Other)                  |

"The sad reality is that a lot of these kids certainly will suffer from asthma and possibly other ailments. The school system is about 90% black and Hispanic, so it's a heavy population in terms of children of color, and also people of color, in this city. And, certainly, the coal plant doesn't help. We need industry in this city, but it needs to be balance. It's very, very difficult. It's just off the chart in terms of the illnesses that black and Puerto Rican people have within this community. ... My son is a doctor, and we've had conversations regarding the pollutants in the area, and he's actually done some work with kids with asthma, so he knows that it's a very, very difficult problem in our community."  
 —Craig Kelly, longtime Bridgeport resident

# #11 Four Corners Steam Plant

Electronic Filing: Received, Clerk's Office 08/27/2020

## Niinahnízaad, NM

|  |
|--|
| <b>Parent Company:</b> Pinnacle West Capital Corp.   |
| <b>Subsidiary Owner:</b> Arizona Public Service Company  |
| <b>Built:</b> 1963-70  |
| <b>Capacity:</b> 2,270 MW  |
| <b>2007-10average SO2 emissions:</b> 11,032 tons<br><b>2007-10average NOX emissions:</b> 40,685 tons |
| <b>Residents within 3 Miles:</b> 488   |
| <b>Average income within 3 miles:</b> \$6,762<br>(39% of New Mexico average)                         |
| <b>People of color within 3 miles:</b> 95%<br>(93% Native American and 2% Other)                     |

### *Niinahnízaad/ShiprockCommunity Profile and Perspectives*

This massive coal plant — the biggest in the Southwestern U.S. — is located about 15 miles east of the town of Shiprock (or *Naat'áaniiNééz* in Diné, the language of the Navajo), on the territory of the Navajo Nation. While the area around Four Corners is relatively sparsely populated, the people living near Four Corners are overwhelmingly Native American — and overwhelmingly low-income. Out of the 12,500 people who live within 10 miles of the plant, 66 percent are Native American.<sup>195</sup> The American Lung Association estimates that 16,000 people in the region (15% of the population) suffer from lung disease; however, precise health statistics for the Navajo Nation are not available. More concretely, Dr. Marcus Higi, who worked as a physician in the area for four years, says, “I've seen the worst asthma cases out here near the power plants. A kid would come in, barely breathing — they're basically on the verge of death.”<sup>196</sup>

On February 18, 2010, a coalition of environmental groups (including Doodá Desert Rock, Diné CARE, the San Juan Citizens Alliance, Earthjustice, and the Sierra Club) petitioned the U.S. Department of Interior and Department of Agriculture to declare the Four Corners plant in violation of the Clean Air Act, and to require pollution reduction measures.<sup>197</sup>

### COMMUNITY SAYS...

*"What's really infuriating to me is that they're taking our water, our drinking water—that's sacred to us. We feel that that's an element of life, and they're messing with the balance of life. It's kind of funny, too—there are a lot of people in America here, in our reservation, that don't have electricity, and they don't have running water."*

*"I've been looking at how you use wind power, and solar power. Why can't we use that? We have those all around here on the reservation. We have constant wind out here, year-round. Why can't we use that to our benefit? ... That would be one thing, if I could make a difference, would be [to] shut down the power plants, and start relying on natural resources."*

—Santana Yazzie, Navajo college student and lifelong Shiprock area resident

*"I remember the plant starting up in the '60s, and I thought it was a good idea, because it would provide income for people. But then later on I saw all the smog that's being produced, and then people started having respiratory problems. And I attribute it to what the coal plants put up in the air. I grew up in the mountains, and before the plants were built, you could see for miles and now you can't even see sometimes."*

*"It seems like there's more asthma. My granddaughter has asthma and then one of my grandsons also has asthma. You see a lot of that now and we didn't before. Sometimes you smell it—you smell the pollution."*

—Justin Nakai, Navajo man and longtime resident of Shiprock area

UNITS 1, 2, 3 CLOSED

11/8/2010

UNITS 4&5 STILL  
OPERATING

**N.B. On November 8, 2010 Units 1, 2 and 3 were close. On November 8, 2012 pollution controls were placed on Units 4 and 5.**

# #12 Waukegan Generating Station

Electronic Filing: Received, Clerk's Office 08/27/2020

## Waukegan, IL

|   |
|---|
| <b>Parent Company:</b> Edison International   |
| <b>Subsidiary Owner:</b> Midwest Generation EME LLC   |
| <b>Built:</b> 1952, 1958, 1962  |
| <b>Capacity:</b> 682MW  |
| <b>2007-10average SO2 emissions:</b> 11,690 tons<br><b>2007-10average NOX emissions:</b> 3,326 tons |
| <b>Residents within 3 Miles:</b> 67,776   |
| <b>Average income within 3 miles:</b> \$16,197<br>(70% of Illinois average)                         |
| <b>People of color within 3 miles:</b> 72%<br>(47% Latino, 19% African-American, and 6% Other)      |

### *Waukegan Community Profile and Perspectives*

The Waukegan plant — the third plant in the Top 12 EJ Offenders owned by Edison, all of which are in Greater Chicago — is located on the shore of Lake Michigan, about 35 miles north of downtown Chicago. The city of Waukegan contains three Superfund sites — including the Waukegan Harbor River Area of Concern, which is half a mile south of the Waukegan plant, and is severely contaminated with PCBs.<sup>198</sup>

The city of Waukegan, is approximately 45 percent Latino and 19 percent African-American. The area of Waukegan where the

plant is located just south of downtown is where Waukegan's Latino and African-American populations are concentrated; the population of the neighborhood is greater than 90 percent people of color.<sup>199</sup> The Vista Medical Center's East Campus is located one mile from the plant, and there are seven schools located within two miles.<sup>200</sup>

The Environmental Law & Policy Center (ELPC) released a report in 2010, Midwest Generation's "Unpaid Health Bills": The Hidden Public Costs of Soot and Smog from the Waukegan Coal Plant," which examines the health effects of soot and smog pollution from coal plants. The report uses data from the National Research Council (NRC) finding that particulate matter (soot), from the Waukegan coal plant creates about \$86 million in health and related damages annually. According to the NRC report, overall, this coal plant has created between \$520 million and \$690 million in public health damages since 2002.



*Waukegan coal plant is polluting our air, harming our health and draining our wallets. Soot and smog from the Waukegan coal plant is making us sick and costing us hundreds of millions of dollars. It's time to reduce this pollution – that's the right thing to do for our environment and our economy. Midwest Generation must be socially responsible and invest in modern pollution control equipment to clean up this old plant up, or shut it down. Enough is enough." - Howard Learner, Executive Director, ELPC*

**N.B. Units 1,2, and 6 have been retired since 2007 and 2010 respectively. Units 3,4,5,7, 8 are still in production. They must install a cold side electrostatic precipitator or bag house equipment on unit 7 by December 31, 2013.**

# IMAGE CREDITS

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Page 91: Four Corners Steam Plant, NAACP Flickr  
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## END NOTES

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